

MAIL AND PHONE AUCTION #26

AMERICAN AND EUROPEAN FINANCIAL HISTORY

STOCK CERTIFICATES, BONDS, DOCUMENTS AND AUTOGRAPHS



CLOSING FRIDAY, NOVEMBER 15, 2002 AT 8:00 P.M. E.S.T.

Scott J. Winslow Associates, Inc.

**Post Office Box 10240
Bedford, New Hampshire 03110
Toll Free in USA (800) 225-6233
Outside USA (603) 641-8292 Fax (603) 641-5583**

TERMS OF SALE

- 1.) **A 10% BUYERS PREMIUM WILL BE ADDED TO THE FINAL HAMMER PRICE.**
- 2.) All items are guaranteed to be authentic. If an item is found not to be authentic, the full sale price will be refunded.
- 3.) All accounts are payable in full upon receipt of invoice unless other arrangements have been made prior to the sale. Any special credit terms should be made as early as possible. Title does not pass until full payment has been received.
- 4.) No "Buy" or unlimited bids will be accepted.
- 5.) We reserve the right to reject any bid we feel is not made in good faith.
- 6.) In the case of tie bids on the book, the earliest received shall take precedence.
- 7.) This is not an approval sale. Lots may not be returned except for reasons of authenticity or a material error in the catalog description.
- 8.) Please bid in U.S. dollars and only in whole dollar amounts. Fractions of a dollar will be rounded down to the nearest dollar.
- 9.) Some lots may be subject to a reserve.
- 10.) Shipping charges will be added to all invoices.
- 11.) The placing of a bid shall constitute the bidders acceptance of these terms of sale.
- 12.) This sale is being held under the laws of the State of New Hampshire.

**BIDS WILL BE ACCEPTED BY TELEPHONE, FAX OR MAIL
ALSO BY E-MAIL AT ONLINESALES@SCOTTWINSLOW.COM**

**Scott J. Winslow Associates, Inc.
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Bedford, New Hampshire 03110 (USA)**

WWW.SCOTTWINSLOW.COM

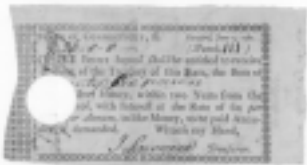
Toll Free In USA (800) 225-6233 * Phone (603) 641-8292 * Fax (603) 641-5583

EARLY AMERICAN



UNISSUED PROVINCE OF MASSACHUSETTS BAY BOND

* 1
177-. Province of Massachusetts Bay. Partly-printed remainder of a bond issued by the Province. Signed by Bowdoin, Otis and Cushing. These were issued in the early 1770's. A nice example demonstrating the entire counterfoil at left. Uncancelled and Mint. \$600- up



STATE OF CONNECTICUT TREASURY NOTE

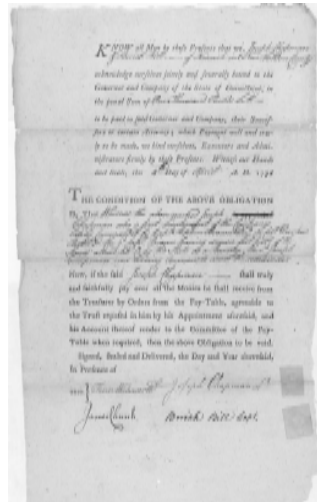
* 2
1781, Hartford. 5 1/2" x 3 1/4". Partly-printed Treasury note in which "The bearer shall be entitled to receive out of the treasury of this state, the sum of 26 pounds lawful silver money, payable two years from the hereof with interest at the rate of six per cent, per annum..." Signed by Lawrence as treasurer. Punch hole cancellation at center. Folds, couple of edge chinks. Very Good. \$125- up



CONNECTICUT DOCUMENT PAYABLE TO GENERAL GOLD SELLECK SILLIMAN

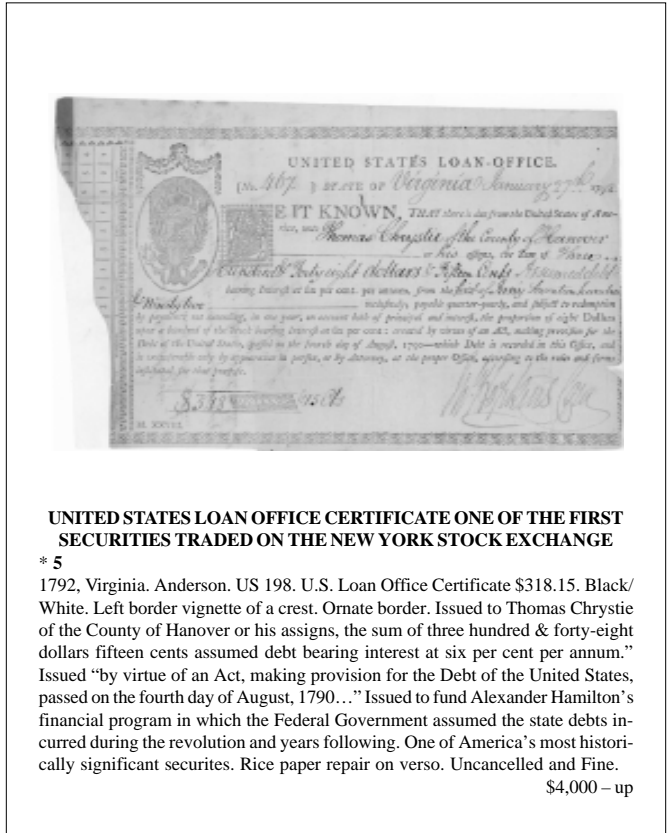
* 3
1789, Connecticut. Partly-printed civil list pay order to "Gold Selleck Silliman, esq., state atty. For sd. County, twenty shillings..." Signed on verso, "Silliman, atty." Silliman was a Militia general Born in Fairfield, Connecticut, 1732. He graduated from Yale and practiced law and served as a crown attorney before the Revolution. He

was the commander of a local body of militia cavalry and took rank as a militia brigadier general after 1776. He was mostly concerned with patrolling the southwestern border of Connecticut, where the loyalists of Westchester County, New York spilled over and caused constant irritation and concern for patriot towns and farms. He also fought with the main army during the New York campaigns of 1776 and opposed the British raid on Danbury in 1777. He was captured at his home by loyalists in 1779 and was held in New York City until paroled the following year. Uncancelled and Extremely Fine. \$100 - up



A REGIMENTAL BOND FROM THE AMERICAN REVOLUTION

* 4
(AMERICAN REVOLUTION). DS. 1pp. 8" x 13 1/2". Connecticut. n.d. [April 4, 1778]. A partly printed bond signed "Joseph Chapman" and "Beriah Bill Capt". It states in part: "...Joseph Chapman and Beriah Bill of Norwich in New London County acknowledge ourselves jointly and severally bound to the Governor and Company of the State of Connecticut, in the penal sum of One Thousand Pounds, to be paid by said Governor and Company...THE CONDITION OF THE ABOVE OBLIGATION IS, That Whereas the above named Joseph Chapman who is first lieutenant of the Company lately Commanded by Captain Stephen Brown Decd. in Col. Durkus Batt[alion]...if the said Joseph Chapman shall truly and faithfully pay over all the Monies he shall receive from the Treasurer by Orders from the Pay-Table, agreeable to the Trust reposed in him by his Appointment aforesaid, and his Account thereof render to the Committee of the Paytable when required, then the above Obligation to be void..." Irregular edges that do not affect the text and slight fold separations, but in fine condition. \$400 - up



UNITED STATES LOAN OFFICE CERTIFICATE ONE OF THE FIRST SECURITIES TRADED ON THE NEW YORK STOCK EXCHANGE

* 5
1792, Virginia. Anderson. US 198. U.S. Loan Office Certificate \$318.15. Black/White. Left border vignette of a crest. Ornate border. Issued to Thomas Chrystie of the County of Hanover or his assigns, the sum of three hundred & forty-eight dollars fifteen cents assumed debt bearing interest at six per cent per annum." Issued "by virtue of an Act, making provision for the Debt of the United States, passed on the fourth day of August, 1790..." Issued to fund Alexander Hamilton's financial program in which the Federal Government assumed the state debts incurred during the revolution and years following. One of America's most historically significant securities. Rice paper repair on verso. Uncancelled and Fine. \$4,000 - up



STATE OF CONNECTICUT PAYTABLE OFFICE DOCUMENT ISSUED TO FRENCH AND INDIAN WAR SURGEON DR. AMOS MEAD

* 6
1783, Connecticut. Partly-printed Paytable Office document payable to Doctr. Amos Mead in the amount of seven pounds. AMOS MEAD, seventh son of the second Ebenezer, was a physician by profession, and was a surgeon of the Third Connecticut Regiment in the French and Indian War. He was a prominent man during the Revolutionary war and represented his town a great many times in the Colonial Legislature, and after the adoption of the Constitution of the United States, was a member of that noble body of men who adopted the Constitution of the United States. He died February, 1807. A nice piece of medical history related to a French and Indian War surgeon. Lightly pen cancelled and in excellent condition. \$100 - up



STATE OF MASSACHUSETTS BAY BOND ISSUED DURING THE AMERICAN REVOLUTION FOR THE PAYMENT OF BOUNTIES TO SOLDIERS

* 7
1777, Massachusetts. Anderson MA-6.5 3/4" x 6 1/4". Bounty note for ten pounds bearing 6% interest issued for payment of bounty to soldiers enlisting in the continental army. Ornate left border design. Acknowledgement on verso of having received interest. A great piece of military financial history from the American Revolution. Lightly pen cancelled and Extremely Fine. \$275 - up



*** 8**
[AMERICAN REVOLUTION] Massachusetts. December 1, 1777. Partly-printed bond in which the state has "Borrowed and Received of John Welch the sum of ten pounds for the use and service of the State of Massachusetts-Bay... with interest to be paid annually at six per cent." Authorized on October 22, 1777 to redeem state bills of credit. Wonderful vignette of a rattlesnake engraved by Nathaniel Hurd. Small hole at fold intersection. Fine.

\$400 - up



COMMONWEALTH OF MASSACHUSETTS BOND

*** 9**
 1782, Anderson. MA- 31. Nice bond issued during the American Revolution in which the Commonwealth of Massachusetts has "Borrowed and Received of Thomas Brickford the sum of nine pounds 11/4 which is payable..." with interest at six percent...in the several Species of Coined Silver and Gold..." Signed by Cranch but the Treasurer has not signed. Fine. A scarce variety.

\$300 - up



STATE OF CONNECTICUT PAYNOTE

*** 10**
 1796, Connecticut. Partly-printed Comptroller's Office note in which Andrew Kingsbury is ordered to "Pay Matthew Miller by Benjamin Miller fifty-eight cents it being the amount of interest which he is entitled to receive from this state on stock

in the United States Funds, transferred to him by said state, pursuant to a resolve of the general assembly in October, 1794." A scarce format. Uncancelled and excellent.

\$100-up



STATE OF CONNECTICUT CONTINENTAL ARMY NOTE SIGNED BY PETER COLT

*** 11**
 1782, CT. Anderson CT 19. Note issued as payment for service in the War in which "The State of Connecticut doth owe unto Mr. Abijah Olmsted who hath served in the Connecticut Line of the Continental Army, the sum of six pounds, eighteen shillings and six pence...in Gold or Silver, on or before the first Day of June, A. D. 1788. A soldier's family would typically be issued four of these notes, each redeemable in a different year. Signed as treasurer by PETER COLT. A nice example of Revolutionary War fiscal paper and choice mint condition!

\$75-up



COMMONWEALTH OF MASSACHUSETTS BOND

*** 12**
 1789, Massachusetts. Anderson MA43. Partly-printed Treasury Office certificate. The treasury office certifies that "the sum of seven pounds one shilling is due to Mrt. Abner Hitchcock or bearer for which sum shall be received of you in payment of the two third parts of Impost and Excised that is appropriated by Government to discharge the interest on the public debt..." Cancelled signature of Alex Hodgdon, Treasurer. Punch cancelled.

\$200 - up

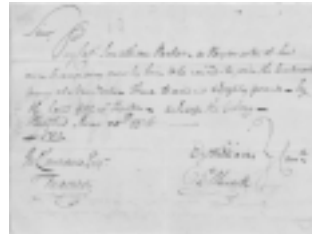


CONNECTICUT PAY TABLE OFFICE NOTE SIGNED BY OLIVER WOLCOTT, JR.

*** 13**
 Partly-printed order to "Pay Thomas Phillips Eighteen Pounds out of the tax of one shilling on the pound, granted in Janu-

ary 1783, and charge the State." Signed on the front as a committee member by OLIVER WOLCOTT JR. (1760-1833). Secretary of the Treasury under Washington and Adams; Governor of Connecticut. Wolcott Jr., Son of a Signer of the Declaration of Independence, served faithfully and superbly under Alexander Hamilton, and was instrumental in developing a plan for the establishment of branches of the Bank of the United States, established in 1791. Lightly pen cancelled and Extremely Fine.

\$50 - up



RAISING TROOPS FOR THE CONTINENTAL ARMY JUST TWO WEEKS PRIOR TO THE SIGNING OF THE DECLARATION OF INDEPENDENCE

*** 14**
OLIVER ELLSWORTH (1745-1807). Revolutionary patriot; Chief Justice of the U.S. Supreme Court. Ellsworth was a committed patriot, a member of the Connecticut Pay Table and member of the Continental Congress during the Revolutionary War. He was instrumental in forging the compromise which provided for equality of representation in the Senate, and is believed to have introduced the term, "United States." Served as Senator from Connecticut (1789-1796) during which time he played a major role in drafting the legislation which established the federal court system. He is best remembered for his service as the second Chief Justice of the U.S. Supreme Court. DS. 1 page. 8 1/2" x 6 1/2". Hartford, June 20, 1776. "Pay Capt. Jonathan Parker, as Paymaster of his own Company now by him to be raised, to join the Continental Army at New York- Five hundred & Eighty pounds - by the hand of Maj. Fenton - & Charge the Colony."

"Under the Articles of Confederation the states were responsible for raising troops for the Continental Army, for organizing and equipping them, and for appointing officers through the rank of colonel. State authorities called out militia sometimes at the request of Congress and sometimes on their own initiative. When they joined the main army, militia normally shared in its supplies and equipment. The states, however, maintained an interest in supplying and administering the troops of their own "lines" as well as their militia, and the Continental agents had continually to enlist state assistance in their own efforts. Lines of authority crisscrossed at every turn."

"It was an inefficient military system for an organized national effort. Washington could never depend on having enough trained men or supplies. He continually inveighed against sending militia to fight his battles and by early 1776 had concluded that he needed an army enlisted for the du-

ration of the war. Congress did not, as has often been charged, ignore his wishes. In October 1776 it voted a new establishment, superseding the plan developed for the army before Boston in 1775 and haphazard arrangements made in the interim for raising Continental regiments in various states. This establishment was to contain 88 battalions of infantry, or about 60,000 men, enlisted to serve three years or "during the present war," with each state assigned a quota in proportion to its population under the system set up in the Articles. After the disastrous retreat across New Jersey in December 1776, Congress went further and authorized an additional 22 battalions to be recruited by Washington's officers directly into the Continental service. These 110 battalions remained the authorized strength of the Continental Army until 1781, when Congress cut it to 59." (Extract from the Army Military History). Very Fine.

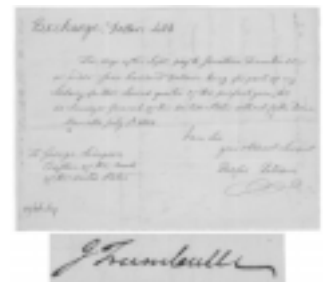
\$250 - up



INTERESTING FRENCH AND INDIAN WAR PERIOD FINANCIAL DOCUMENT

*** 15**
 Hartford, March 9, 1764. 7 1/2" x 7 3/4". Manuscript document signed by J. Talcott as treasurer in part: Recd. of Saml Gray, Esq. for a bill of Exchange in March Emission Bills of 1761..." Fine.

\$50-up



PROMISSORY NOTE SIGNED BY RUFUS PUTNAM AND ENDORSED BY JONATHAN TRUMBULL

*** 16**
RUFUS PUTNAM (1738-1824). Putnam, a Revolutionary War officer from Massachusetts, was a top engineer for the Continental Army with a speciality in fortifications. **JONATHAN TRUMBULL** (1740-1809). Revolutionary soldier; Governor of Connecticut; Senator. DS. 1 page. 7 3/4" x 5 3/4". Marietta. 1801. Promissory note signed by Rufus Putnam on the front and endorsed on the verso by Jonathan Trumbull. Both signatures are in dark ink. Extremely fine.

\$400 - up

AUTOGRAPHS



CERTIFICATE #1 OF THE SOUTHERN INVESTMENT CO. OF CANADA, LTD. SIGNED BY J.B. DUKE AS PRESIDENT

* 17
1913, Canada. Stock for 1 share. Black/White. Ornate border. Signed as president of the company by **JAMES B. DUKE** (1856 - 1925). Duke was a pioneer of the modern tobacco industry. With aggressive leadership, and backed by vast capital and superior technology, he ranked among the most respected and successful competitors of his day. This is one of only two examples we've seen. Uncancelled and Extremely Fine.

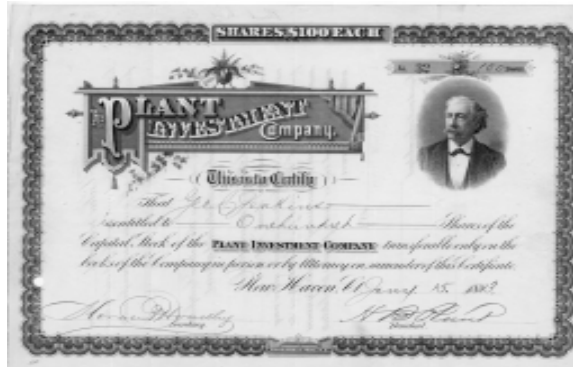
\$1,000 - up



OAKES AMES

* 18
1868, Iowa. Stock certificate for 240 shares in the Cedar Rapids and Missouri River Rail Road Company. Purple. Wonderful multi-vignetted certificate depicting steam locomotives; portrait of John Blair. Litho. Attached adhesive revenue stamp. Issued to and signed on verso by **OAKES AMES** (1804 - 1873); Capitalist. Ames' well documented involvement with the Credit Mobilier caused one of the greatest political scandals in the nation's history, reaching as high as the Vice-President of the United States. Originally promoted by Thomas C. Durant, the Credit Mobilier was joined by Oakes and Oliver Ames. A split developed two hostile factions, one led by Durant, one by the Ames. Numerous politicians received gifts of stock and Ames fell under intense scrutiny by a Congressional committee. "The statutes required that the Union Pacific stock be paid for in actual cash; but as a matter of fact, it was issued to Ames and other Credit Mobilier men 'who paid for it at not more than thirty cents on the dollar in roadmaking', thus realizing enormous profits. The Credit Mobilier has exemplified the unscrupulous methods of building railroads that were the normal practice of the period 1860-1880. A fine piece signed by this important railroad. Pen and punch cancellations not affecting Ames' fresh, clear signature. Fine.

\$750 - up



PLANT INVESTMENT COMPANY - HENRY BRADLEY PLANT SIGNED AS PRESIDENT

* 19
1883. New Haven, Connecticut. Issued stock certificate signed as president by Henry Bradley Plant (1819-1899). Plant was the founder of the Plant system of railroads and steamboats. He was considered the West Coast developer of Florida. In 1882 he organized, with the assistance of Northern capitalists, among whom were H.M. Flager, M.K. Jesup, and W.T. Walters, the Plant Investment Company, a holding company for the joint management of the various properties under his control. He reconstructed and extended several small railroads so as to provide continuous service across the state.

\$1,500-up



ARDMORE ICE, LIGHT AND POWER CO. SIGNED ON VERSO BY AUGUST A. BUSCH

* 21
1914, Incorporated Under the Laws of the Indian Territory. A stock certificate for 86 shares. Gold/Black. Vignette at top center of a female allegorical figure with a lightening bolt striking her shield, also an underprinting of another female figure at bottom center. Signed on verso as one of the Trustees of his father's will. Pen cancelled.

\$500-up



PULLMAN'S PALACE CAR COMPANY ISSUED TO GEORGE M. PULLMAN AND SIGNED BY HORACE PORTER AS VICE PRESIDENT

* 22
1881, Illinois. Stock certificate for 250 shares. Orange/Black. Engraved triple vignette of allegorical figure flanked by palace cars and a view of the Pullman works. Issued to **GEORGE M. PULLMAN** though not signed by him. Signed by **HORACE PORTER** (1837 - 1921); Railroad executive; aide-de-camp to U. S. Grant; ambassador to France. Some light water staining at bottom. Lightly punch cancelled through signature.

\$150-up



GEORGE FOSTER PEABODY

* 23
1886, Illinois and Indiana. Stock certificate for 100 shares of the St. Louis, Alton & Terre Haute RR Co. Issued to and signed on verso by **GEORGE FOSTER PEABODY** (1852 - 1938); banker, philanthropist. Lightly punch, stamp and cut cancelled, the latter slightly affecting Peabody's strong signature which is fully intact.

\$100-up



VERY SCARCE FILM STOCK SIGNED BY BUSTER KEATON

* 20
1933, Florida. Stock certificate for one share of Flamingo Films, Inc. Gold/Black. Vignette of the capitol building at top center. Litho. Issued to and signed as president of the company by **BUSTER KEATON** (1895-1965), one of the greatest silent film stars in history. Along with Charlie Chaplin and Harold Lloyd, Keaton created a character often referred to as "The Great Stone Face". Among his best films are *The Navigator* (1924), *The General* (1927), and *The Cameraman* (1928). In the early 1930s, Keaton had made a strong of highly successful comedies yet the new talkies threatened his livelihood. In an attempt to create a new film company, he went to Florida to make movies, but it was a failure due to the high humidity and insects. Some light staple rust in upper left corner. Uncancelled and fine.

\$2,500 - up



A RARE CHAPLIN STUDIOS STOCK SIGNED BY THE LEGENDARY SILENT FILM MAKER CHARLIE C HAPLIN

* 24

1918, California. Stock certificate issued to Thomas Harrington (Secretary of the Company) for one share of The Chaplin Studios. Green/Black. Signed as President by CHARLES CHAPLIN (1889 - 1977); British film actor, director and comedian; Perhaps the most famous figure in entertainment history.

Following an early career in vaudeville, Chaplin signed on with Keystone Company and Mack Sennett to produce comedy films. Chaplin's opinion of the Keystone Comedy films was that they were "a crude melanged of rough and tumble, justified only by the appearance of Mabel Normand was quite charming." Despite his lack of enthusiasm for the films, he realized their publicity value, which he hoped would make it easier for him to return to vaudeville as a star. That he was offered \$150 per week, twice the salary he earned by working for Karno, was an added inducement. His first film for Keystone was Making a Living; his longest film (six reels) was Tillie's Punctured Romance. At Keystone he made a total of 35 silent films, all IN ONE YEAR. During that period he experimented with new techniques and new ideas which he perfected in subsequent years, making him one of the most versatile men in movie history.

From Keystone, Chaplin went on to Essanay Films and from there, to Mutual Films in 1916, where he made The Floorwalker, The Vagabond, and The Immigrant. At Mutual he was paid an annual salary of \$67,000 which included a weekly salary of \$10,000 as well as a signing bonus. At the end of his contract with Mutual, the rising star was becoming anxious to get started on a new series of films under his own production. Lacking facilities, Chaplin decided to build The Chaplin Studios, for which this certificate is issued. It was constructed at the corner of Sunset and LaBrae, in Hollywood and included sets, cutting rooms, offices and a developing plant. His first film in this new studio was A Dog's Life, in 1918 which displayed his wide ranging genius which has made him one of the world's most influential movie makers.

Only 10 certificates of this company were found in the original stock book that were signed by Chaplin, and only a total of 17 completely issued, the balance of 7 being signed by other officers. With this census on the number extant, we believe The Chaplin Studios to be an important rarity in Scripophily and destined to be one of the classic pieces of the field. With all certificates now in existing collections this represents a rare opportunity for the acquisition of this piece. Some very minor flaking of paper at upper right corner mentioned for accuracy. Pen cancelled and very fine. \$12,500 - up



ISSUED TO AND SIGNED TWICE BY JAMES C. FARGO

* 25

1890, New York. Stock certificate for 143 shares. Blue/Black. Litho. Issued to and signed as president and on verso by JAMES C. FARGO (1829 - 1915); Expressman. Working along with his older brother, William, co-founder of the American Express Co., James became a prominent figure in the railroad and express industries. He became president of American Express in 1881 upon his brother's retirement from that position. The Merchants Despatch Transportation Company was formed in 1857 to operate a fast freight system over what became the New York Central lines. It was immediately successful and proved profitable to its co-owners, the American Express Company and the New York Central Railroad. An important companion to an American Express Company stock. Pen cancelled at signature. Fine. \$350 - up



RARE STOCK SIGNED BY QUEEN NOOR OF JORDAN

* 26

1972, New York. Stock certificate for 200 shares of Pan American World Airways. Blue/Black. Engraved vignette of two globes flanked by allegorical figures, spread eagle at top. Issued to and signed on the accompanying transfer document by LISA HALABY (B. 1951). Queen Noor of Jordan. Married to a distinguished Arab American family, she attended American schools and was entered Princeton University's first co-educational freshman class. Their Majesties the late King Hussein and Queen Noor were married on 15 June 1978. The Queen has been an important activist in a wide variety of human rights other international issues. This certificate was sent to her while she was a student at Princeton. A highly unusual item. Punch and pen cancellations not affecting signature. Extremely Fine. \$250 - up



PAT GARRETT SIGNS AN ALABAMA GOLD AND COPPER MINING COMPANY STOCK CERTIFICATE

* 27

1899, New Mexico. Stock certificate for 200 shares of the Alabama Gold and Copper Mining Company. Green/Black with attached embossed gold seal. Signed as secretary of the company by PAT GARRETT. (1850-1908). Garrett is best remembered for tracking and killing the infamous Billy the Kid in 1881. He later served as sheriff in several towns and was assassinated. The extremely attractive certificate is in light green and gray with a decorative border and a spectacular gold foil seal. Uncancelled and in extremely fine condition. \$2,500 - up



STANDARD OIL COMPANY STOCK SIGNED BY JOHN D. ROCKEFELLER AND HENRY FLAGLER ISSUED TO AND SIGNED ON VERSO BY WALLACE. C. ANDREWS

* 28

1878, Ohio. Stock certificate FOR 143 shares of the Standard Oil Company of Ohio issued to and signed on verso by WALLACE C. ANDREWS. Signed on the stub by HENRY FLAGLER indicating his receipt of the certificate for Andrews. Also transferred to Henry Flagler by Flagler himself and thus signed three times by the Standard Oil secretary. Boldly signed by Andrews indicating his transfer of the shares on verso.

WALLACE C. ANDREWS Business executive; Industrialist. Andrews had been a successful mining executive who came to Standard Oil in early 1872, and became one of the architect's of the company's explosive growth during the 1870s. While remaining a director of Standard Oil up until the formation of the Trust (1882), Andrews gradually moved on to other business ventures. He is best remembered for his association with a pioneering New York City company which supplied steam for heat and power by underground pipes to various sections of that city; this initiated a new era in management of office buildings by enabling proprietors to dispense with the production of steam on their own premises.

Signed as president by JOHN D. ROCKEFELLER (1839 - 1937) and as secretary by HENRY M. FLAGLER (1830-1913). The Standard Oil Company was incorporated in Cleveland, Ohio in 1870 by John D. Rockefeller, William Rockefeller, Henry M. Flagler, Samuel Andrews and Stephen Harkness. A fast-paced policy of acquiring numerous refineries and oil-related companies was the key to the development of Standard Oil Company to the point of the formation of the Standard Oil Trust in 1882. The certificates of the Standard Oil Company have become one of the classic and most highly regarded pieces in any collection of American stocks and bonds. With a total number of just under 300 issued, this certificate offers the important combination of rarity and importance to the collecting field. Examples issued to Andrews are quite scarce and this would certainly be an appealing addition to a collection. Lightly pen cancelled and excellent. \$9,000 - up



THE STANDARD OIL TRUST ISSUED TO AND SIGNED THREE TIMES BY JOHN D. ROCKEFELLER

* 30

1884, New York. Certificate for 500 shares "in the equity to the property held by the trustees of the Standard Oil Trust..." Green/Black/White. Lovely engraved vignette of the capital building. Issued to and signed twice on verso JOHN D ROCKEFELLER. Signed on the front as officers of the company by JOHN D. ROCKEFELLER (1839 - 1937) as President, HENRY FLAGLER (1830-1913) as Secretary and JABEZ A. BOSTWICK (died 1892) as Treasurer. Noted historian Allan Nevins said of the trust; "The birth of this unprecedented entity was an epochal event in business organization." The successful organization of the Standard Oil Trust was the culmination of oil interest acquisitions from 1870 to 1882 and brought the vast oil empire (today known as Exxon) under one central management. Prior to 1889, general statutes prohibited inter-corporation stock-holding, thus creating the need to circumvent these laws in order to acquire other oil related properties. The Standard Oil Company, as an organization chartered in Ohio, was restricted from holding stock or any interest in another company. To circumvent this problem, the concept of issuing shares to individuals as trustees was developed and utilized. The effect of the method was to allow Standard Oil to acquire properties and conceal their corporate ownership under the guise of individual possession by the principals. Although effective, as the organization grew, it became apparent that this complex system of ownership carried with it many logistical difficulties. Thus, the Standard Oil Trust was born, and so was the first great monopoly in American business history. For those wishing further detailed information on the Trust, please ask for our booklet on this historic accomplishment.

An exceptional opportunity to acquire the John D.'s personal shares in the Standard Oil Trust. A fine certificate associating the Rockefeller brothers, Flagler and Bostwick all on the same certificate. Cut cancellation leaving signatures completely intact. \$7,500 - up



PHILADELPHIA MINING AND SMELTING COMPANY SIGNED BY PETER A. B. WIDENER

* 29

1882, Idaho. Stock certificate for 1,000 shares. Black. Mines were located in Alturas County, Idaho. Signed as president of the company by PETER A. B. WIDENER (1834 - 1915). Financier. Widener was a key fig-

ure in the development of suburban street railways after the Civil War. He was instrumental in the consolidation of the various street railways in Philadelphia and the development of lines in Chicago. Widener and his associates acquired large street railway holdings in other cities as well, and eventually their properties totaled a greater mileage than those of any similar syndicate. He helped organize many of the large corporations whose names are familiar today such as the U.S. Steel Corporation, American Tobacco Company, etc. At his death, he was the wealthiest man in Philadelphia. While frequently seen on Philadelphia railway material, Widener is seldom seen on Western mining material. Uncancelled and Extremely Fine. \$125 - up



HIRAM WALKER THE CREATOR OF CANADIAN CLUB WHISKEY

* 31

1879, Michigan. Stock certificate for 100 shares of the Minong Copper Company. Green/Black. Small vignette of ornate cross, overprint of state seal at center. Signed as president of the company by HIRAM WALKER (B. 1816); distiller, founder of

what is today one of the world's three largest distillers. Walker began as a small grocery business and among his goods was grain which he sold to Canadian distillers and the finished product, whiskey. During the 1850's concerned about prohibition, Walker moved his business into Canada and by 1858 was producing his own whiskey which he later name Walker Club Whiskey. Dissent by American distillers who believed American consumers were unable to distinguish between their own product and the Walker's canadian produced whiskey caused Walker to rename his product Canadian Club Whiskey, the name still in use today. An interesting certificate signed by one of the World's most well known Whiskey makers. Stamp cancelled and very fine \$250-up



D. OGDEN MILLS

* 32
1896, New York. Stock certificate for 100 shares in the Mergenthaler Linotype Co. Green/Black. Issued to and signed on verso by DARIUS OGDEN MILLS (1825-1910). Merchant; Banker; Philanthropist. Following the discovery of gold in California in 1848, Mills set out with the necessary money to begin a merchandising or banking business. Upon arriving in San Francisco in 1849, Mills at once established a trading business buying gold dust, selling goods and dealing in New York exchange. His success led to the formation of the Bank of D. O. Mills & Co. in 1850 which thereafter led to the accumulation of a large fortune. \$300 - up



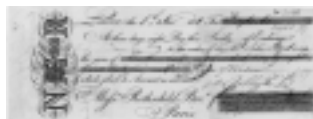
CARL F. LOMB

* 33
1928, New York. Stock certificate for 100 shares of the Rochester Central Power Corporation. Blue/Black with red overprint. Issued to and signed on verso by **CARL F. LOMB** son of the founder of Bausch and Lomb serving as the company's president. Light punch cancellations not affecting Lomb's signature on verso and very fine. \$150-up



MOHAWK VALLEY BANK CHECK SIGNED BY FRANCIS E. SPINNER

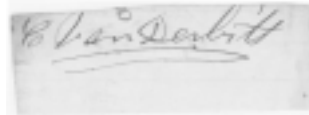
* 34
Beautiful partly-printed bank check drawn on the Mohawk Valley Bank in New York. Lovely engraving of a farmer with a horse-drawn plow and another vignette of an unidentified man. Accomplished in his hand and signed as maker by FRANCIS E. SPINNER (1802 - 1890). Treasurer of the United States. Spinner is best known for his service as Treasurer of the U.S. during the Civil War, during which time he skillfully managed the government's huge expenditures. He is credited with bringing women into the civil service. Very ornate and distinctive American autograph. Cut cancellation not affecting signatures, all paper intact. Fine. \$90 - up



BILL OF EXCHANGE SIGNED BY NATHAN ROTHSCHILD

* 35
November 8, 1831, London. A bill of Exchange on the Messrs. De Rothschild, Bros., Paris "...to the order of Mess. John Horstman the sum of 3,968 francs and 65 cents..." Signed by **Nathan Rothschild** (1777-1836). Banker, and head of the London branch of the Rothschild banking family. Rothschild worked constantly for the defeat of the French emperor in the interests of England as well as his own financial gain. Working inconspicuously, Rothschild en-

gineered the financial machinery needed to supply the Allies with the much needed funding to defeat the French. Stamp cancelled and extremely fine. \$350 - up



COMMODORE VANDERBILT CUT SIGNATURE

* 36
Commodore" Cornelius Vanderbilt (1794 - 1877); steamship and railroad promoter; financier. Signature cut from a letter. C. Vanderbilt. Accompanied by a portrait making a pair that would display nicely. Fine. \$250 - up



WILLIAM G. FARGO

* 38
1869, New York. Stock certificate for 50 shares. Black with imprinted orange revenue stamp at center. Large vignette of loading dock at top center; dog's head at top left; clasped hands at bottom left. Litho. Signed as president by **WILLIAM G. FARGO** (1818 - 1881); Expressman. FLight punch and stamp cancellations, the latter affecting Fargo's bold signature. Very fine. \$200 - up



AN EARLY AMERICAN EXPRESS COMPANY STOCK WITH TRAIN VIGNETTE SIGNED BY HENRY WELLS AND WILLIAM FARGO

* 37
1854, New York. Stock certificate for 5 shares. Black/White. Vignette of a steam locomotive facing right. Litho. Signed as president of the company by **HENRY WELLS**, as secretary by **WILLIAM G. FARGO** and as treasurer by **ALEX HOLLAND**.

WELLS, HENRY (1806 - 1878). Expressman. Wells started in the express business as an agent for Harnden's Express, running from New York to Albany. He went on to start his own firm, Wells & Company, which ran a route from Buffalo to Detroit, the first express company to venture west of Buffalo. As a young man, William G. Fargo served as a messenger with Wells & Company, and eventually became Wells' partner. In 1850, Wells & Company joined with two other express companies to form the American Express Company with Wells serving as its president, 1850-68. American Express covered the eastern sector of the United States; Wells, Fargo & Company, formed in 1852, covered the western sector.

FARGO, WILLIAM G. (1818 - 1881). Expressman. Fargo got his start in the express business at age 13 through a 30 mile mail route. Sometime later, William Fargo served as a messenger with Wells & Company, the first express company to venture west of Buffalo. Fargo became a partner in Wells & Company and, in 1850, they joined with two other express companies to form the American Express Company which covered the eastern sector of the United States; Wells, Fargo & Company, formed in 1852, covered the western sector. Wells went on to start his own firm, Wells & Company, which ran a route from Buffalo to Detroit, the first express company to venture west of Buffalo. As a young man, William Fargo served as a messenger with Wells & Company, and eventually became Wells' partner. In 1850, Wells & Company joined with two other express companies to form the American Express Company which covered the eastern sector of the United States, with the western sector being covered by Wells, Fargo and Company.

Very minor repair along a portion of one vertical fold. Otherwise, fine. Uncancelled. \$2,500 - up



AMERICAN EXPRESS COMPANY WILLIAM G. FARGO AND ALEX HOLLAND

* 39
1873, New York. Stock certificate for 100 shares. Yellow/Black. Engraved vignette of dog's head at upper center. This certificate was used from the time of the company's reformation in 1873 until approximately 1899, at which time very minor design changes were made. Signed as president by **WILLIAM G. FARGO** (1818 - 1881), Expressman, and by **ALEX HOLLAND** as treasurer. Diamond-punch and stamp cancellations not affecting either signature, both of which are clean and clear. Very fine. \$400 - up



AMERICAN EXPRESS COMPANY BLUE BORDER

* 40
1866, New York. Stock certificate for 2 shares. Yellow/Black. Engraved vignette of dog's head at upper center. Signed as Secretary by **JAMES C. FARGO** (1829-1915), **HENRY WELLS** (1806-1878) as President and by **ALEX HOLLAND** as treasurer. There is a significant water stain at upper margin which is somewhat typical for this issue. The blue border is a scarcer variety of the popular American Express series. Fine. \$600 - up

J. PAUL GETTY SIGNED OIL STOCK

* 41

1921, Arizona. Stock certificate for 100 shares in the Oklahoma Oil Corp. Black/Gold. Vignette at top left of oilfield with tank cars in foreground; several smaller oil-related vignettes within right and bottom border. Litho. Embossed gold company seal at bottom left. Signed as company president by J. PAUL GETTY (1896 - 1976); Oil magnate; Art collector. A fabled oil executive, J. Paul Getty brought the Getty Oil Company to the status of an "eighth sister" among the giants in the petroleum business. The son of a Minneapolis oilman and an Oxford graduate, Getty rose from a general laborer in the Oklahoma oilfields to become a shrewd businessman, whose autocratic rule and masterful manipulation of the stock market brought him the 1957 Fortune magazine title of richest man in the world. By 1919, when he was only 23, Getty had already made his first million by buying and selling oil

leases using money borrowed from his father. After his father's death in 1930, he and his eighty-year-old mother battled for control of the family wealth. Skeptical of her son's practice of buying the stock of companies in shaky financial condition, Sarah Getty tried to curb his spending and preserve some of the family fortune for future generations by creating the Sarah Getty trust, the latter subject of litigation among its beneficiaries. However, Getty's stock market speculation laid the foundation for his billion-dollar Getty Oil empire, which included holdings in oil and gas, gold, uranium, and copper mines, vineyards, orchards, grazing lands, timberlands, refineries and chemical plants. Getty's most daring coup was in obtaining an oil concession near Saudi Arabia, paying King Saud \$9.5 million in cash and a million a year, a gamble that paid off in 1953. Despite being worth over \$4 billion at his death, Getty was always renowned as a tightwad: he kept a public pay phone in his British mansion, saved bits of string, and insisted on washing his own underwear throughout his life. Perhaps the most notorious example of his penny-pinching was his refusal to pay ransom for his grandson, J. Paul III, until finally the kidnappers cut off the boy's right ear and sent it to Getty. Ironically, Getty was unable to translate his business prowess to his personal life. Though he tried to emulate the Rockefellers and Kennedys, his own family was too fragmented and embattled to invite comparison. He had four sons by five wives, and never invited his parents to any of his weddings. Similarly, he failed to attend his own son's weddings and even missed the funeral of his youngest son Timothy. All of his surviving sons tried a stint in the family business, but failed to meet his expectations. Getty also routinely used his will as a weapon to punish "filial disloyalty," changing it 21 times. Always an avid art collector, Getty found solace in the priceless collection that later became the Malibu-based J. Paul Getty Museum Trust. This endowment has grown to \$3 billion since his bequest, making the collection of the world's wealthiest cultural institutions, with an annual budget over 25 times of the New York Metropolitan Museum. Very light traces of stub glue at left. Stamp cancelled. Very Fine.

\$1,500-up



NORTHERN WATER AND POWER COMPANY ISSUED TO AND SIGNED BY WILLIAM H. CROCKER

* 42

WILLIAM H. CROCKER (1832-1919). British physicist. He invented the Crookes tube, which Roentgen used in his discov-

ery of X-rays and which Crookes used in his pioneering work on radiant matter, which changed the conception of it in both physics and chemistry. He was a member of many scientific societies, and president of several of them. He was awarded many honors during his lifetime including the Albert Gold Medal (1899), the Order of Merit (1910), and was knighted in 1897. 1911, California. Stock certificate for 100 shares issued to and signed on the verso by **WM. H. CROCKER**. Orange boarder on white paper with black text. Top center scenic vignette of a water dam. Pen cancelled and very fine.

\$100 - up



GEORGIA-ALABAMA INVESTMENT & DEVELOPMENT CO.

* 43

1891, Georgia. Stock certificate for 5 shares. Brown/Black. Small vignette at top center of the Georgia State Seal. Signed as President by Benjamin Butler. **BENJAMIN F. BUTLER**. (1818-1893). Union general in the Civil War; Presidential candidate; Massachusetts; Governor; Congressman. Uncancelled and extremely fine.

\$275 - up



SOCIETE DES MICRO-PHONOGRAPHES BETTINI SIGNED BY BETTINI

* 44

1901 France. Bearer bond for 100 francs. Orange/Green. Outstandingly attractive certificate with ornate border, vignettes of an early phonograph at bottom left, bust view of a distinguished gentleman in border at upper left, and company seal at bottom right. Full coupons at right. Scalloped left edge. Litho. Phonograph certificates are uncommon, and this is a most attractive and desirable piece. Uncancelled and Very Fine.

\$350 - up



A HAWTHORNE SILVER AND IRON MINES LIMITED STOCK SIGNED BY JULIAN HAWTHORNE, SON OF NATHANIEL

* 45

JULIAN HAWTHORNE (1846-1934). Julian was an author who began his writ-

ing career in the early 1870's with a short story in *Harper's Weekly*. By 1874, he had moved to London where he wrote prolifically. When he returned to America, he enjoyed a much greater reputation in England than at home. He continued writing furiously until the late 1890's, when his productive period came to a close. He then wrote his most enduring work, *Nathaniel Hawthorne and His Wife*. Of some 50 volumes published by Hawthorne, all but 6 were produced before 1897. Convicted of misusing the mails in connection with a Canadian mining operation, Hawthorne spent several months in a penitentiary beginning March 1913. After his release, he lived in California writing book reviews and reminiscences. 1910, Delaware. Stock certificate for 500 shares in the Hawthorne Silver and Iron Mines, Limited signed by **JULIAN HAWTHORNE** as president. Gray/Black. Two engraved mining scenes surrounded by ornate scrollwork at top center. A couple of small staple holes at left edge. An interesting association with perhaps the most difficult period in Hawthorne's life. Hawthorne's signature is very rare in this format. Uncancelled and extremely fine.

\$150 - up



HARRY SINCLAIR'S STOCK CERTIFICATE IN THE EARLY ST. LOUIS BROWNS AMERICAN LEAGUE BASEBALL

STOCK SIGNED BY TEAM OWNER AND PRESIDENT PHILIP BALL

* 46

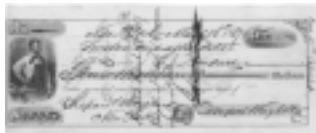
1916, Missouri. Stock certificate for 300 shares. Black/Gold. Vignette of the team logo at top center. Litho. The St. Louis Browns have often been viewed with a kindly nostalgia that many of today's Major League teams may only envy. Following the 1901 season, the Milwaukee Brewers, charter members of the American League moved to St. Louis and changed their name to the Browns. After a number of successful years at the gate, in 1916 owner Robert Hedges sold the team to Philip Ball, who signs this certificate as president of the team. Ball had previously been involved in the Federal League and its problems and as a result of his settlement with major league baseball, his purchase of the Browns was approved. One of Ball's original investors in the team was Harry Sinclair of Sinclair Oil fame. The Browns went on to win only one pennant, finishing last 14 times and seventh 14 times. In 1953, the team was moved to Baltimore. A scarce, early major league baseball stock. Lightly pen cancelled and Extremely Fine.

\$1000-up



**BANK OF THE UNITED STATES
DRAFT SIGNED BY NICHOLAS
BIDDLE**

* 47
1837, Pennsylvania. Wonderful engraved multi-vignetted promissory note payable a the London agency of the Bank of the United States. "Fourteen months after date, The President, Directors and Company of the Bank of the United States promise to pay at its Agency in London t the bearer, Two Hundred & Fifty pounds sterling..." Signed as president by NICHOLAS BIDDLE (1786 - 1844). Banker; Financier. Biddle completed his studies at the University of Pennsylvania at the age of thirteen, and graduated valedictorian from the College of New Jersey at Princeton when he was fifteen. His studies were concentrated on the classics, giving him expertise which he put to good use when he traveled extensively in Europe. He also studied law, and was admitted to the bar in 1809. Biddle's scholarship and political connections were largely responsible for his being appointed president of the Second Bank of the United States, serving in that position from 1822-1836. During his tenure as President of the Bank, he managed it wisely and fostered a pattern of prudent growth. However, the 1832 Presidential election brought him into direct confrontation with President Andrew Jackson who, in his inaugural address brought into question the bank's ability to create and maintain a sound and uniform currency. Biddle's reaction was an unsuccessful attempt at rechartering the bank four years prior to the charter's expiration date. Upon expiration of the Bank's charter in 1836, Biddle rechartered it in Pennsylvania, serving the same function as the old bank. He served as its president from 1836-1839, at which time he entered retirement. Cut cancelled and Pen Cancelled with the word "PAID" on the front. Couple of minor ink burns in the cancellation. Biddle's signature remains bold. Fine. \$600 - up



EDWARD J. DANFORTH

**FOUNDER OF AMERICAN BANK
NOTE COMPANY**

* 48
From 1850 - 1858 Danforth was partner in the firm of Danforth, Bald & Company and its successors. In 1858, he combined with six other leading engraving firms to form the association of the American Bank Note Company. A rare sight draft. New York, March 16, 1857. "Twelve days after date

pay to the order of E. J. Danforth four thousand dollars, value received and charge the account of Shepard & Morgan" Signed as maker of the note by Danforth, Wright & Co. Endorsed on verso "E. J. Danforth, Danforth, Wright & Co. A scarce autograph of this important American engraver. Pen cancelled on front. Danforth's signature on verso remains Bold and uncanceled.

\$200 - up



**BUICK OIL COMPANY STOCK
CERTIFICATE SIGNED BY
AUTOMOTIVE PIONEER
DAVID D. BUICK**

* 49
1912, California. Stock certificate for 20 shares in the Buick Oil Company. Gray/Black with gold overprint and nice embossed gold company seal. Vignette of steam locomotive moving through oilfield at top center. Litho. Signed as president by DAVID DUNBAR BUICK (1855 -1929); Scottish-born American automotive pioneer and inventor. It is an interesting, accurate, and oft-told bit of automotive lore that the man who gave the world the Buick automobile, David D. Buick, is also the man who developed the process by which porcelain is attached to cast iron, thereby also giving the world white bathtubs. In 1899, Buick, an inveterate tinkerer, found gasoline engines and horseless carriages more challenging than plumbing fixtures, so he sold off his plumbing business to form the Buick Auto-Vim and Power Company which was to produce gasoline engines for farm and marine use. After several reorganizations and changes in direction, the first Buick automobile was produced in 1903. For whatever reason, David Buick was reluctant to begin production, and investors came and went until November 1904, when the Buick Motor Company was "pawnd off" on the co-owner of the Durant-Dort Carriage Company, the legendary William Crapo Durant (1861 - 1947), who would use Buick as the cornerstone of the massive automotive conglomerate he would begin forming in 1908, General Motors. With Durant in control, "new blood" was brought into Buick from companies such as Cadillac, and capitalization of Buick Motors increased from \$75,000 to \$1.5 million, with Durant himself reputedly selling \$500,000 in a single day. Lost in the shuffle was Buick himself who, by 1908, had left to go on to a series of spectacular financial misadventures which included carburetor manufacture, an oil company, and two automobile companies (the Lorraine and the Dunbar). By 1928, Buick had been reduced to working at the information desk at the Detroit School of Trades and, in 1929, he died in poverty at the age of 74. Uncanceled and very fine. \$600 - up



**NISSEQUOGUE TROUT CLUB
BOND SIGNED BY FAMED
ARCHITECT STANFORD WHITE**

* 50
1894, New York. \$100 bond bearing 5% interest. Green/Black. Litho. Signed as president by **Stanford White 1853 - 1906** Architect; born in New York A self-taught artist, he apprenticed with H. H. Richardson. During his partnership in McKim, Mead and White (1879-1906), the firm became the largest architectural office in the world. White was a prolific designer of furniture, interiors, jewelry, and even magazine covers; his graceful decorations complemented McKim's classical forms. He designed the first Madison Square Garden, Washington Arch, and the Metropolitan Club (all in New York City). A bon vivant, his relationship with Evelyn Nesbit (beginning when she was fourteen years old), who subsequently married Harry K. Thaw, fueled Thaw's jealousy of White's eminence and panache, and Thaw shot Stanford White to death on the rooftop of Madison Square Garden in June 1906. Couple of minor archival tape repairs on verso. A scarce bond signed by one of the foremost architects in American history. Lightly pen cancelled at signature. Very Fine. \$750 - up



**PAN AMERICAN AIRWAYS STOCK
ISSUED TO AND SIGNED BY CBS
FOUNDER WILLIAM S. PALEY**

* 51
1937, Delaware. Stock certificate for 100 shares of Pan American Airways Corp. Green/Black. Issued to and signed on accompanying stock power by **WILLIAM S. PALEY**. Broadcasting Executive, founder

of the Columbia Broadcasting System (CBS). Facsimile signature of Juan Trippe. Punch cancellations affecting signature, but fully readable. \$300 - up



**EDWARD H.R. GREEN SON OF THE
"WITCH OF WALL STREET"**

* 52
1913, New York. Stock certificate for 5 shares in the Columbia-Knickerbocker Trust Co. Black. Vignette at top center of a female. Issued to and signed on verso by Edward H. R. Green. **GREEN, EDWARD H.R.** (1868-1936). Capitalist; Son of Hetty Green (1834-1916). A lawyer by training, Green entered into the business world under the watchful eye of his mother. He began his career as a clerk in the office of the Connecticut River Railroad, where he "learned the ropes" of railroad operations, and revealed his business acumen. At age 21 he was elected a director of the Ohio and Mississippi Railroad, a position which enabled him to further his expertise in railroad operations. In 1893, he visited Texas where he purchased the Houston and Texas Central Railroad, one of the most important transportation systems in the state and a line formerly controlled by his mother. That year he also bought the Texas Midland Railroad, and served as its president and general manager for some time. Later positions which he held included directorships in the Chase National bank (NY), Murray Co. and the Terrell Co., trusteeships of the NY Produce Exchange and Safe Deposit & Storage Co., three-term chairmanship of the Texas Republican State Committee, and coordinator and director of the 1904 St. Louis expansion. Stamp and punch cancelled with Green's strong signature unaffected. Very fine. \$400-up



**DORT MOTOR CAR COMPANY
SIGNED BY AUTOMOTIVE
PIONEER J. DALLAS DORT**

* 53
1922, Delaware. Stock certificate for 1 share. Brown/Black. Engraved vignette of the Dort logo flanked by two reclining females at top center. Signed by **J. DALLAS DORT** (1861 - 1925) as president. Produced in Flint, Michigan between 1915-24, the Dort grew out of the Durant-Dort Carriage Company. Punch cancelled and. Very fine. \$100 - up



A GREAT ASSOCIATION OF RUSSELL SAGE AND JAY GOULD ON THE SAME STOCK

* 54
1880, New York. Stock certificate for 100 shares of the Missouri, Kansas and Texas Railway Company. Issued to and signed on verso by RUSSELL SAGE. Signed as president of the company by JAY GOULD.

RUSSELL SAGE (1816 - 1906). Banker; financier; congressman. As the originator of "put and call options", Sage greatly changed the way speculators played the stock market. Among America's most powerful and wealthy bankers, he financed Jay Gould and made a vast fortune on the latter's stock manipulations. "Sage was one of the shrewdest and most conservative of all great financiers." (DAB) At one time he is said to have had \$27,000,000 out on call loans. At the time of his death he left a fortune worth an estimated \$70,000,000.

JAY GOULD (1836 - 1892). Railroad magnate; Financier; Stock market manipulator. Gould began his rise by gaining control of a small Vermont railroad in 1860 at the age of 24. Over the next 10-15 years he made a fortune by buying and selling railroads and manipulating their stock. His most famous railroad battle came in the late 1860s when, along with Jim Fisk and Daniel Drew, he struggled for control of the Erie Railroad against "Commodore" Vanderbilt. Gould went on to become "the most hated man in America" when his attempt to corner the gold market caused a panic termed "Black Friday" in September 1869. Turning towards western railroads in the 1870s, he came to own or control numerous roads, including the Union Pacific and Kansas Pacific. It is estimated that he controlled about 10% of the railroad track in the U.S. by 1880. He went on to gain control of the Western Union Telegraph Company, and the New York elevated railroad. At his death, his fortune was estimated to be \$72 million.

While both Gould and Sage are frequently encountered on certificates, this example associating the two financial legends and signed by both offers a seldom encountered opportunity to acquire them on the same certificate. Lightly punch cancelled at Gould's signature. Sage's signature remains uncanceled. Very Fine. \$750 - up



GEORGE GETTY SIGNS AN OKLAHOMA OIL CORPORATION STOCK AS PRESIDENT

* 55
GEORGE GETTY. DS. 1pp. 10 3/4" x 8 1/4". Arizona. June 28 1921. An "Oklahoma Oil Corporation" stock signed by George Getty as president. It is for 100 shares. There is a receipt glued to the left edge that covers a gold corporate seal and a vignette of an oil field. Stamp cancelled and in very fine condition overall. \$600 - up



A PAN AMERICAN AIRWAYS CORPORATION STOCK ISSUED TO AND SIGNED BY L.L. BEAN

* 56
L.L. BEAN. 1937, Delaware. Certificate for 40 shares of the Pan American Airways Corporation issued to and signed by "Leon L. Bean" on the verso. The stock has orange borders and background with a facsimile signature of Juan Trippe. The piece is lightly punch cancelled, including two small holes that barely touch Bean's signature. It is in very fine condition overall. \$350 - up



ATTRACTIVE TEXAS GOVERNMENT BOND SIGNED BY DAVID BURNET

* 57
1841, Texas. Bond for \$500. Black/White. Top center vignette of an Indian. Left border vignette of a farmer plowing the fields. Attached coupons. Cut cancelled. Signed by David Burnet as President. **DAVID G. BURNET**, (1788-1870). President and interim of the Republic of Texas (1836; 1841); Texas patriot. Burnet's signature is in dark ink and bold. \$300 - up



A.FELIX DU PONT

* 58
1927, Pennsylvania. Stock certificate for 100 shares in the Pittsburgh & Lake Erie Rail Road Co. Green/Black. Very attractive piece with at large center vignette of Pittsburgh flanked by smaller vignettes of a steam locomotive at left and a harbor scene at right. Issued to A. Felix Du Pont and signed by him on verso DU PONT, A. FELIX (1879-1948), Vice President of E.I. duPont de Nemours & Co. A. Felix along with an aggressive Ireneee teamed with Pierre S., Lamnot, et. al. to form a syndicate for the purchase of Thomas Coleman's company stock holdings. The ensuing court battle was eventually won by the young syndicate. Stamp and punch cancelled leaving du Pont's signature unaffected. Very fine. \$100 - up



RARE STOCK SIGNED BY SILENT FILM STAR EDDIE CANTOR

* 59
1928, New York. Stock certificate for 100 shares. Blue/Black. Issued to and signed on verso by **EDDIE CANTOR** (1892 - 1964) Actor and singer, born in New York City, New York, USA. A rolling-eyed actor with a high-pitched singing voice, who often performed in black-face, Cantor appeared in Kid Cabaret (1912) with George Jessel. He worked for Florenz Ziegfeld (1916-28) where he sang his best known songs, including "Making Whoopee." A radio host and movie actor in the 1930s, he helped to found actors' unions and to promote the March of Dimes. Only the second time we've seen Cantor on a stock. Light punch cancellation not affecting signature. \$200 - up



ST. LOUIS REFRIGERATING AND COLD STORAGE COMPANY STOCK ISSUED TO THE EXECUTORS OF THE ESTATE OF LILLY BUSCH AND SIGNED TWICE BY AUGUST A. BUSCH

* 60
1930, Missouri. Stock certificate for 9 shares. Brown/Black. Vignette of an eagle and fruit. The vignette which is presumably the company logo indicates "Preserved Fruits". Issued to "August A. Busch & Rudolph A. Huber, Executors under will of Lilly Busch, deceased" for 9 shares. Brown/Black. Signed twice by AUGUST A. BUSCH on the stub at left and again on the attached proxy document on verso for as an executor of the the estate. AUGUST A. BUSCH, SR. (1865-1934) guided the company through three major crises in succession—World War, Prohibition and the Great Depression. During prohibition, the Anheuser-Busch enterprise had changed product lines to production of corn products, baker's yeast, ice cream, soft drinks and commercial refrigeration units. Many of these operations were discontinued after Prohibition ended in 1933. ". It is likely that the Busch family held ownership in this company as their need for cold storage for their products was very high. LILLY BUSCH was August's mother and wife of Adolphus Busch, co-founder of the Anheuser-Busch empire.

The first we've had of August Busch on a stock. Lightly stamp cancelled on the front. Both signatures remain uncanceled. Very Fine. \$500 - up



NATHAN BEDFORD FORREST

* 61

1869, Alabama. \$1,000 Selma, Marion & Memphis RR Co. Bond bearing 8% interest. Green/Black with gold overprint and orange imprinted revenues on front and back. Vignette of steam locomotive pulling into a station at top center and cotton workers at lower right. Litho. Coupons at bottom. Signed twice by NATHAN BEDFORD FORREST, once on front as president and again on verso in the same capacity. **FORREST, NATHAN BEDFORD** (1821-1877). Confederate general during the Civil War. Forrest is generally recognized as the finest Cavalry officer in the Civil War and, indeed, one of the finest in history. Enlisting in the Confederate Army in June 1861, Forrest became a lieutenant-colonel in command of a cavalry troop by October of the same year. Appointed brigadier-general in July 1862, he then began his career of brilliant cavalry raids that gave him the reputation of being one of the finest cavalry leaders of the War. These were usually bold raids against Union communications or against posts deep within the Union lines. One of his most remarkable achievements was his capture, after five days of fighting and marching, of an entire Union cavalry brigade near Rome, GA in April 1863. The one serious blot on Forrest's record is the famous "Fort Pillow Massacre," where black Union troops defending Fort Pillow (Tennessee) were slaughtered by Forrest's men after having surrendered. While it is not believed that Forrest ordered the massacre, it is felt that he was ultimately responsible in that he should have better gauged the mood of his men and taken appropriate precautions. He was appointed major-general in December 1863 and lieutenant-general in February 1865, near the end of the War. He surrendered his command May 9, 1865. After the War Forrest returned to his cotton plantations, and was involved in the foundation and early activities of the Ku Klux Klan, but his connection thereafter seems to have been brief.

Uncancelled and Extremely fine.

\$1,750 - up



Henry Villard

ONE OF ONLY TWO YELLOWSTONE PARK STOCKS ISSUED TO AND SIGNED BY HENRY VILLARD

* 63

1890, Minnesota. Stock certificate for 100 shares of Yellowstone Park Association. Black. Vignette of a lake and spouting geyser, mountains in the background. Litho. Issued to and signed on verso by **HENRY VILLARD** (1835 - 1900), railway builder, financier, journalist.

HENRY VILLARD

1835-1900, American journalist and financier, b. Germany. His first name was originally Hilgard. He attended universities in Germany, and after he reached (1853) the United States he did newspaper reporting. He won distinction in 1858 by reporting the Lincoln-Douglas debates, and in the Civil War he was a correspondent for New York newspapers. In 1873 he acted as agent for holders of Western railroad securities and soon became active in railroad financing. He organized (1879) the Oregon Railway and Navigation Company and gained a solid foothold in the transportation of the Pacific Northwest area. He then obtained a controlling interest in the Northern Pacific RR and became (1881) its president, but completion of the building of that railroad through the mountains bankrupted him (1883). With new capital Villard once more gained control of the Northern Pacific and in 1889 became chairman of the board of directors. He merged (1890) smaller companies to form the Edison General Electric Company (later the General Electric Company) and was its president until 1893. Villard obtained (1881) control of the New York Evening Post, which later (1897) came under the management of his son, Oswald Garrison Villard. He generously contributed to the Univ. of Oregon. \$2,000 - up



PULLMAN SOUTHERN CAR COMPANY

* 64

1876, Kentucky. \$500 bond bearing 7% interest. Brown/Black. Engraved vignette of an allegorical figure at top center. Signed at bottom right as President by **GEORGE W. PULLMAN** (1831 - 1897). Industrialist; Inventor. Pullman's Palace Car Company was organized in 1867 after public acceptance of his "Pioneer" sleeping car. Punch cancellation at signature. Extremely fine. \$225 - up

ISSUED TO NOT SIGNED



LOUISVILLE BRIDGE COMPANY ISSUED TO JOSEPH DREXEL

* 65

1876, Kentucky. Stock certificate for 250 shares. Black on white paper. Graphic engraved center vignette of steamboats crossing under a bridge. Stamp cancelled. \$125 - up



A CHOICE CDV AND SIGNATURE COMBINATION OF COMMODORE VANDERBILT

* 62

"Commodore" Cornelius Vanderbilt (1794 - 1877). Steamship and railroad promoter, financier. A large, bold signature "C. Vanderbilt" on a card. Accompanied by a fresh, clean CDV by Guernsey & Son, Fifth Avenue, New York. The pair is nicely matted and ready for a frame. A nice combination. \$450 - up



PHILADELPHIA, WILMINGTON, AND BALTIMORE RAILROAD COMPANY – ISSUED TO HENRY CABOT LODGE

* 66
1871. Boston, Massachusetts. Issued stock certificate made out to not signed by Henry Cabot Lodge (1850-1924). Lodge was a senator, writer, and prosperous merchant dealing ownership of clipper-ships engaged in commerce to China. Blue border and writing with revenue stamp. \$50-up



PHILADELPHIA, WILMINGTON, AND BALTIMORE RAILROAD COMPANY – ISSUED TO ABBOTT LAWRENCE

* 67
1871. Boston, Massachusetts. Issued stock certificate made out to not signed by Abbott Lawrence (1792-1855). Lawrence was a merchant, manufacturer, diplomat, diplomat, and philanthropist. Blue border and writing with revenue stamp. \$50-up



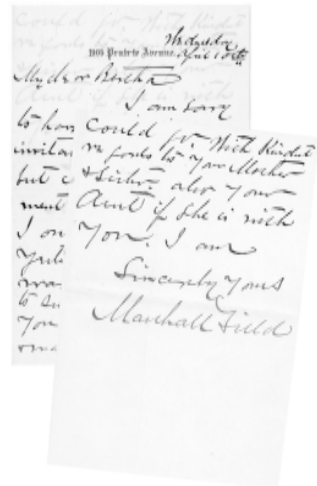
A NEW YORK CENTRAL SLEEPING CAR COMPANY STOCK ISSUED TO NATURALIST GEORGE GRINNELL

* 68
GEORGE B. GRINNELL (1849-1938). Grinnell was a naturalist, conservationist, editor and author. In 1886, he founded the Audubon Society and, in 1887, along with Theodore Roosevelt, founded an organization of sportsmen and conservationists sharing, the editorship of the organization's publication with Roosevelt. Glacier National Park was created largely through Grinnell's efforts and, in 1925, he was awarded the Roosevelt gold medal of honor and was elected President of the National Parks Association. 1882, New York. Certificate for 16 shares issued to "George B Grinnell" though not signed by him. Black on white paper with a small engraving of a steam locomotive pulling passenger cars. Stamp and punch cancelled and in extremely fine condition. \$100 - up



DAVID SARNOFF

* 69
DAVID SARNOFF (1891-1971). Broadcasting pioneer; Business executive. TLS. 1 page. New York, December 20, 1939. On imprinted RCA building letterhead to a Mr. James Weblow; "Many thanks for sending me the original direct color photograph by Anton Bruehl. I appreciate your thoughtfulness... and wishes to you for a Merry Christmas Happy New Year..." Boldly signed by Sarnoff. Fine. \$250 - up



MARSHALL FIELD

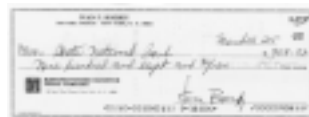
* 70
ALS. 2pp. 4 1/2" x 6 3/4". 1905 Prairie Avenue. Wednesday, April 10th. An autograph letter signed "Marshall Field" to "Bertha": "I am sorry to have to decline your invitation for the 19th, but I have an Engagement that Evening. I only arrived home yesterday, found it too warm at Jekyl Island to suit me. I am sure you had a fine time & was very glad you could go. With Kindest regards to your Mother & Sister, also your Aunt if she is with you..." The letter is in choice condition overall. \$250 - up



COCA COLA FOUNDER ASA CANDLER WRITES TO HIS MOTHER ON BUSINESS

"THE WEEK HAS BEEN CROWDED WITH WORK. WE HAVE PROBABLY DONE MORE BUSINESS THAN DURING ANY WEEK OF OUREXISTENCE"

* 71
ASA CANDLER. ALS. 3pp. 6" x 9 1/4". Atlanta, Ga. 5/22/97. A lengthy autograph letter signed "Asa G Candler" to his mother, telling the details of his busy executive life: "I came close this week till I write for a line. The week has been crowded with work. We have probably done more business than during any week of our existence. Daughter went to Villa Rica [Candler's hometown] yesterday to spend a week goes over today till Wednesday morning. We can hardly spar[e] him but I thought he ought to spend a day [or] so with his mother. Walter received your letter of course he was very proud of it. He will answer it tomorrow. Last night Mr Batchelder gave a lawn party in the interests of the League. Asa Warren recited & did beautifully. If he had training he would be a great man. Several came to me & Congratulated me on his nice speaking. Mrs Dora Hampton of Decatur especially asked about you & sent her love. Says she wants you to pray often for her. Warren is in Macon. He Monday a commencement sermon tomorrow Agnes Scott is having commencement exercises this week next. We want to attend if we can. I hope sister is taking things quietly. I want you & her to come to our house as soon as she can leave home. I know she needs medical attention. Love to you both." The letter is written on three separate sheets, all marked "ASA G. CANDLER" at the top. There is an unobtrusive tear to the top of the first page, but the ink is dark and the paper is fine. The condition is very fine. \$1,250 - up

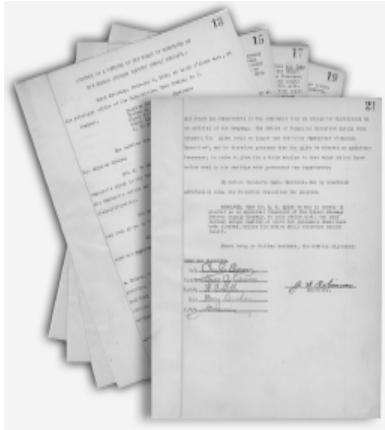


SCARCE CHECK SIGNED BY WALL STREET ARBITRAGEUR AND CONVICTED INSIDER TRADER IVAN BOESKY

* 72
D.S. 1 page. New York. March 14, 1975. Partly-printed bank check drawn on Manufacturer's Hanover Trust Company payable to State National Bank in the amount of \$908.32. Boldly signed as maker by IVAN BOESKY.

Ivan Boesky, son of a Russian immigrant who ran a chain of Detroit delicatessens, was a successful arbitrageur in the 1970s. But his profits shrunk as others joined this lucrative practice. From about 1982 he made

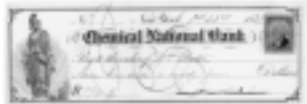
use of his wide range of insider dealing contacts to discover potential take-over victims before the information was made public, and to trade in the target shares. Often his sources were raiders as well who profited from extra pressure Boesky put on the victim companies. Boesky became Wall Street's most feared arbitrageur. As a result of one of Wall Street's most famous of inside trading scandals, in 1986 the US regulatory body Securities Exchange Commission (SEC) fined him \$100 million. Half of the fine was charge for insider trading and the rest in illicit profit repayments. He was allowed to pay the \$50 million fine with shares - which the SEC admitted later had dropped \$13 million in value. He also successfully argued that his repayment of illicit profits was tax-deductible. Light bank punch cancellation not affecting Boesky's signature. A fine autograph from one of America's most illustrious wall street insiders. In Excellent condition. \$150 - up



THOMAS EDISON, WITH HIS SON CHARLES EDISON, SET THE BY LAWS OF THE EDISON STORAGE BATTERY COMPANY

* 73

An important 9 page 8 x 11" DS, West Orange, N.J., February 9, 1924, being the minutes of a crucial meeting of the Board of Directors of the Edison Storage Battery Company, in which the company's by - laws were laid out and adopted. The specific powers and responsibilities of each of the chief positions within the organization were laid out and defined, as well as their specific terms of office. Rules for attending to tasks such as annual and special meetings of the shareholders were also defined. In essence, in this meeting, the organization defined the terms of its own existence. Because of the listing of the By - laws of the corporation, these minutes, signed as usual by **Thomas Edison, Charles Edison**, and the rest of the Board, are of far greater importance than those of more commonplace meetings, and estimated accordingly. An important piece of Edison corporate history. Very Fine condition. \$2,000 - up



CHECK SIGNED BY WILLIAM AND CAROLINE ASTOR

* 74

ASTOR, CAROLINE and ASTOR, WILLIAM (1830-1892). Partly-printed bank check drawn on the Chemical National Bank, New York, dated October 23, 1873 and payable to her husband, William Astor, in the amount of \$794.00 Accomplished in Caroline Astor's hand and signed by her as maker on recto. Endorsed by William Astor on verso. Statue of standing female figure at left, adjacent to attached adhesive revenue stamp. 8" x 2 1/2". About Caroline it has been said, "Excepting only the original John Jacob, the person who had the most dramatic and lasting impact on the Astor family was an in-law, Caroline Webster Schermerhorn, mother of John Jacob IV, wife of William Backhouse, Jr., and undisputed ruler of New York (and therefore American) society for the last quarter of the nineteenth century. . . No one in this country before or since has commanded the social clout that Caroline Astor possessed" ("The Astor Family" by Gates). A nice association of the two Astors. Cut and pen cancellations, the latter affecting Mrs. Astor's signature; all paper intact. Extremely fine. \$90-up



BUILDER OF THE SUTRO TUNNEL

* 75

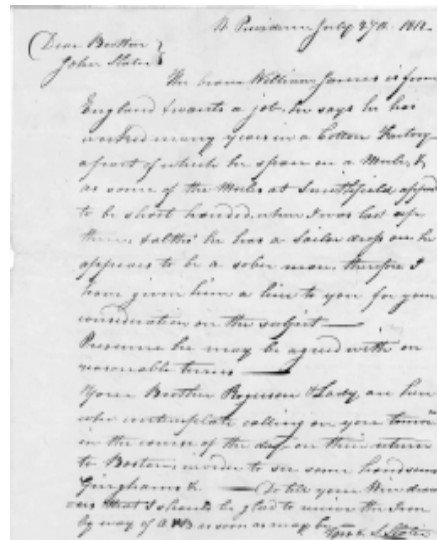
ADOLPH SUTRO (1830-1898). Mining engineer. Sutro formed the Sutro Tunnel Company which constructed a tunnel to provide ventilation, drainage and an easy means of transporting men and materials to and from the mines in the region of the Comstock Lode. Retiring to San Francisco in 1879, he became active in civic and business affairs, and was elected mayor in 1894. Always interested in the history and science of printing, he amassed a collection of over 200,000 volumes on this subject, much of which now forms a part of the San Francisco Public Library. Partly-printed bank check drawn on The American Exchange National Bank payable to Mrs. E. Hoepfner in the amount of \$75.23. Accomplished entirely in his hand and signed by Sutro as maker. Cut cancelled and Fine. \$125 - up



WALT WHITMAN HANDWRITES AND SIGNS A CHECK

* 76

WALT WHITMAN. ADS. 1pp. 6 1/2" x 2 3/4". Camden N.J. April 1, 1876. A partly-printed "National State Bank" check signed "Walt Whitman". Whitman also engrossed the check by writing "Seventy Dollars" and "70" on it. The check has a light stain on the left side that affects nothing. A accompanying slip of paper notes that the check was given by Horace Traubel, Whitman's literary executor, to Mrs. Louise Collier Willcox. The check has the usual cancellation marks that only affects the "D" and is backed to a thin board. The writing is dark and the signature is large. \$1,750 - up



A RARE SAMUEL SLATER AUTOGRAPH LETTER SIGNED CONCERNING A BRITISH COTTON WORKER WHO HE WANTS TO HIRE: "ALTHO' [SIC] HE HAS A SAILOR DRESS ON HE APPEARS TO BE A SOBER MAN"

* 77

SAMUEL SLATER. ALS. 1pp. 8" x 9 3/4". N. Providence. July 27th 1812. A lengthy and rare autograph letter signed "S. Slater" addressed to his brother "John Slater". Samuel recommended a British born man who previously worked in a cotton mill; perhaps the British born Slater saw something of himself in this man: "The bearer William James is from England & wants a job, he says he has worked many years in a Cotton Factory a part of which he spun on a Mule & as some of the Mules at Smithfield appeared to be short handed, when I was last up there, & altho' [sic] he has a Sailor dress on he appears to be a sober man, therefore I have given him a line to you for your consideration on the subject. Presume he may be agreed with on reasonable terms. Your Brother Rogeson & Lady are here who contemplate calling on you tomorrow in the course of the day on their return to Boston, invited to see some handsome Gingham. Do tell your Wire drawers that I should be glad to received the Iron by way of A & B as soon as may be." The piece has dark ink and the usual folds. \$2,000 - up



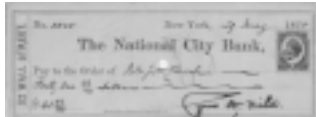
SCARCE CHECK SIGNED BY "BLACK JACK" PERSHING

* 78
JOHN J. PERSHING (1860-1948). American General. Pershing came to national attention when he commanded the army that entered Mexico to pursue Pancho Villa and his compatriots who had been raiding American communities along the Mexican border. Pershing's long pursuit finally broke Villa's power. Upon American entry into World War I, Pershing was given command of the American forces in Europe. Partly-printed bank check drawn on his personal account payable to Waldo C. Moore in the amount of "one cent only". Pershing is quite scarce in check format. Pershing's signature is just a touch lighter at the conclusion as the pen appears to have been running out of ink. Uncancelled and Fine. \$250 - up



AN EX-SLAVE WHO BECAME THE FIRST BLACK REGISTER OF THE U.S. TREASURY

* 81
Judson Whitlocke Lyons was born in Augusta, Georgia. Born to slave parents in 1858, he rose to become a member of the Republican National Committee from Georgia (1904) and was appointed to the post of Register of the Treasury (1898-1906). Washington, D.C. July 6, 1907. Partly-printed bank check drawn on the Riggs National Bank payable to J. E. Ager in the amount of \$6.00. Nice vignette of the Riggs bank building at left. Accomplished entirely in his hand and signed by Lyons as maker. Usual bank punch and stamp cancellations not affecting Lyon's signature. Very Fine. \$100 - up



A CYRUS FIELD SIGNED CHECK

* 79
CYRUS FIELD. ADS. 1pp. 7" x 2 3/4". New York. 29 May 1878. A "National City Bank" check signed "Cyrus W. Field" and completely engrossed by him. He paid "J. H. Clinch Forty One 42/1-Dollars". One stamp and punch cancellation that touch the engrossment but not the signature in any way. It is in very fine condition. \$200 - up



CHECK SIGNED BY ASTRONAUT JIM IRWIN

* 82
JIM IRWIN (1930 - 1991). American Astronaut and Moonwalker. Irwin was aboard Apollo 15, but resigned soon afterwards to star an evangelical group called High Flight. DS. 1 page. 6" x 2 3/4". Colorado Springs. 18, Feb. 1984. Partly-printed bank check drawn on First Bank payable to The Conservative Caucus. Accomplished entirely in his hand and signed as maker. Light bank stamp cancellation. Very Fine. \$125 - up



ERSKINE CALDWELL

* 80
1937, Maine. Partly printed check payable to Helen Caldwell in the amount of \$8.57 drawn on the First National Granite Bank. Accomplished entirely in his hand and signed as maker by **ERSKINE CALDWELL** (1903 - 1987). Writer. Frequently called, "the South's literary bad boy," Caldwell was one of America's most banned and censored writers, as well as having been one of its most financially successful. While many of his novels examine the seamier side of life in the American south, Tobacco Road and God's Little Acre being his best known, his full range of writings has only recently been recognized. His book, Georgia Boy, stands with Faulkner's last work as one of the finest novels of boyhood in American literature. Some light show-through of bank stamps on verso. Punch cancelled and fine. \$200 - up



CHECK SIGNED BY WALTER P. CHRYSLER, JR.

* 83
DS. 1 page. New York, November 26, 1930. Partly-printed bank check drawn on the Equitable Trust Company of New York payable to The Biltmore in the amount of \$30.15. Accomplished entirely in Chrysler's hand and signed by him as maker. Few small bank punch cancellations affect the Walter portion of the signature. Extremely fine. \$75 - up

CURRENCY



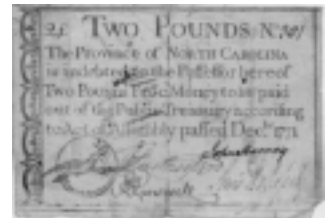
COLONIAL NOTE SIGNED BY JOHN HART

* 84
JOHN HART (1711-1779). Signer of the Declaration of Independence from New Jersey. DS. 1 page. 4" x 2 1/4". New Jersey, 1776. Colonial New Jersey six shilling note printed in 1776 signed by John Hart and John Stevens Jr. a noted inventor who built the first ocean going steamboat. Some light toning on verso in a couple of places. Harts signature is strong. Minor surface scar near Hart's signature. Still, a decent example. Fine. \$400 - up



1780, MASSACHUSETTS COLONIAL NOTE

* 87
1780, Massachusetts. Colonial note for eight dollars. 3 3/4" x 2 3/4". Black/white. Trimmed into the border. Small hole at center. Cut cancelled. Printed by Hall and Sellers of Philadelphia. Fine. \$40 - up



1771, NORTH CAROLINA COLONIAL NOTE

* 88
1771, North Carolina. Colonial note for two pounds. 4 1/2" x 3". Black/white. Small corner tear at lower right corner. Folds and a few tears. Fine. \$225 - up



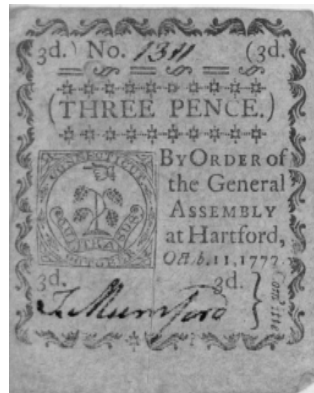
1776, NEW JERSEY COLONIAL NOTE

* 85
1776, New Jersey. Colonial note for one shilling. 4 3/4" x 2 1/2". Black/white. Printed by Isaac Collins. Irregularly cut at border in upper left corner. Otherwise, AU. \$150 - up



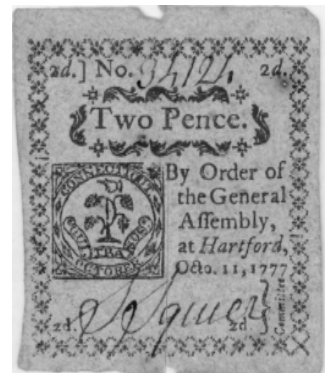
1776, NEW JERSEY SIX SHILLING COLONIAL NOTE

* 89
1776, New Jersey. Colonial note for six shillings. 4 1/4" x 2 1/2". Black/red/white. Ornate border. Printed by Isaac Collins. Center fold. VF. \$150 - up



1777, CONNECTICUT COLONIAL NOTE

* 86
1777, Hartford. Colonial note for three pence. 2" x 2 1/2". Printed on blue paper. Ornate border. Cut cancelled. VF. \$125 - up



1777, COLONIAL TWO PENCE BLUE PAPER NOTE

* 90
1777, Hartford. Colonial note for two pence. 2"x2". Printed on blue paper. Small tear at top center. Cut cancelled and very fine. \$125 - up

AUTOMOTIVE



AN INTERESTING COLLECTION OF TWELVE DIFFERENT GENERAL MOTORS VARIETIES

*** 91**
Twelve different stock certificates with dates varying from 1955 to 1980. A seldom seen offering of so many varieties. All fine or better. \$75 - up



CHRYSLER FINANCIAL CORPORATION

*** 92**
SPECIMEN. Michigan. Subordinated step-down floating rate note. Blue. Top center vignette with allegorical figures flanking Chrysler emblem. Lightly punch cancelled and in Excellent condition. \$40 - up



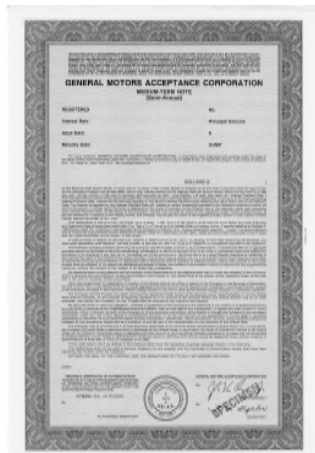
AVIS, INC

*** 93**
1973, Delaware. Stock certificate for 100 shares. Brown/Red. Engraved vignette of a female figure at left. A seldom encountered stock certificate from one of the world's most famous car rental companies. Lightly punch cancelled and Very Fine. \$25 - up



LEE RUBBER & TIRE CORPORATION

*** 94**
1931. New York. Stock certificate for 100 shares. Green/Black. Engraved vignette of the company logo flanked by allegorical figures. Lightly punch cancelled and Very Fine. \$50 - up



GENERAL MOTORS ACCEPTANCE CORP. SPECIMEN

*** 95**
New York. Medium-Term Note. Blue/Black. SPECIMEN is hole punch perforated at center. Excellent. \$40 - up



EARLY GENERAL MOTORS

*** 96**
1931, Delaware. Stock certificate for 100 shares. Brown/Black. Vignette of seated figures flanking company logo. Artistic facsimile signature of Alfred Sloan, Jr. Rare this early. Punch cancelled and choice. \$175 - up



STEINMETZ ELECTRIC MOTOR CAR CORPORATION

*** 97**
1924, Maryland. Stock certificate for 5 shares. Blue/Black. Engraved. Organized in Baltimore, this company was founded by Charles P. Steinmetz (1865-1923), mathematician and engineer, "a man whose reputation in the electrical field was rivaled only by that of Thomas Edison." It was Steinmetz's hope to build a \$500 electric car and, while the company did build a four prototypes, it was beset with a variety of financial problems almost immediately upon its organization. Refinancing attempts were unsuccessful, and the company was dissolved in 1926. A super and quite scarce auto stock. Uncancelled. Lightly folded. Extremely Fine. \$175 - up



FORD WERKE AKTIENGESELLSCHAFT

*** 98**
1941, Germany. 1,000 Reichsmark Stock Certificate. Brown and red on white paper with an attractive raised Ford seal. Uncancelled and extremely fine. \$100 - up



SCHUYLKILL TRANSPORTATION COMPANY

*** 99**
1930, Pennsylvania. \$100 bond. Black. Interesting vignette of an early bus. Litho. Attached page of coupons. Uncancelled and Extremely Fine. \$125 - up



BAYERISCHE MOTOREN WERKE (BMW)

*** 100**
1942, Munich, Germany. 1000 Reichsmark Bond bearing 4% interest. Blue/Black. Ornate background. Uncancelled and choice. \$90 - up



BAYERISCHE MOTOREN WERKE (BMW)

*** 101**
1941, Munich, Germany. 1000 Reichsmark Stock. Green/Brown/Black. Ornate background and border design with BMW logo at center. The stocks are somewhat scarcer than that of the bond as shown in the previous lot. Uncancelled and choice. \$125 - up



COMPANIA GENERAL DE COCHES Y AUTOMOVILES

*** 102**
1910, France. Stock certificate for one share. Vignette of early automobiles in front of a park. Litho. Stamp cancelled and very fine. \$100 - up



STOCK CERTIFICATE FROM THE EARLY AMERICAN CAR COMPANY TEMPLAR MOTORS

*** 103**
1920, Cleveland, Ohio. Certificate for 10 shares. The Templar Motors Company was based in Cleveland and existed from 1917 to 1924, and it produced a number of touring cars and roadsters. The young company received a great publicity boost when a driver set a New York to Chicago record in a Templar. The company's demise, however, was caused by the post World War I Depression and poor company management. Black and gold on white paper with a top center vignette of a spread eagle. Litho. Uncancelled and in very fine condition. \$150 - up



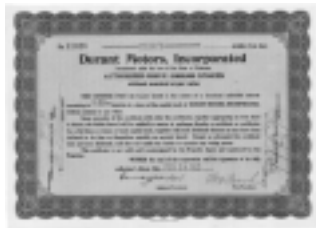
INTERNATIONAL HARVESTER CO. SPECIMEN

* 104
SPECIMEN. Delaware. Stock certificate for not more than 100,000 shares. Red/Black. Lovely engraved vignette of an early horse-drawn harvester at work in a wheatfield flanked by male allegorical figures. A great specimen from this legendary American company. Lightly punch cancelled and in Excellent condition. \$80 - up



FORD MOTOR CO. SPECIMEN

* 105
SPECIMEN. Registered bond bearing 9.15% due in 2004. Green/Black. Lovely engraved vignette of an early engineer overlooking a Ford Model A, city skyline and panoramic rural view in background. A rare automotive specimen. Lightly punch cancelled and in Excellent condition. \$80 - up



DURANT MOTORS, INC.

* 106
1925, Delaware. Stock certificate for 30/60 of a share. Green/Black. Operating between 1921-33, Durant Motors was to be the centerpiece of William Crapo Durant's second automotive empire, the first having been General Motors which he founded in 1908, but which he ultimately lost control of. Such was the reputation and drawing power of Durant that, by the mid-1920's, Durant Motors purportedly had more stockholders than any American corporation other than AT&T. While things looked rosy initially, Durant repeated the mistakes he had made at GM, and became involved in too many projects. Important decisions were not being made in a timely manner at a time when the public was demanding that an auto be more than "Just a Real Good Car", Durant Motors' slogan, and the company suffered as a result. The company limped into 1929 and, like so many businesses at the

ime, was dealt a staggering blow by the crash. Even the \$90 million of his own money which Durant pumped into the company failed to keep it afloat, and the company was finally liquidated in 1933. Uncancelled and very fine. \$75 - up



FORD MOTOR CREDIT COMPANY SPECIMEN

* 107
SPECIMEN. Delaware. \$5000 bond bearing 6 7/8% due in 1978. Purple/Black. Lovely engraved vignette of an early engineer overlooking a Ford Model A, city skyline and panoramic rural view in background. A rare automotive specimen. Lightly punch cancelled and in Excellent condition. \$80 - up



MACK TRUCK, INC SPECIMEN

* 108
SPECIMEN. New York. Stock certificate for 100 shares. Red/Black. Engraved vignette of an early Mack Truck at the center flanked by male figures. A scarce specimen from this important and well-known truck maker. Lightly punch cancelled and in Excellent condition. \$100 - up



DURANT MOTOR COMPANY OF MICHIGAN

* 109
1924, Michigan. Stock certificate for 2 shares. Blue/Black. Vignette of the company logo at top center. A nice certificate of this company which was founded by William C. Durant after his fall from General Motors. Folds. Uncancelled and Fine. \$125 - up



STUDEBAKER CORPORATION

* 110
1952, Delaware. Stock certificate for 100 shares. Purple/Black. Lovely detailed engraved vignette of the early Studebaker blacksmith shop. Lightly punch cancelled and Extremely Fine. \$20 - up



CHRYSLER CORPORATION

* 111
1974, Delaware. \$10,000 bond bearing 8 7/8% interest. Maroon/Black. Engraved vignette of allegorical figures and company logo. Lightly punch cancelled and Extremely Fine. \$20 - up



HERTZ CORPORATION BOND

* 112
1977, Delaware. Bond for \$1000. Vignette of the famous company logo at top center. Lightly punch cancelled and very fine. \$20 - up



FORD SOCIETE ANONYME FRANCAISE

* 113
1929, Asnieres. Stock certificate. Blue. \$75-up

AVIATION



NORTH AMERICAN AVIATION INC.

* 114
1947, Delaware. Stock certificate for 100 shares. Gray/Black. Engraved vignette of flying mercury and a dual propeller airplane above the globe. Lightly punch cancelled and excellent. \$75 - up



NORTH AMERICAN AVIATION, INC.

* 115
1929, Delaware. Stock certificate for 10 shares. Green/Black. No vignette. Lightly punch cancelled and choice. \$50 - up



UNITED AIRCRAFT CORPORATION

* 116
1972, New York. Bond bearing 4 1/2% interest. Blue/Black. Engraved vignette of an allegorical figure holding airplanes at top center. Lightly punch cancelled and extremely fine. \$15-up



CURTISS-WRIGHT CORPORATION

* 117
1936, New York. Fractional certificate of subscription for shares of common stock. Blue/Black. Uncancelled and Extremely Fine. \$100 - up



GREAT LAKES AIRCRAFT CORPORATION

* 118
1934, Illinois. Stock certificate. Green/Black. Engraved vignette of a bi-plane being loaded with packages at center. American Bank Note Company. . Located in Chicago, Illinois, the company produced aircraft primarily for the government and Postal Service. Uncancelled and Extremely Fine. \$300 - up



UNIVERSAL AERIAL NAVIGATION COMPANY

* 119
1910, Arizona. Stock certificate for 200 shares. Highly unusual vignette of a fantasy-like flying machine operating at night. Black with gold embossed seal. The detail in the vignette is a bit cloudy due to the lithography. An exceptionally unusual aviation related stock. Uncancelled and Extremely Fine. \$900 - up



THE KINNER AIRPLANE AND MOTOR CORPORATION

* 120
1937, California. Certificate for 40 shares. Brown borders with black text on yellow paper. Top center engraved vignette of early propeller plane. Uncancelled and in very fine condition. \$250 - up



DETROIT AIRCRAFT CORPORATION

* 121
1930, Michigan. Stock certificate for 100 shares. Green/Black. Engraved vignette of an aircraft at top center. A choice, scarce aviation stock. Uncancelled and extremely fine. \$250-up

BANKING AND INSURANCE



BANK OF CHESTER, SO. CA.

* 122
1862, Chester, South Carolina. Stock certificate for 160 shares. Black on blue tinted paper. Eagle vignette at top center. Litho. A scarce Confederate banking stock. Uncancelled and Very Fine. \$200 - up



BRANCH OF THE PLANTERS' BANK OF TENNESSEE

* 123
1858, Tennessee. Black/White. Bond to pay \$100. Left side vignette of ships sail

ing in ocean. Only partially issued. Fine but for some ink erosion in the coupons. \$100 - up



GEORGIA LOAN AND TRUST COMPANY BOND

* 124
1911. Real Estate Bond. Vignette of an agricultural scene. Litho. Pen cancelled and Fine. \$30 - up



BANK OF NORTH CAROLINA

* 125
1860, North Carolina. Issued Stock Certificate for 100 shares. Black printing and fancy border on white paper. Two maids with factory in background at right. Farm scene at right. Man at center. Maid with hay sickle. Four Vignettes! Uncancelled and Very Fine. \$275 - up



BANK OF WILMINGTON

* 126
1856, North Carolina. Issued Stock Certificate for 2 shares. Black printing and border on white paper. Four vignettes! Sailing vessels at top center. Maid in front of a tee pee. Maid at lower center. Beautiful scene of two men with flag at left hand side. Uncancelled and Very Fine. \$275 - up



THE BANK OF PITTSYLVANIA

* 127
1862, Virginia. Black/White. Stock certificate for 10 shares. Top center vignette of cows grazing in a field. Litho. Uncancelled and Fine. \$150 - up



BANK OF THE UNITED STATES OF AMERICA, 1826

* 128
1826, no place. Stock for 12 shares. Black/White. Wonderful engraved vignette of standing liberty, eagle and shield with a sailing ship in the background. Uncancelled and fine. \$300 - up

"The Second Bank of the United States was chartered for many of the same reasons as its predecessor, the First Bank of the United States. The War of 1812 had left a formidable debt. Inflation surged ever upward due to the ever-increasing amount of notes issued by private banks. Specie was jealously hoarded. For these reasons President Madison signed a bill authorizing the 2nd Bank in 1816 with a charter lasting 20 years.

In the late 1820s a titanic clash erupted between President Jackson and bank President Nicholas Biddle. On one side was Andrew Jackson, Old Hickory, and his supporters who claimed the Bank was a threat to the republic due to its economic power. State bankers felt the central bank's influence frustrated their ability to function. Westerners and farmers claimed the bank was a baleful tool of city folks and overseas interests. On the other side stood Nicholas Biddle, an urbane Philadelphian; before banking he started a literary magazine called the Port Folio. He traveled the world and found the splendor of Greece most compelling (hence Biddle's insistence of a Greek revival structure). Supporters of Biddle's bank outnumbered detractors however. 128,117 people signed memorials to save the bank as opposed to 17,027 who signed memorials opposing the bank. Ultimately Jackson triumphed when he vetoed Congress's 1832 recharter. Jackson considered his 1832 election triumph over pro-bank candidate Henry Clay a mandate of his anti-bank policy. The bank ceased to function in 1836." (ushistory.org)



BANK OF VIRGINIA

* 129
1863. Virginia. Black/White. Stock certificate for 30 shares. No vignette. A choice Confederate bank stock. Very Fine. \$300 - up



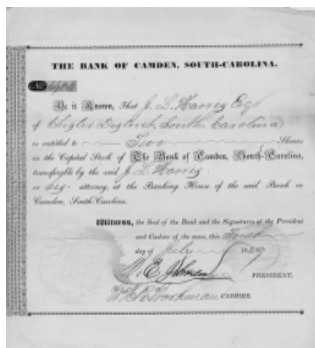
KEYSTONE MUTUAL LIFE AND HEALTH INSURANCE COMPANY INSURANCE POLICY CREDIT

* 130
1854, Harrisburg, PA. Scrip certificate certifying a credit "of ten dollars accruing on policy no. 1006 fir his share of premiums earned..." is in force. Top center vignette of horses and vignettes of women on each side. Uncancelled and Extremely Fine. \$40 - up



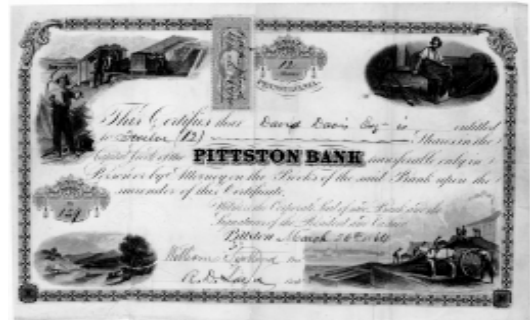
BANK OF THE VALLEY IN VIRGINIA

* 131
1849, Virginia. Black/White. Stock certificate for 4 shares. Top center vignette of two women leaning on a shield. A choice early Virginia bank. Rare. Uncancelled and Fine. \$300 - up



THE BANK OF CAMDEN, SOUTH CAROLINA

* 132
1859, South Carolina. Black/White. Stock certificate for 5 shares. No vignette but a tiny detailed border on the left hand side. Some light dampstaining at lower left. A rare early South Carolina bank. Uncancelled and Fine. \$250 - up



PITTSTON BANK

* 133
1864, Pennsylvania. Stock certificate for 12 shares. Black. A fantastic multi-vignetted certificate depicting various occupational scenes of mining, agriculture, blacksmith and a canal view. Attached adhesive revenue stamp. There is a 2" split at center fold which has been repaired with archival tape. Rare and exceptionally graphic. Uncancelled and Fine. \$250 - up



EXCHANGE BANK OF VIRGINIA

* 134
1857. Virginia. Stock certificate for 5 shares. Engraved vignette of sailing ships in a harbor, a female warrior standing victorious over fallen enemy in the foreground, at top center. Washington portrait at left and Jefferson at right. Very good. Coupons attached. Uncancelled and Fine. \$300 - up



BANK OF THE COMMONWEALTH

* 135
1864, Virginia. Stock certificate for 10 shares. Black. Beautiful multi-vignetted certificate depicting harvest scene at top left, female at top right, George Washington at bottom left and tobacco merchants at bottom right. Two brown age spots at the center of the piece. Uncancelled and Very Good. \$250 - up



BANK OF BALTIMORE

* 136
Unissued. Pink/White. Uncut sheet of three checks. Vignette of a sailing ship at center. \$20 - up



THE GERMAN AMERICAN TRUST AND SAVINGS BANK OF CHARLESTON S.C.

* 137
1893, South Carolina. Red/White. Stock certificate for one share. Raised gold seal at the bottom left corner. Uncancelled and Extremely Fine. \$40 - up



STOCK CERTIFICATE ISSUED TO WELL-KNOWN BANKING HOUSE GOLDMAN SACHS

* 138
1918, New York. Stock certificate for 28 shares of Columbia Trust Company. Issued to Goldman, Sachs and Co. Lightly stamp and punch cancelled. Very Fine. \$25 - up



MISSISSIPPI UNION BANK

* 139
1838. Mississippi. 5% bond for \$2000. Black. Border vignettes on left and right sides of eagle and shield. Large vignette at top center of allegorical women with countryside in background. Smaller vignette at bottom center of a river scene including barges. Blue paper seal in lower left Very Fine. \$300 - up



RENSSELAER COUNTY BANK OF RENSSELAER

* 140
1931, New York. Stock certificate for 2 shares. Green/Black with red seal. Vignette of state seal at top center. \$25 - up



MORRIS CANAL & BANKING CO.

* 141
1863, New Jersey. Stock certificate for 17 shares. Black/Brown. Multi-vignetted certificate depicting a male and female figure overlooking a canal with smaller canal vignettes in left corners and bottom. Some stub glue residue. Cut cancelled and very fine. \$125 - up



BUCKS COUNTY TRUST COMPANY

* 142
1902, Pennsylvania. Stock certificate for 15 shares. Black. Vignette of seated female allegorical figure at top center. \$30 - up



MONONGAHELA BANK OF BROWNSVILLE

* 143
1874, Pennsylvania. Blue/Black. Stock certificate 30 shares. Top center vignette of a sea nymph looking out towards the sea. Litho. A scarce bank stock. Uncancelled and Fine. \$125 - up



INTERNATIONAL INSURANCE COMPANY

* 144
1869, New York. Stock certificate for 50 shares. Black. Vignette of seated liberty and other symbolic figures. Litho. Orange imprinted revenue at center. Archival tape repair along a portion of a horizontal center fold. Uncancelled and Fine. \$300 - up



BANK OF CATASAUQUA

* 145
1868, Pennsylvania. Stock certificate for 14 shares. Black on off-white paper. Upper engraved vignette of men working in a mine, right side vignette of women bringing in the harvest, lower vignette of livestock. Uncancelled and very fine. \$85 - up



THOMASTON NATIONAL BANK

* 146
1910, Maine. Stock certificate for 1 share. Black. Vignette of Maine state seal flanked by sailor and farmer. Litho. Some staple rust residue at left. Stamp and punch cancelled and fine. \$30 - up



BANK OF CHARLESTON

* 147
1873, South Carolina. Stock certificate for 2 shareS. Black on Brown paper. Vignette of a palmetto tree and liberty head. Litho. Nice southern bank stock. \$60 - up



LONDON PARIS NATIONAL BANK

* 148
1929, California. Stock certificate for 100 shares. Green/Black. Vignette of company logo flanked by allegorical figures. Litho. Punch cancelled and extremely fine. \$30 - up



FEDERAL NATIONAL MORTGAGE ASSOCIATION

* 149
SPECIMEN. Capital debenture certificate. Orange. Vignette top center features the image of a family reviewing plans for the house under construction in background. Punch cancelled. \$50 - up



BANCO SANTANDER

* 150
SPECIMEN. Spain. Stock certificate. Green. Left-side vignette of the ocean with an allegorical female in the foreground, a bird readying for flight perched on her outstretched hand. Uncancelled and excellent. \$30 - up



FIRST INTERSTATE BANCORP

* 151
SPECIMEN. Delaware. Stock. Red. Top center vignette of an allegorical male and female seated towards one another in the forefront, skyscrapers behind them. Hole cancelled. Excellent. \$40 - up

PALM SPRINGS SAVINGS BANK

* 152
SPECIMEN. California. Stock certificate. Brown/black. Elegant border on this simple document, with an outline of an eagle from the shoulders up at top center. Stamp cancelled. \$20 - up

CONFEDERATE AND SOUTHERN STATES



A GREAT CONFEDERATE BLOCKADE RUNNER

IMPORTING AND EXPORTING COMPANY OF GEORGIA SIGNED BY GAZAWAY B. LAMAR

* 153

1863, Georgia. Stock certificate for 5 shares. Black. Vignette of a steam sailing ship at top center, seated sailor at left.

GAZAWAY BUGG LAMAR (1798 – 1874). Confederate agent, ship-owner, cotton merchant, banker. Lamar introduced the first iron steamship in American waters, the *John Randolph* in 1834. He served as the president of the Confederate Bank Convention in 1861. One of the South's most prominent blockade runners, Lamar retained General Benjamin Butler's legal services to press his property claims against the Federal Government.

Organized during the summer of 1863, the company formed a partnership with the English firm of Henry Lafone forming a fleet of six blockade runners along with the ship *Storm King* to operate between England and the South. Though successful at running the blockade and supplying Georgia's troops, at the end of the war, the company had not shown a profit and Lamar's cotton holdings intended to pay for shipments received were confiscated by the Federal Government. Benjamin Butler's efforts did later result in compensation by the Federals. A choice and historic blockade running certificate. Folds. Uncancelled and Fine.

\$1,500 - up



RARE CONFEDERATE 4% CALL CERTIFICATE – BALL TYPE 174/355

* 154

Ball T-174. Unissued certificate being an incomplete remainder. Large numerical imprint at center. Litho. 4% Call certificate in which "the person to whom this certificate is endorsed by the Treasurer, Assistant Treasurer. Or Depository at said place of Deposit, and that notes issued under the Act Feb. 17, 1864, will be delivered to said person or his order, at the said place, or at the Treasury at Richmond, at any time with interest from the date of said endorsement...if not so converted that Certificate shall be paid two years after the ratification of a

Treaty of Peace between the Confederate States and the United States... This contract is authorized by An Act of Congress entitled 'An Act to reduce the Currency and to authorize a new issue of Notes and Bonds approved February 17, 1864.' Ball lists this as an R7 (11 – 20 known). Printed by Evans and Cogswell, Columbia, S.C. A fine Confederate rarity offering an opportunity to add this seldom seen certificate to an important collection of Confederate bonds. Uncancelled and Very Fine. \$800 - up



LOT # 155

CIVIL WAR CONFEDERATE CRISWELL #8 BOND

* 155

1861, Richmond. Bond for \$1,000. Arabic "1000" in ornate green scroll at center. Attached coupons. Uncancelled and very fine. \$80 - up



CIVIL WAR CONFEDERATE CRISWELL #21 BOND

* 159

1862, Richmond. Bond for \$50. Top center portrait vignette of "L.P. Walker". Small bottom center vignette of a dog and chest. Attached coupons. Small tape repairs on the verso. Uncancelled and fine. \$75 - up

CIVIL WAR CONFEDERATE CRISWELL #18 BOND

* 156

1862, Richmond. Bond for \$50. Top center portrait vignette of "J.H. Reagan". Small bottom center vignette of a dog and chest. Attached coupons. Uncancelled and very fine. \$80 - up



CIVIL WAR CONFEDERATE CRISWELL #19 BOND

* 157

1862, Richmond. Bond for \$50. Top center portrait vignette of General " Pierre G.T. Beauregard" surrounded by three allegorical females. Attached coupons. Uncancelled and very fine. Ideal for framing. \$80 - up



CIVIL WAR CONFEDERATE CRISWELL #33 BOND

* 160

1862, Richmond. Bond for \$100. Top center portrait vignette of "S.R. Mallory". Small bottom center vignette of a dog and chest. Attached coupons. Some light staining otherwise very fine. \$60 - up



CIVIL WAR CONFEDERATE CRISWELL #58 BOND

* 161

1862, Richmond. Bond for \$500. Top center portrait vignette of "Thomas Bragg" surrounded by allegorical females. Tape repairs. Attached coupons. Uncancelled and very fine. \$60 - up



CRISWELL 20 – BALL 87

* 158

CRISWELL #20. August 1861. \$50 Bond bearing 8% interest. Black. Vignette of C.S.A. Attorney General Thomas Bragg at top center. Litho. Partial coupons below. Light minor soiling at top left and right, the latter lightly affecting the border. Very fine. \$75 - up



CIVIL WAR CONFEDERATE CRISWELL #91 BOND

* 162

1862, Richmond. Bond for \$1,000. Top center vignette of "Lucy Pickens" surrounded by allegorical females. Attached coupons. Uncancelled and very fine. \$60 - up

by three allegorical females. Attached coupons. Some light age staining. Uncancelled and very fine. Ideal for framing.

\$175 - up



CIVIL WAR CONFEDERATE CRISWELL #97 BOND

* 163
1863, Richmond. Bond for \$1,000. Top center portrait vignette of "Christopher Memminger". Attached coupons. Some light staining otherwise very fine. \$60 - up



CIVIL WAR CONFEDERATE CRISWELL #108 BOND

* 164
1862, Richmond. Bond for \$5000. Top center vignette of a female figure holding a horn of plenty and caduceus. Some minor staining. Cut cancelled and fine. \$75 - up



CRISWELL 143 - BALL 304

* 165
February 1864. \$500 Bond bearing 6% interest. Orange/Black. Vignette of equestrian statue of Washington. Litho. Coupons at bottom. \$100 - up



CONTEMPORARY CONFEDERATE COUNTERFEIT BOND

* 166
1863, COUNTERFIET. Criswell #89/BALL #79. \$1000 bond bearing 8% inter

est. Counterfeits such as this are far rarer than the originals and make a fantastic addition to a Confederate financial collection. Couple of minor edge chinks. \$100 - up



COUNTERFEIT CONFEDERATE CRISWELL #95 BOND

* 167
1863, Richmond. Bond for \$1000. Top center portrait vignette of Jefferson Davis. Numerous chinks along borders. Large tear at right corner. Attached coupons. \$100 - up

CIVIL WAR CONFEDERATE CRISWELL #143 BOND

* 168
1864, Richmond. Bond for \$500. Top center vignette of the Confederate Seal. Attached coupons. Uncancelled and very fine. Ideal for framing. \$60 - up



RARE CRISWELL TRANS MISSISSIPPI BOND

* 169
1863. Bond for \$500. Top center vignette of "Christopher Memminger". Ornate bottom corner vignettes of allegorical figures. Attached coupons. Uncancelled and very fine. \$300 - up



CIVIL WAR CONFEDERATE BOND BALL T-90/110

* 170
1862, Richmond. \$1000 bond bearing 8% interest. Portrait vignette of Burton Harrison. Two rows of coupons below. Uncancelled and Fine. \$75 - up



CIVIL WAR CONFEDERATE BOND BALL T-98/120

* 171
1862, Richmond. \$500 bond bearing 8% interest. Portrait vignette of T. H. Watts. Four rows of coupons below. Uncancelled and Fine. \$75 - up



CIVIL WAR CONFEDERATE BOND BALL T-37/46

* 172
1862, Richmond. \$500 bond bearing 8% interest. Vignette of Ceres at top center. Partial coupons below. Uncancelled and Extremely Fine. \$125 - up



CIVIL WAR CONFEDERATE BOND BALL T-95/117

* 173
1862, Richmond. \$500 bond bearing 8% interest. Portrait vignette of Judah Benjamin. Four rows of coupons below. Uncancelled and Fine. \$75 - up



CIVIL WAR CONFEDERATE BOND BALL T-70/85

* 174
1862, Richmond. \$500 bond bearing 8% interest. Portrait vignette of Randolph. Two rows of coupons below. Uncancelled and Fine. \$100 - up



CIVIL WAR CONFEDERATE BOND BALL T-71/86

* 175
1862, Richmond. \$1,000 bond bearing interest at 8%. Vignette of Lucy Pickens. Some light mold discoloration at right margin. Uncancelled and otherwise Fine. \$175 - up



CIVIL WAR CONFEDERATE BOND BALL T-40/49

* 176
1862, Richmond. \$500 bond bearing 8% interest. Vignette of seated Commerce on a bale of cotton. Attached Amsterdam adhesive revenue stamp at right. Uncancelled and Very Fine. \$175 - up



CIVIL WAR CONFEDERATE BOND BALL T-75/90

* 177
1862, Richmond. \$500 bond bearing 8% interest. Portrait of Judah Benjamin. Some light foxing at right margin. Three rows of coupons below. Uncancelled and Fine. \$80 - up



CIVIL WAR CONFEDERATE BOND BALL T-89/108

* 178
1862, Richmond. \$500 bond bearing 8% interest. Portrait vignette of Robert Toombs. Uncancelled and Very Fine. \$60 - up



**CIVIL WAR CONFEDERATE BOND
BALL T-41/50**

* 179
1862, Richmond. \$1,000 bond bearing 8% interest. Vignette of the equestrian statue of Washington on the capitol grounds in Richmond. Some light foxing. Uncancelled and Fine. \$100 - up



**CIVIL WAR CONFEDERATE BOND
BALL T-45/54**

* 180
1862, Richmond. \$100 bond bearing 8% interest. Portrait vignette of R. M. T. Hunter. Uncancelled and Very Fine. \$75 - up



**CIVIL WAR CONFEDERATE BOND
BALL T-101/123**

* 181
1863, Richmond. \$500 bond bearing 8% interest. Portrait vignette of R. M. T. Hunter. Uncancelled and Very Fine. \$75 - up



**CIVIL WAR CONFEDERATE BOND
BALL T-104/127**

* 182
1862, Richmond. \$500 bond bearing 8% interest. Portrait vignette. Uncancelled and Fine. \$60 - up



**CIVIL WAR CONFEDERATE BOND
BALL T-84/101**

* 183
1862, Richmond. \$1,000 bond bearing 8% interest. Portrait of General Winder. Three rows of coupons. Uncancelled and Extremely Fine. \$80 - up



**CIVIL WAR CONFEDERATE BOND
BALL T-133/192**

* 184
1863, Richmond. \$500 bond bearing 8% interest. Portrait vignette of Christopher Memminger. One row of coupons at bottom. Uncancelled and Fine. \$70 - up



**CIVIL WAR CONFEDERATE BOND
BALL T-134/201**

* 185
1863, Richmond. Bond for \$1,000. Portrait vignette of President Jefferson Davis at center. Right corner vignette of a view of Richmond from the west. Attached coupons. Uncancelled and Very Fine. \$125 - up



**CIVIL WAR CONFEDERATE BOND
BALL T-138/241**

* 186
1863, Richmond. \$1,000 bond bearing 8% interest. Printed on pink paper. Portrait of Stonewall Jackson at center. Uncancelled and Extremely Fine. \$125 - up



**CIVIL WAR CONFEDERATE BOND
BALL T-137/221**

* 187
1863, Richmond. Pink/Black. Vignette of a soldier warming his hands over a camp fire.. Attached coupons. Uncancelled and very fine. \$75 - up



BALL T-109/135

* 188
1862, Richmond. \$1,000 bond bearing 8% interest. Portrait vignette of Christopher Memminger. Uncancelled and Fine. \$75 - up



**CIVIL WAR CONFEDERATE BOND
BALL T- 136/212**

* 189
1863, Richmond. \$100 bond bearing 8% interest. Vignette of a soldier warming his hands over a camp fire.. Attached coupons. Uncancelled and very fine. \$75 - up



**CIVIL WAR CONFEDERATE BOND
BALL T-140/260**

* 190
1863, Richmond. \$100 bond bearing 8% interest. Vignette of the old US Custom House, then the CSA executive offices and Treasury department. Attached coupons. Uncancelled and Fine. \$80 - up



**CONFEDERATE BOND BACKED BY
IMPORT DUTY PROCEEDS - BALL
T-342**

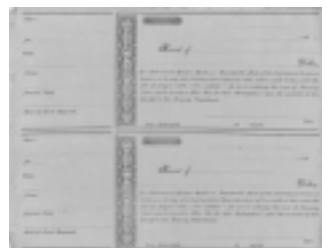
* 191
Ball T-342. Unissued certificate being an incomplete remainder. Bond bearing 6% interest. Vignette of a horse-drawn plow and farmer at center. Litho. Issued under an Act approved February 17, 1864. The payment of this bond was backed by "the entire net receipts of any export duty hereafter laid on the value of all Cotton Tobacco and Naval Stores which shall be exported from the Confederate States and the net proceeds...shall be specially pledged provided that the duties now laid upon imports and hereby pledged shall hereafter be paid in Specie, or in Sterling Exchange, or in Coupons of the Bonds of this Loan."). Printed by Evans and Cogswell, Columbia, S.C. Uncancelled and Very Fine. \$200 - up



**CONFEDERATE STATES CALL
CERTIFICATE BALL T-165/345**

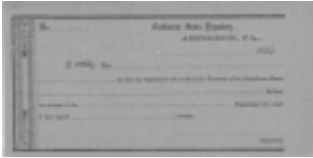
"AN ACT TO REDUCE THE
CURRENCY AND TO AUTHORIZE A
NEW ISSUE OF NOTES AND BONDS"
* 192

1864, Virginia. Pink/Black. Bond for \$1000 payable on demand at the interest rate of four percent per annum. A small decorative border on the left side. In Extremely Fine. Condition. \$75 - up



**CONFEDERATE STATES OF
AMERICA**

* 193
186-, np. Blue/Black. Unissued pair of depository receipts. Excellent. \$30 - up



**CONFEDERATE STATES
DEPOSITORY**

* 194
1864, Arlington, Virginia. Brown/Black.
Unissued despository receipt. Excellent.
\$20 - up



**CIVIL WAR CONFEDERATE BOND
BALL T-180/366**

* 195
186-. Richmond. Unissued. Very Fine.
\$50 - up



**CIVIL WAR CONFEDERATE BOND
BALL T-157/303**

* 196
1864, Richmond. Equestrian vignette
with nearly full coupons. Very Fine.
\$100 - up

**BRITISH CONFEDERATE
BONDHOLDERS DEPOSIT FORM**

* 197
June, 1886. Partly-printed "Deposit Form" through which someone in London has deposited \$20,000 in Confederate bonds with the "Trustees for the Confederate Bondholders" located in London, England. The end of the American Civil War in April 1865 found many citizens of England and the European countries holding vast quantities of bonds issued by the Confederate States of America. When the U.S. Government refused to redeem these bonds, overseas holders of Confederate bonds formed a Confederate Bondholders association in England to lobby the U.S. Government for redemption of the bonds. Many British and European holders of Confederate bonds, then, consigned their bonds to the care of this association in hopes that the association would be successful in arranging for bond redemption. On verso are the "Conditions for Deposit," among which are enumerated the responsibilities of the Trustees: "... to agree to any arrangement for

settlement of the Bondholders' claims either wholly or partially, to give receipts and discharges, and in all respects to act as the representatives of the Bondholders as the Trustees in their absolute discretion may see fit." A rare item pertaining to one of the lesser-known aspects of Confederate finance. Extremely fine. \$100 - up



STATE OF ARKANSAS

* 198
1871, Arkansas. \$500 levee bond bearing interest at 7%. Black with Red overprint. Engraved vignette of steamboats at top center, smaller vignettes of female figures and state seal at bottom. Coupons at bottom. Uncancelled and excellent. Condition. \$125 - up

ENTERTAINMENT



**TWO DIFFERENT RINGLING
BROTHERS VARIETIES**

**RINGLING BROTHERS, BARNUM
& BAILEY COMBINED SHOWS, INC.**

* 199
1969, Delaware. Stock certificate for 100 shares. Green/Multi colored. A Wonderful certificate depicting an array of circus characters, clowns, animals and equipment. Five brothers who created this, the world's largest and best known circus. Getting their start by giving musical and dance performances in their hometown of Baraboo, WI and surrounding communities, the brothers soon expanded their repertoire under the name of the Ringling Brothers Classic and Comic Concert Company, with Albert's becoming an accomplished juggler, and John, a clown. They organized their first circus in 1884, traveling by wagon with a trained horse and dancing bear as their only animal performers. In 1888 the brothers acquired an elephant, after which their circus grew rapidly and, by 1890, it had become large enough to travel by train. By 1900, it had become one of the country's larger circuses, and the brothers began acquiring other shows. In 1906 they bought the Forepaugh-Sells show and, in 1907, upon the death of James A. Bailey, they

bought the Barnum and Bailey show for \$410,000, although they did not combine it with their own show until 1919. This is truly one of the most popularly sought after decorative certificates in the hobby. In Excellent Condition. \$300 - up



**RINGLING BROTHERS, BARNUM
& BAILEY COMBINED SHOWS, INC.**

* 200
1970, Delaware. Stock certificate for 100 shares. Same as previous but Red/Multi colored. There is a tear repaired on verso with archival tape. Uncancelled. \$300 - up



BAIRD TELEVISION LIMITED

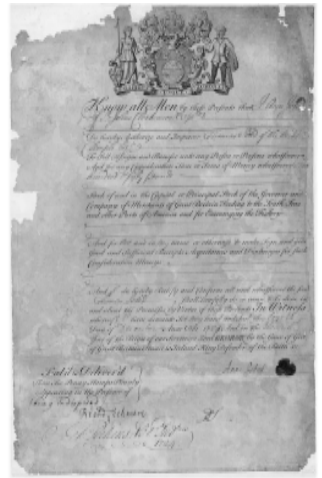
* 201
1931. Stock certificate for 100 shares. John Logie Baird of England was a pioneer in television broadcasting. This large document is approximately 12" x 11", black text on blue paper with a purple border. Uncancelled and Fine. \$200 - up



**PLANET HOLLYWOOD INTERNA-
TIONAL, INC.**

* 202
1999. Delaware. Stock for one share. Large colorful left border vignette of Planet Hollywood. Top center vignette of an allegorical female with the famous Planet Hollywood logo in the forefront. Facsimile signatures of Demi Moore, Arnold Schwarzenegger, Sylvester Stallone and Bruce Willis. Uncancelled and Extremely Fine. \$40 - up

INTERNATIONAL



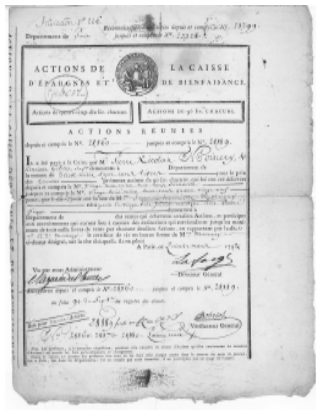
**EXTREMELY RARE - POWER OF
ATTORNEY FOR SOUTH SEAS
COMPANY**

* 203
1724. England. The South Sea Company, founded in 1711 by Robert Harley, set up by an act of Parliament. The South Sea Company had a monopoly to conduct England's trade with Spain's colonies in the West Indies and South America, and was perhaps the most celebrated and earliest of corporate disasters. An imaginative and preposterous scheme promoted successfully by the South Sea Company was a grand plan, which involved the company's assumption of the entire national debt of England in exchange for an annual payment of six percent of the principal assumed by the company. Gross mismanagement of the company, along with massive corruption were prevalent as the company's purported success caught on at a fevered pitch. Ultimately, the "bubble burst", resulting in this most famous bankruptcy, and almost completely destroyed the English government. Stock certificates do not exist for the South Sea Company, because ownership of stock or share was evidenced by an entry in the Bank of England's books. This method was considered very modern at that time; stock transfer forms, made out by the cashier in the transfer office at the Bank of England is common, and still in use in modern times. This Power of Attorney, a very rare piece indeed, is a fascinating relic of The South Sea Company's confection of politics, commerce, and finance, and of corruption on an audacious scale. Approximately 9 1/2" x 15". Professionally restored with some backing. A great piece of South Sea Company history. \$750 - up



**KEYSERLYCKE INDISCHE
COMPAGNIE IMPERIAL INDIA
COMPANY**

* 204
1723, Antwerp. First Installment payment for stock. Black. At left vignette of Code of Arms of the company. Charles VI of Austria West Indies as well as the African Coast authorized the Imperial India Company. Commodities traded included spices, gold silver and silk. At the time the stock was issued in 1723, the issue was oversubscribed in a matter of hours. Investors were not paid very high dividends from the very first, frequently over 30% per year successful that war was threatened by numerous competitor nations partly in order to smooth the way for the accession to the throne of his Charles ordered the company to cease operations and begin liquidation, a year. A fine early piece from this important trading company. Uncancelled and Extremely Fine. \$300 - up



CAISSE LA FARGE STOCK

* 205
1793. Paris, France. Action for 90 livres. Interesting wood cut vignette of seated and standing men. Signed by LaFarge. The first French savings bank to be formed as a joint stock company. This was a lottery loan with the prizes on tontine rentes paid to the winning shares. A nice early French certificate, being one of a small number from his nation to have a vignette. \$250 - up



**A NICE GROUP OF GRAPHIC
EARLY FRENCH ASSIGNATS**

* 206
An interesting grouping of 9 French assignats, a couple with some light waterstaining. \$125 - up



BANCO TERRITORIAL DE CUBA

* 207
1911. Cuba. \$100 bond. Green/black. Beautiful triple vignette at top center: coat of arms flanked by state house view and farm scene. Green overprint, coupons attached. Stamp cancelled and Extremely Fine. \$60 - up



**AN UNUSUAL CUBAN BOND
PRINTED DURING THE TEN YEARS
WAR AND SIGNED BY JOSE
MORALES LEMUS, PRESIDENT OF
THE CUBAN JUNTA**

* 208
JOSE MORALES LEMUS. A Cuban leader, Lemus was President of the junta that fought against the Spanish. 1869, Cuba. A \$100 bond bearing 7% interest to be paid after the Cubans overthrew the Spanish. It is signed by "Jose Morales Lemus", who, according to the bond, was "President of the Central Republican Junta of Cuba and Porto [sic] Rico". In 1868, a civil war erupted on the island; it pitted revolutionaries against the Spanish regime. In order to raise money, the revolutionaries issued this bond with the following English translation on the back: "The Republic of Cuba, through Jose Morales Lemus, hereby acknowledges itself bound to the Bearer in the sum on one hundred dollars, with interest thereon at the rate of seven per cent per annum to be paid at the times and upon the conditions following, viz. After the ratification of a treaty of peace between the government of

Spain and the Republic of Cuba; or after the recognition by the Government of the United States of America of the political independence of the Island of Cuba." The revolution ended in 1878 with the Pact of Zanjon, which provide for the abolition of slavery and various political reforms. Black on white paper. Top center engraving of a heroic woman holding a shield. Uncancelled and very fine. \$300 - up



**COMPANIA DE LAS MINAS DE ORO
DE CERRO COLORADO**

* 209
1893. Colorado. \$100 bond. Green/ black. Vignette at top left and right corners of feature mining scenes. Approximately 12 1/2" x 11". Uncancelled and Extremely Fine. \$50 - up



**GRAPHIC GYMNASTIQUE
MEDICALE MECANIQUE BOND**

* 210
1880, France. Bond for 500 francs. Black/tan. Numerous vignettes of early medical machines. Attached coupons. Uncancelled and very fine \$90 - up



**COMPAGNIE DU PORT DE RIO DE
JANEIRO**

* 211
1910, France. Stock certificate for 500 francs. Red/Green. Pretty multi-vignette certificate depicting steamships, harbor and lighthouses. Coupons below. Superb for display. Uncancelled and Extremely Fine. \$150 - up



**A COLORFUL SCRIPHOPLY
CLASSIC**

**COMPAGNIE DES INSTALLATIONS
MARITIMES DE BRUGES**

* 212
1904, Bruges. Stock certificate. Brown/Red/Blue. Extraordinary artistic and colorful certificate depicting the harbor at Bruges, Belgium with building scenes and a flying figure holding a torch. An awesome display piece. Coupons at left. Punch cancelled and excellent. \$150 - up



**SOCIETE FRANCAISE DES MINES
D'OR DE LA GAGNIER S.A.**

* 213
1910. France. Stock certificate. Blue on white paper two top lithos of men panning for gold and mountain and stream scenes. Very Fine. \$50 - up



PANAMA CANAL

* 214
1885, France. Stock certificate for 500 francs. Blue. Attractive vignette of allegorical figures, canal and the isthmus within border. Litho. Attached adhesive revenue stamps. In excellent condition. \$40 - up

MINING



SUTRO TUNNEL COMPANY STOCK * 215

1886, California. Stock certificate for ten shares. Blue/Black. Engraved vignette at top center of a seated Indian warrior overlooking mining operations, boats and trains; smaller vignette of miners at work below. Adolph Sutro (1830 - 1898), a mining Engineer and eventually mayor of San Francisco formed the Sutro Tunnel Company to construct a tunnel which would provide ventilation, drainage and an easy means of transporting men and materials to and from the mines in the region of the Comstock Lode. The Sutro Tunnel was one of the 19th century's engineering marvels. Uncancelled and Extremely Fine.

\$150 - up



BULLFROG KEYSTONE GOLD MINING COMPANY

* 216
1906, South Dakota. Black/White/Gold Seal. Stock certificate for 4000 shares. Small vignette at the top left of men working in a mine and other small vignettes around the border of miners. A nice Bullfrog district stock. Uncancelled and Extremely Fine.

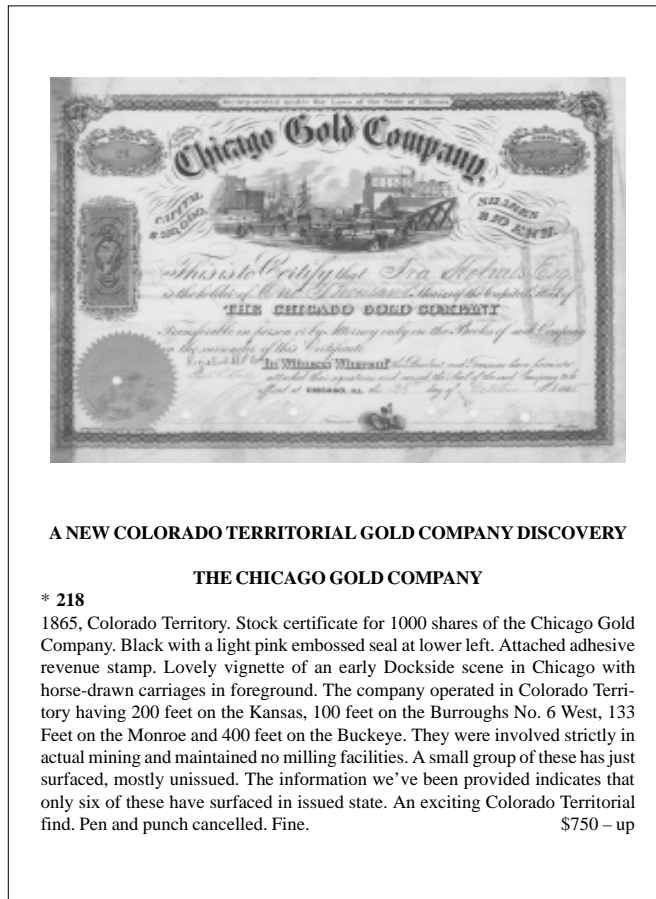
\$100 - up



OAKLAND CONSOLIDATED GOLD AND SILVER MINING COMPANY

* 217
1906, Nevada. Green/Black/Gold Seal. Stock certificate for 1252 shares. Top center vignette of a long road heading towards the mine. Litho. Uncancelled and Fine.

\$50 - up



A NEW COLORADO TERRITORIAL GOLD COMPANY DISCOVERY

THE CHICAGO GOLD COMPANY

* 218

1865, Colorado Territory. Stock certificate for 1000 shares of the Chicago Gold Company. Black with a light pink embossed seal at lower left. Attached adhesive revenue stamp. Lovely vignette of an early Dockside scene in Chicago with horse-drawn carriages in foreground. The company operated in Colorado Territory having 200 feet on the Kansas, 100 feet on the Burroughs No. 6 West, 133 Feet on the Monroe and 400 feet on the Buckeye. They were involved strictly in actual mining and maintained no milling facilities. A small group of these has just surfaced, mostly unissued. The information we've been provided indicates that only six of these have surfaced in issued state. An exciting Colorado Territorial find. Pen and punch cancelled. Fine.

\$750 - up



BONANZA MINING COMPANY

*219
1901, Idaho. Gold/Black/Gold Seal. Stock certificate for 5565 shares. Vignette of miners working at the top center. Litho. Uncancelled and Extremely Fine. \$40 - up



EUREKA QUARTZ MINING COMPANY

* 220
185-, California. Black/White. Unissued stock certificate. Top center vignette of men working. Unissued though a nice early California mining stock. Uncancelled and Extremely Fine. \$75 - up



OAKLAND CONSOLIDATED GOLD AND SILVER MINING CO.

* 221
1906, Nevada. Stock certificate for 1000 shares. Green/Black. Vignette of a mine car rail leading into a mineshaft. Litho. Uncancelled and Very Fine. \$50 - up



HANNIBAL SILVER MINING CO.

* 222
1878, Arizona. Stock certificate for 100 shares. Black. Woodcut vignette of a miner at work at right. Litho. Located in the McMillan Mining District, Pinal County. Uncancelled and Extremely Fine. \$125 - up



ALASKA GOLD MINING COMPANY * 223

1902, Delaware. Stock for 5 shares. Red/Black. Top center engraved vignette of miners working. Scare Alaska mining stock. Uncancelled and Extremely Fine.

\$200 - up



NIAGARA MINING AND SMELTING COMPANY

* 224

1891. Salt Lake City, Utah. Issued stock certificate. Green border and "preferred stock" backdrop. Two mining vignettes and one of Niagara Falls. Beautiful silver seal of the famous Morgan dollar design. Lightly cancelled and Very Fine. \$100 - up



COLORADO CENTRAL CONSOLIDATED MINING COMPANY

* 225

1888. Georgetown Clear Creek, Colorado. Issued stock certificate. Green border with "shares \$10 each" backdrop. Beautiful dark mining engraving at top center. Complete with 12 of 50 coupons. Uncancelled and Very Fine. \$175 - up



BOSTON-SOUTH DAKOTA GOLD MINING COMPANY

* 226

1900. West Virginia. Issued stock certificate. Brown border with brown vignette in backdrop. Brown seal with Dark mining lithograph at top center. Uncancelled and Extremely Fine. \$40 - up



ASPEN MINES COMPANY

* 227
1914. Colorado. Issued capital stock certificate. Gold border with two mining vignettes and one mountain range vignette. Uncancelled and Fine. \$40 - up



GREAT DIVIDE MINING COMPANY

* 228
1903. St. Louis, Missouri. Issued capital stock certificate. Light Orange background throughout. Beautiful vignette of two horses. Uncancelled and VF. \$60 - up



THE GOLD PLACER MINING COMPANY

* 229
1881. New York, NY. Issued capital stock certificate. Black color throughout with a large vignette displaying hydraulic mining scene. Uncancelled and EF. \$100 - up



SEWANEE MINING COMPANY

* 230
1859. Tennessee. Issued capital stock certificate. Black color throughout with locomotive vignette at top center and mining vignette at left side. Scarce. EF. \$250 - up



ALABAMA COPPER MINING COMPANY

*231
1855. Alabama. Stock certificate for one share. Black. Top center offers large vignette of large sailing ships in rough seas. Interesting vignette runs from top to bottom on left side, and features a mining scene from surface workers to those underground. Uncancelled. VF. \$300 - up



ARIZONA EXTENSION SILVER MINES COMPANY

* 232
1920. Stock certificate for 100 shares. Green. No vignette. Uncancelled. VF. \$30 - up



ARIZONA SILVER MINES COMPANY

* 233
1922. Stock certificate for 100 shares. Brown/black. No vignette. Uncancelled. Fine. \$30 - up



ARIZONA TIP TOP MINES COMPANY

* 234
1920. Stock certificate for 100 shares. Green/black. Allegorical woman with wings flanked by an allegorical man and woman, an eagle and patriotic shield comprises top center vignette. Large green seal on bottom left. Uncancelled. Fine. \$30 - up



BALD BUTTE GOLD MINES

* 235
1933. Stock certificate for 500 shares. Brown/black. No vignette. Uncancelled. Fine. \$30 - up



BLACK HILLS TUNGSTEN, MINING AND MILLING CO.

* 236
1913. Arizona. Stock certificate for five thousand shares. Black on brown paper. Large vignette at top left of eagle. Large gold seal on bottom left. Smaller vignette at bottom center in gold of a profile view of an allegorical female from shoulders up. Reverse all in green, including the vignette of an eagle overlooking the sea. Uncancelled. Fine but for chink in upper left. \$40 - up



BURNEAGLE COAL & COKE CORPORATION

* 237
1917. Virginia. Stock certificate for ten shares. Black/gold. Impressive vignette of a perched eagle with outstretched wings adorns the top center. Uncancelled and VF. \$30 - up



CAMPBELL'S CREEK COAL CO

* 238
1928. Ohio. Stock certificate for 500 shares. Green/black. Large vignette at top center.

center offers a large steamboat on the river scene, framed by handsome scroll work. Pen cancelled and EF. \$50 - up



FEEDER DAM COAL CO.

* 239
1868, Pennsylvania. Stock certificate for 200 shares. Black. Top center vignette of State of Pennsylvania Seal. Vignette of miners working the mine at left. Litho. Attached twenty five-cent George Washington revenue stamp at bottom left. Uncancelled and Extremely Fine. \$125-up



CENTENNIAL-EUREKA MINING

* 240
1901. Maine. Stock certificate for fifteen shares. Copper/black. Top center vignette of the profile view of an allegorical female, shoulders up. Punch cancelled. VF. \$35 - up



EUREKA MINING TRUST

* 241
1922. Louisiana. Stock certificate for 25 shares. Brown/black. Large vignette comprised of seated allegorical female examining the scale she holds aloft, an allegorical male and female seated on either side of her, is at top center. Large gold seal at bottom left. Uncancelled. Fine. \$35 - up



EUREKA SMELTING COMPANY

* 242
1925. Nevada. Stock certificate for 100 shares. Green/black. No vignette. Green overprint and bold green border frames this document. Uncancelled. VF. \$30 - up



EUREKA SMELTING & MINING COMPANY

* 243
1923. Nevada. Stock certificate for 300 shares. Brown/black. No vignette. Uncancelled and Fine. \$20 - up



THE ISABELLA GOLD MINING CO
* 247

1895. Colorado. Stock certificate for 150 shares. Orange/black. Top left offers a vignette of an eagle perched atop symbols of patriotism, flanked by a waterfall/steamboat scene and a countryside scene including a locomotive passing with a bison hunt in the foreground. Stamp and pen cancelled. Fine. \$30 - up



MURRAY MOGRIDGE MINING COMPANY, LIMITED

* 251
1917. Ontario. Stock certificate for 100 shares. Purple/black. Ornate purple border with large purple seal on bottom left. No vignette. Uncancelled. VF. \$30 - up



THE NORTH WESTERN MINING AND EXCHANGE COMPANY OF ERIE, PENNSYLVANIA

* 252
1884. Pennsylvania. Stock certificate for 1 share. Black. Vignette of a steam locomotive leaving coal mine. Litho. Lightly pen cancelled and Extremely Fine. \$100 - up



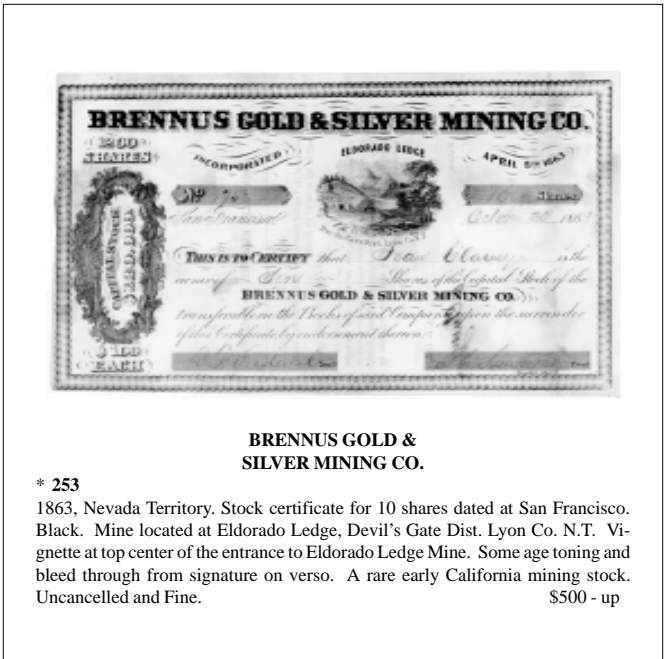
THE FAVORITE GOLD MINING COMPANY

* 244
1900. Colorado. Stock certificate for 1000 shares. Text all in brown, as well as vignette at top center of mountains. Stamp cancelled. Fine. \$30 - up



LOUISIANA CONSOLIDATED MINING COMPANY

* 248
1920. Stock certificate for 100 shares. Green/black. Heavy green border with green overprint. Uncancelled. VF. \$30 - up



BRENNUS GOLD & SILVER MINING CO.

* 253
1863, Nevada Territory. Stock certificate for 10 shares dated at San Francisco. Black. Mine located at Eldorado Ledge, Devil's Gate Dist. Lyon Co. N.T. Vignette at top center of the entrance to Eldorado Ledge Mine. Some age toning and bleed through from signature on verso. A rare early California mining stock. Uncancelled and Fine. \$500 - up



GOLD HUB MINES COMPANY

* 245
1936. Colorado. Stock certificate for 200 shares. Black/gold. Large vignette at top center offers and eagle with outstretched wings in the foreground, the bay and city beyond in the background. Large gold seal at bottom. Uncancelled. Fine. \$35 - up



MASSACHUSETTS AND NEW MEXICO CONSOLIDATED MINING
* 249

1881. Maine. Stock certificate for 100 shares. Black. Vignette on left center of a mining scene. Stub attached on left. Approximately 13 1/2" x 6 1/2". Pen cancelled. Fine. \$35 - up



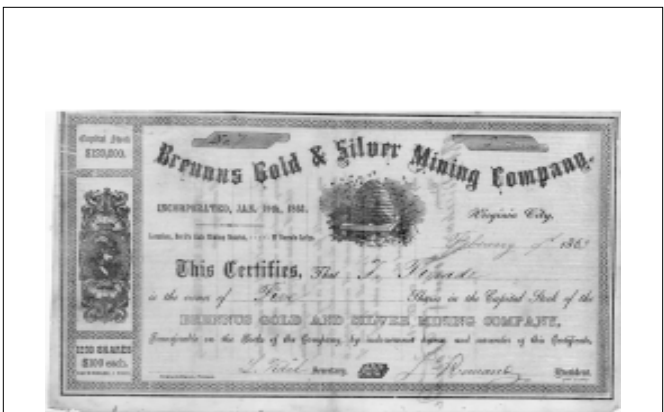
THE IMPERIAL CONSOLIDATED MINING COMPANY

* 246
1920. Arizona. Stock certificate for 100 shares. Black/gold. Large vignette at top center offers and eagle with outstretched wings in the foreground, the bay and city beyond in the background. Large gold seal at bottom. Uncancelled. Fine. \$30 - up



THE MERCER MINING AND MANUFACTURING COMPANY

* 250
1870. Pennsylvania. Stock certificate for 368 shares. Green, including all text. Top center vignette of a locomotive passing a mining scene. Vignette on right bottom of a mining scene including the workers on the surface, to those below ground. Stub attached on left, also attached adhesive revenue stamp on left. Uncancelled. Fine. \$75 - up



BRENNUS GOLD & SILVER MINING COMPANY

* 254
1863, Nevada Territory. Stock certificate for 5 shares dated at Virginia City. Green/Black. Mine located at Devil's Gate Mining District, El Dorado Ledge. Vignette at top center of an active bee hive, female wearing a hat with plume at left. Some age toning. A rare early California mining stock. Uncancelled and Fine. \$500 - up



NEW ENGLAND AND CLIFTON COPPER COMPANY OF ARIZONA
* 255

1906. Maine. Stock certificate for ten shares. Black/brown. Vignette at top center of tunnel mining scene. Full coupons attached. Uncancelled. VF. \$45 - up



NORTH UTAH MINING COMPANY OF BINGHAM
* 256

1907. Maine. Stock certificate for ten shares. Copper/black. Interesting vignette at top center features underground views of mining scene. Uncancelled. EF. \$40 - up



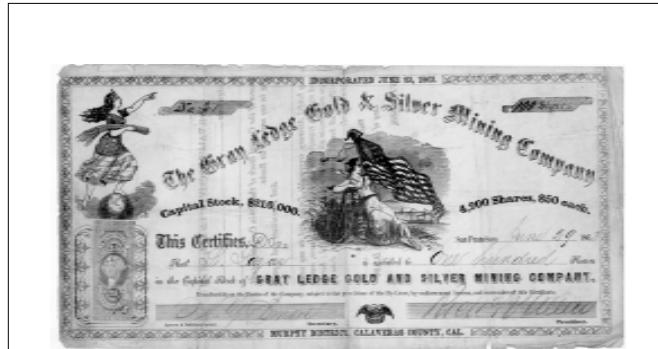
THE PILGRIM GOLD, SILVER AND COPPER MINING COMPANY
* 257

1922. Delaware. Stock certificate for 100 shares. Orange/black. Wide ornate border, no vignette. Uncancelled. Fine. \$30 - up



BRUNSWICK GOLD MINING COMPANY
* 258

1890. Kentucky. Stock certificate for 100



GRAY LEDGE GOLD & SILVER MINING COMPANY
* 259

1863, California. Stock certificate for 100 shares. Mines located at the Murphy District, Calaveras County, Cal. Black with an attached adhesive 25 cent revenue at lower left. Vignette at center of Lady Liberty holding an American Flag with a passing sailboat in the background. Vignette at upper left of Lady Liberty perched atop a globe. Some edge chinks at margins. A rare early California mining stock. Uncancelled and Very good. \$500 - up



SANTA FLORENZA MINING COMPANY
* 260

1862, California. Stock certificate for 5 shares. Red on white paper. Vignette at top center of a steam locomotive, a standing Lady Liberty at left. A rare early California mining stock. A small hole at left margin, otherwise uncancelled and fine. \$500 - up

shares. Black on yellow paper. Small vignette of two men shaking hands before a large breakfront. Uncancelled. VF. \$40 - up



CAPUZAYA MINING COMPANY
* 261

1909, Delaware. Stock certificate for 5,000 shares. Orange/Black. Engraved vignette of miners at work at top center. Couple of file hold cancellations at left. Uncancelled and Very Fine. \$40 - up



THE SAN MARTIN MINING COMPANY OF CANADA, LIMITED
* 262

1913, Canada. Stock certificate for ten shares. Green/black. Top center vignette features a mountainside mining scene. Smaller vignettes in bottom right and left corners of mining scenes. Large seal bottom center. Coupons attached. Uncancelled. Fine. \$40 - up



SAWHEE GOLD MINES (WEST AFRICA) LIMITED
* 263

1911. West Africa. Stock certificate for ten shares. Copper/black. Impressive vignette top center of a mining town. Coupons attached, Uncancelled. VF. \$75 - up



THE UNITED STATES MINING COMPANY
* 264

1903. Maine. Stock certificate for five shares. Blue/black. Top left vignette of allegorical woman with scale, leaning against a framed vignette of an eagle. Bottom center features smaller vignette of a mining scene. Uncancelled. EF. \$40 - up



STANDARD SMELTING AND REFINING COMPANY
* 265

1902, West Virginia. Stock certificate for 150 shares. Orange/Black. Vignette of a worker shoveling coal into a steel furnace, panoramic view of a large town. Litho. Uncancelled and Extremely Fine. \$50 - up



RIO PLATA MINING COMPANY
* 266

1910, Arizona Territory. Stock certificate. Green/Black. Vignette of miners at work at center flanked by an eagle and Mexican national seal. Litho. Uncancelled and Extremely Fine. \$50 - up



THE PREMIER-CARIBOO GOLD MINING COMPANY

* 267
1903, South Dakota. Certificate for 10 shares. Gold seal and black border on white paper. Top left vignette of a woman's head done in black; lower center vignette of an eagle's head done in gold. Litho. Uncancelled and in very fine condition. \$50 - up



GREAT BEAR MINING COMPANY

* 270
1916, Arizona. Stock certificate. Brown/Black. Vignette of a mountainous scene flanked by vignettes of miners at work. Litho. Uncancelled and Extremely Fine. \$35 - up



THE BEAUCE GOLD MINING AND MILLING COMPANY

* 274
1881, New York (though the mine appears to be in Canada). Certificate for 100 shares. Black on white paper. Top right vignette of 4 beavers building a dam; lower center vignette of three miners working underground. Litho. Stamp cancelled and a large punch hole through the treasurer's signature. Light border toning and in fine condition. \$175 - up



THE NEW YORK & NOVA SCOTIA MINING & MILLING COMPANY STOCK

* 276
1877, New York. Stock for 50 shares. Black/white. Left upper corner vignette of miners working. Right upper corner vignette of a mining operation. Raised red seal. Uncancelled and very fine. \$150 - up



TUOLUMNE COUNTY WATER COMPANY

* 268
1854, California. Stock certificate for 1 share. Black. Highly attractive vignette encompassing the entire left margin. Beginning at top left with a mountain range following in detail a mining production with water flowing through sluices from the mountains with various miners panning along the water's route. Litho. A classic vignetted western mining stock. Uncancelled and fine. \$125-up



BOWN MINING COMPANY

* 271
1898, California. Stock certificate for 500 shares. Black on white paper with a green boarder. Top center vignette of a miner pushing a cart full of ore out of the mine shaft. Uncancelled and very fine. \$50 - up



MILL CREEK COAL & COKE COMPANY STOCK

* 275
1892, West Virginia. Stock for 107 shares. Black/white. Top center engraved vignette of a mountainside mining operation. Lightly punch cancelled and very fine. \$60 - up



CISCO CONSOLIDATED GOLD MINING COMPANY

* 277
1880, California. 100 shares. Black. Lovely engraved vignette of the state seal flanked by miners at work and a steam locomotive. The companies mining property was located at Meadow Lake Mining District, Nevada County, California. Very minor age spot a lower right margin mentioned for accuracy. Uncancelled and Extremely Fine. \$250 - up



EMPIRE GOLD MINING COMPANY

* 272
1909, Maine. Stock certificate for 100 shares. Gold/Black with gold seal. Vignette across top of certificate of mining scene flanked by miners at work. \$40-up



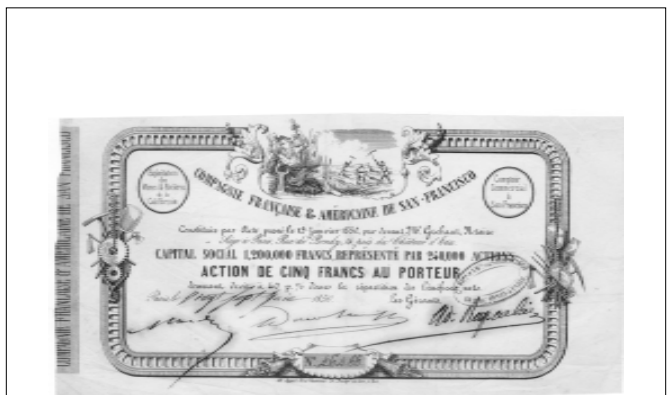
A REYDEL ORO MINING COMPANY BOND

* 269
1901, Delaware. A \$500 bond bearing 6% interest. Black and green on white paper. Top center litho of working miners. Coupons attached to the right side. Uncancelled and in choice condition. \$60 - up



EASTERN MONTANA MINING AND SMELTING CO.

* 273
1877, Montana and Wyoming. Stock certificate 50 Shares. Black/White Location: Clark's Fork, Wyoming Territory. Right side vignette of men working in the mine. Litho by A. L. Bancroft & Co., San Francisco. Lightly stamp cancelled and Extremely Fine. \$150 - up



**AN EXTREMELY EARLY COMPANY FOR MINING IN CALIFORNIA
COMPAGNIE FRANCAISE & AMERICAINE DE SAN-FRANCISCO**

* 278
1850, Paris, France and California. Vignette at top center of a male allegorical figure in the foreground with working miners in the background. Litho. A scarce, early California mining certificate financed in France and issued just shortly after discovery of gold in California. One of the graphically finest of the early California-European gold rush shares. Uncancelled and fine. \$500 - up



ILLINOIS AND COLORADO MINING CO.

* 279
1878, Colorado. Stock certificate for 225 shares. Black with attached embossed gold company seal. Vignette at top center of a mining scene and a female at left. Small paper loss from ink erosion in the purchaser's name and share amount. The piece has a wax finish on its surface and an overall aged tone. Uncancelled and good. \$100 - up



MACAN COAL COMPANY

* 280
1864, Nova Scotia. Stock certificate for 25 shares. Purple/White. Twenty-five cent adhesive revenue at top center. Uncancelled and Excellent. \$40 - up



SANTA ANNA MINING COMPANY STOCK

* 281
1900, Arizona. Stock for 1 share. Black/white. Top center vignette of a crest with crossed flags. Raised gold seal. Uncancelled and very fine. \$175 - up



FELIX GRUNDY MINING STOCK

* 282
1882, New York. Stock for 100 shares. Black/white. Top center vignette of a mining operation. Raised red seal. Uncancelled and fine. \$150 - up



HOMESTAKE GOLD MINING COMPANY OF TUOLUMNE

* 283
1898, California. Stock certificate for 1,000 shares. Black with large blue overprint of the head of a mineshaft. Litho. by A. Carlisle & Co., San Francisco. Attached adhesive revenue stamps. Scarce Homestake stock. Uncancelled and Extremely Fine. \$50 - up



NORTH ARKANSAS MINING AND INVESTMENT CO.

* 284
1900, Arkansas. Stock certificate for 80 shares. Black/Gold. Vignette of miners at work. Litho. by Mount & Co., Cleveland. The company was located in Van Buren, Arkansas. Rare. Uncancelled and Extremely Fine. \$40 - up



GRAND ISLAND MINING & TUNNEL CO.

* 285
1902, Arizona. Stock certificate for 5000 shares. Green/Black with green seal. Triple vignette of mining scene at top center flanked by miners at work. \$40 - up



PENNSYLVANIA & OHIO COAL & IRON MINING COMPANY

* 286
1880, Ohio. Stock certificate for 20 shares. Black. Vignette at top center of a steam locomotive pulling full coal cars away from a coal mine. Some paper loss along two vertical folds. Uncancelled and good. \$125 - up



MINNESOTA GOLD MINING COMPANY

* 287
1866, Minnesota. Stock certificate for 2 shares. Black. An outstanding certificate with large vignettes of a fully equipped miner with his dog at left and a large view of miners working at a sluice at center. Litho. Attached adhesive revenue stamp a lower right. Signed as president of the company by HENRY H. SIBLEY.

A very scarce gold mining stock with outstanding graphics. Uncancelled and Extremely Fine. \$750 - up



MALACHITE MINING COMPANY

* 288
1877, Colorado. Stock certificate for 150 shares. Black. Vignette of miners at work at right, state seal and indian squaw at left. Litho. Pen cancelled and extremely fine. \$75 - up



THE BERTHA AND EDITH GOLD MINING COMPANY

* 290
1878, New York. Certificate for 100 shares. Black on white paper. Top center vignette shows prospectors using large wooden sieves to find gold. Litho. Uncancelled and Extremely Fine. \$150 - up



THE GOLDFIELD DOUBLE EAGLE GOLD MINES COMPANY

* 289
1907, Arizona. Stock certificate for 1000 shares. Gold/black, green/black on reverse. Ornate border, gold underprint. Vignettes of double eagle flank the company name. Large gold seal bottom left. Uncancelled. \$200 - up



BUENA GOLD MINING COMPANY OF COLORADO

* 291
1882, Colorado. Stock certificate for 500 shares. Black with large gold overprint of a mountains and mine locations. Colorado state seal at bottom. Litho. Located in Boulder. Uncancelled and Extremely Fine. \$250 - up



THE NIAGARA MINING AND SMELTING COMPANY

* 292
1890, Salt Lake City. Certificate for 15 preferred shares. Green border with black vignettes on white paper with a silver corporate seal embossed by a Morgan silver dollar. At the top is a vignette of Niagara's Horseshoe and American Falls. On the sides are mining vignettes; on the left, miners are swinging sledgehammers and on the right, one miner shows his fellow miners a nugget he just found. Litho. Uncancelled and in very fine condition. \$125 - up



PROVIDENT MINING COMPANY STOCK

* 296
1882, New Jersey. Stock for 100 shares. Black/White. Top center engraved vignette of miners working. Litho. Issued for property purchased. Uncancelled and very fine. \$150 - up



GOLDEN CONQUEROR MINES, INC.

* 300
1936, Colorado. Stock certificate for 200 shares. Orange/Black. Vignettes of knights atop horses at either side of the title. A scarce, desirable Colorado mining stock. Uncancelled and in Excellent condition. \$225 - up

MISCELLANEOUS



CLIFTON FORGE COMPANY

* 304
1891, Virginia. Stock for 8 shares. Blue/Black. Large engraved center vignette of men working. Upper right corner vignette of men molding steel on an anvil. A pretty certificate. Uncancelled and Fine. \$125 - up



STATE LINE GOLD MINING CO. NO. 1

* 293
1881, Nevada. Stock certificate for 50 shares. Black. Engraved vignette of miners at left. Uncancelled and Extremely Fine. \$125 - up



ROMAN BROTHERS SILVER MINING STOCK

* 297
1864, New York. Stock for 40 shares. Black/White. Top center vignette of the company name flanked by miners working. Revenue stamp at lower left corner. Small bottom center vignette of a dog named "Fidelity". The company operated in Lander County, Nevada Territory. Uncancelled and Very Fine. \$225 - up



CONSOLIDATED REPUBLICAN MOUNTAIN MINING COMPANY

* 301
1800's, New York. Stock certificate unissued. Engraved vignette at lower right of a female Indian and a seal. Uncancelled and excellent. \$20 - up



DAVIS LAND COMPANY

* 305
1890, Mississippi. Stock for 1 share. Black/White. Top center engraved portrait vignette of Jefferson and Varina Davis. Uncancelled and fine. \$125 - up



WABASH MINING AND SMELTING COMPANY

* 294
1881, New York. Stock certificate for 500 shares. Black on white paper. Detailed top center vignette of workers with horses in front of a smelting building. Uncancelled and very fine. \$300 - up



THE FELIX GRUNDY MINING COMPANY

* 298
1882, New York. Stock certificate for 100 shares. Dark blue on white paper with a red corporate seal. Top center litho of a mining operation. Uncancelled and in very fine condition. \$150 - up



UNITED STATES TUNNEL, MINING, MILLING, DRAINAGE AND TRANSPORTATION

* 302
1899, New York. Stock certificate for 10 shares. Green/Black. Fine photographic vignette of a small mining town in a mountainous region. Litho. Coupons below. Printed in both english and french. This company appears to have done just about everything related to the mining process. Scarce. Uncancelled and very fine. \$50 - up



BERKELEY COUNTY LAND & STOCK COMPANY

* 306
1891, South Carolina. Stock for 5 shares. Black/White. Large top center engraved vignette of farmers watering their cattle. Rare South Carolina stock. Uncancelled and Very Fine. \$125 - up



THE TALMAGE GOLD MINES COMPANY

* 295
1907, Wyoming. Stock certificate for 1,000 shares. Black on white paper. Upper left vignette of a child, May Talmage Hays, dressed as Cupid, petting a butterfly. Uncancelled and very fine. \$225 - up



BUTTE AND SUPERIOR COPPER COMPANY

* 299
SPECIMEN. Arizona. Stock certificate. Green/Black. Large engraved panoramic view of a mining scene. Lightly punch cancelled and Excellent. \$50 - up



PAR VALUE CONSOLIDATED GOLD AND COPPER CO.

* 303
1904, Pennsylvania. Stock certificate for 20 shares. Black. Vignette of miners at work. Litho. Uncancelled and excellent. \$60 - up



RICHMOND & ST. PAUL LAND & IMPROVEMENT ASSOCIATION

* 307
1887, Virginia. Subscription Certificate for \$1000. Top center vignette of a globe within the company name. Uncancelled and very fine. \$75 - up