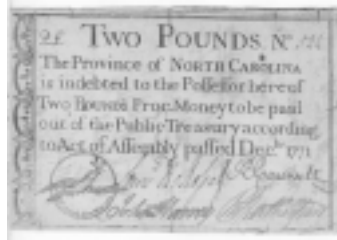


**EARLY AMERICAN  
CURRENCY AND  
FINANCIAL DOCUMENTS**



**LOTTERY TICKET FOR THE SOCIETY  
FOR ESTABLISHING  
USEFUL MANUFACTURES**

**\* 1**  
An Extremely Important Early American Lottery Ticket for the Company Founded by Alexander Hamilton. Paterson, NJ. 4" x 1 3/4". Signed by Jonathan Rhea, Colonel during the American Revolution. Chiefly designed by Alexander Hamilton, the company was established for the development of manufacturing in the young nation. A fine example of one popular method of financing during the early development of the capital markets in America. Choice condition. \$350 - up



**\* 4**  
**NORTH CAROLINA - 2 POUNDS**  
1771. North Carolina Two Pounds. Issued under an Act of Assembly passed Dec. 1771. Signed by Richard Caswell. Some mounting remnants on verso. VF \$300 - up



**\* 9**  
**PENNSYLVANIA - NINE PENCE**  
1775. Pennsylvania. Nine Pence. Coat-of-arms. Extremely Fine. \$90 - up



**\* 13**  
**PENNSYLVANIA - THREE PENCE**  
1777. Pennsylvania. Three Pence note. State seal. Extremely Fine. \$100 - up



**\* 5**  
**NEW JERSEY - ONE SHILLING**  
1756. New Jersey. One Shilling. Coat-of-Arms at lower left. Extremely Fine. \$300 - up



**\* 10**  
**NEW YORK - FIVE POUNDS**  
1771. New York. Vignette of coat-of-arms, top vignette of harbor view and seated figures. Unusual design for Colonial currency. Center tear repaired. Fine. \$225 - up



**\* 14**  
**MARYLAND - EIGHT DOLLARS**  
1774. Maryland. Coat-of-arms. Extremely Fine. \$135 - up



**UNCUT SHEET OF THREE 1771  
NORTH CAROLINA NOTES**

**\* 2**  
1771. North Carolina. A choice, uncut sheet of three different North Carolina notes being the six pence, one pound and ten shillings notes issued "according to an act of Assembly passed Decr. 1771." All three notes have nice full margins and strong impressions and are signed by Richard Caswell, along with other signers. Uncirculated. \$1,100 - up



**\* 6**  
**PENNSYLVANIA - TWO SHILLINGS**  
1773. Pennsylvania. Coat-of-Arms. Very Fine. \$80 - up



**\* 11**  
**MASSACHUSETTS - EIGHT DOLLARS**  
1780. Massachusetts. Eight Dollars. Cut cancelled. Fine. \$45 - up



**\* 15**  
**CONNECTICUT TWO PENCE**  
1777. Connecticut. Coat-of-arms. Cut cancelled. Extremely Fine. \$110 - up



**\* 7**  
**MARYLAND - FOUR DOLLARS**  
1774. Maryland. Coat-of-arms. Extremely Fine. \$135 - up



**\* 16**  
**CONTINENTAL CURRENCY**  
1778. Philadelphia. Pyramid vignette. Extremely Fine. \$90 - up



**NORTH CAROLINA NOTE**

**\* 3**  
1771. Province of North Carolina. Partly-printed One Pound note. Nice primitive vignette of a dog at bottom left. Ornate border design. Signed by RICHARD CASWELL (1729 - 1789). Revolutionary soldier and politician, delegate to Continental Congress. Uncancelled and Very Fine. \$300 - up



**\* 8**  
**PENNSYLVANIA - SIX PENCE**  
1777. Pennsylvania seal. Very Fine. \$75 - up



**\* 12**  
**MASSACHUSETTS - SWORD IN HAND**  
1775. Massachusetts Bay. Vignette of a ship on front. The classic sword-in-hand vignette on verso. The note has been split in half and repaired with string. Very Good. \$400 - up



**\* 17**  
**PENNSYLVANIA - TWENTY SHILLINGS**  
1776. Philadelphia. Coat-of-arms vignette. Extremely Fine. \$90 - up



**CONTINENTAL CURRENCY  
TWENTY DOLLARS**

\* 18  
1775. Philadelphia. Twenty Dollars. A lovely note with a colorful marbled border at left. A vignette of wind blowing over the ocean on front, sunburst and sailing ships on back. The note has been professionally repaired along a centerfold split as is quite typical for this issue. A classic note. \$1,400 - up



**VIRGINIA TWO POUNDS SIGNED BY  
THE FIRST PRESIDENT OF CONTI-  
NENTAL CONGRESS PEYTON  
RANDOLPH**

\* 22  
1773, Virginia. Partly-printed two pound note issued under an act of assembly passed the 4th day of March, 1773. Ornate border design at left and coat-of-arms at top. Signed by PEYTON RANDOLPH (1721 - 1775), First President of Continental Congress, Kings attorney, Speaker of the House of Burgesses, Chairman of the Virginia committee of Correspondence. Also signed by JOHN BLAIR (1732 - 1800), Associate Justice of the Supreme Court.; A superb association of these two patriots. Some light toning. Fine. \$1,500 - up



**A CHOICE GEORGIA  
FIVE DOLLAR NOTE**

\* 19  
1777. Georgia. Five Dollars. Light blue coiled Snake vignette with some red printing. Payable "for support of the Continental Troops, and other Expenses of the Government. Uncirculated. A choice example this colorful note. \$1,400 - up



**THREE DOLLAR COUNTERFEIT  
DETECTOR - NICE WATERMARK**

\* 23  
1779. The United States of North America. Three Dollars. Printed on blue paper. Some red printing. Issued to provide handlers with an example for counterfeit detection. Uncirculated. \$500 - up



**CONNECTICUT FORTY  
SHILLINGS - 1787**

\* 20  
1787. Connecticut. Forty shilling note issued "For Interest Due On Money Loaned to the State". The money was payable out of taxes as listed on the note. Punch cancelled and Extremely Fine. \$125 - up



**GEORGIA SIX PENCE NOTE SIGNED  
BY CONSTITUTION SIGNER  
WILLIAM FEW**

\* 21  
1776. Georgia. One shilling six pence note. Issued to replace all of the Colony's previously outstanding issues. This note is signed by WILLIAM FEW (1748-1828). Signer of the Constitution from Georgia; U.S. Senator. Some light dampstaining. Otherwise VF. \$1250 - up



**SCARCE PAIR OF UNCUT SHEETS OF  
REDFORD GLASS COMPANY NOTES**

\* 24  
Great pair of uncut sheets of Merchants notes payable at the store of the Redford, New York glass company. One sheet consists of two 25 cent notes, a fifty cent note and a seventy five cent note with a variety of engravings includ-

ing the company's buildings and glass blowers at work. The other sheet is without vignettes and has two 3 cent notes, 1 five cent note, one six cent note, one 10 cent note and one 12 cent note. The notes are all choice uncirculated. \$300 - up



**AN UNCUT SHEET OF THREE  
PARTIALLY COMPLETED BANK OF  
THE UNITED STATES SIGHT DRAFTS**

\* 25  
A sheet of the first, second and third bills of exchange. All are issued to M. Robingson, Agent. White the bills were filled in on May 9, 1837, they were never fully signed. Uncancelled and Fine. \$250 - up



**A LIMITED EDITION FEDERAL  
RESERVE MILLENNIUM SET OF  
MATCHED SERIAL NUMBERS**

\* 26  
A set of twelve \$2.00 notes being one from each of the dozen Federal Reserve Banks, each with an identical number starting with the identical number of 20000750. Two thousand sets were produced to commemorate the Millennium celebration. These series 1995 notes in the two dollar denomination were never placed into general circulation. The twelve notes are housed in the original album produced the the Bureau of Engraving and Printing. All uncirculated. \$1,000 - up



**STATE OF MASSACHUSETTS BAY  
BOND ISSUED DURING THE  
AMERICAN REVOLUTION**

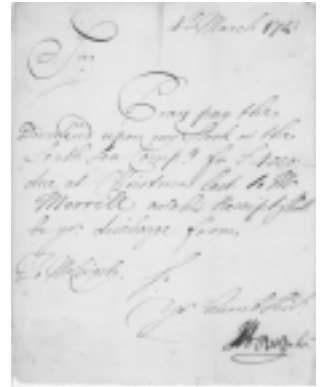
\* 27  
[AMERICAN REVOLUTION] 1779, Massachusetts. State of Massachusetts Bay partly-printed State bond Engraved vignette of a pine

tree encircled with a snake. Ornate. Signed by Henry Gardner as treasurer. Pen cancelled and Extremely Fine. \$325 - up



**CONTINENTAL ARMY  
"COMMODITIES BOND"**

\* 28  
1780, MA. Anderson MA 22. Black. Litho. Ornate border. Early American state bond bearing 6% interest payable "in then current money...in a greater or less sum, according as Five bushels of CORN, Sixty-eight Pounds and four-sevenths Parts of a Pound of BEEF, Ten Pounds of SHEEPSWOOL, and Sixteen Pounds of SOLE LEATHER shall then cost, more or less than ONE HUNDRED AND THIRTY POUNDS current money..." These were issued to cover the depreciation of pay the soldiers had suffered while serving in the continental army. Signed by **Henry Garder** as state treasurer; **Thomas Dawes** and **Richard Cranch** as committee. Large horizontal tear at center repaired with archival tape on verso. The condition of this piece is typical of the condition in which these bonds are found. Lightly pen cancelled and fine. \$600 - up



**SCARCE EARLY SOUTH SEA  
COMPANY DIVIDEND ORDER DATED  
IN 1713 JUST TWO YEARS AFTER  
ITS FOUNDING**

\* 29  
1713. Order to the treasurer of the company, Mr. Grigsby to pay dividends on South Sea Company stock. "Pray pay the dividend upon my stock in the South Sea Company for 4,000 pounds due at Christmas last to Mr. Merrill and his receipt shall by your discharge from.

The South Sea Company was founded in England in 1711 to trade with the South Seas (South America) in textiles, spices and whatever could be found to be profitable, including the slave trade. The early 1700's in England saw a wave of financial prosperity and the joint stock company came into favor as a means of investment. Since these companies were virtually unregulated in the amount of stock they could issue, insider trading, etc., investors were unknowingly exposed to an extremely high

level of risk. An imaginative and preposterous scheme promoted successfully by the South Sea Company was a grand plan which involved the company's assumption of the entire national debt of England in exchange for an annual payment of six percent of the principal assumed by the company. This ultimately almost completely destroyed the English government. Gross mismanagement of the company along with corruption were prevalent as the company's stock sold. Additional stock was sold as the company's purported success caught on at a fevered pitch. With the frantic buying of South Sea stock came new schemes, many of which proved unsuccessful causing a wave of stock selling and the eventual collapse of these companies as well as the South Sea company, thus concluding the age of the first great financial crash. A nice, early stock dividend from this famous company. \$400 - up



**SCARCE CONNECTICUT NOTE FOR INTEREST DUE ON HARD MONEY**

\* 30  
1787, Treasury Office, Hartford. The note certifies that "the sum of ten shillings, six pence hard money, payable out of the Dec. 2d, Tax, 1782..." and other taxes, "is due from the State of Connecticut, unto Mr. Enoch Read for interest due on money loaned..." Signed by John Lawrence as treasurer. Punch cancelled at center. Otherwise, excellent. \$110 - up



**SCARCE CONNECTICUT NOTE FOR INTEREST DUE ON HARD MONEY PAYABLE TO BENJAMIN TALMADGE**

\* 31  
1785, Treasury Office, Hartford. The note certifies that "the sum of ten shillings hard money, payable out of the December 2d, tax..." and other taxes, "is due from the State of Connecticut, unto Col. Benjamin Talmadge for interest due on money loaned to said State." BENJAMIN TALMADGE (1754 - 1835). Soldier, congressman. Mounted along edges to another sheet of border paper. Folds. Punch cancellation at center. Fine. \$125 - up



**STATE OF CONNECTICUT PAYTABLE OFFICE DOCUMENT ISSUED TO FRENCH AND INDIAN WAR SURGEON DR. AMOS MEAD**

\* 32  
1783, Connecticut. Partly-printed Pay-Table

Office document payable to Doctr. Amos Mead in the amount of seven pounds. **AMOS MEAD**, seventh son of the second Ebenezer, was a physician by profession, and was a surgeon of the Third Connecticut Regiment in the French and Indian War. He was a prominent man during the Revolutionary war and represented his town a great many times in the Colonial Legislature, and after the adoption of the Constitution of the United States, was a member of that noble body of men who adopted the Constitution of the United States. He died February, 1807. A nice piece of medical history related to a French and Indian War surgeon. Lightly pen cancelled and in excellent condition. \$100 - up



**COMMONWEALTH OF MASSACHUSETTS BOND**

\* 33  
1782, Anderson. MA- 31. Nice bond issued during the American Revolution in which the Commonwealth of Massachusetts has "Borrowed and Received of Thomas Brickford the sum of nine pounds 11/4 which is payable..." with interest at six percent... in the several Species of Coined Silver and Gold..." Signed by Cranch but the Treasurer has not signed. Fine. A scarce variety. \$300 - up



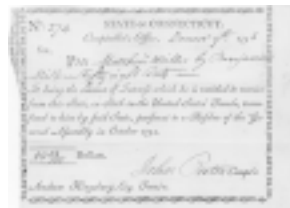
**CONNECTICUT DOCUMENT PAYABLE TO GENERAL GOLD SELLECK SILLIMAN**

\* 34  
1789, Connecticut. Partly-printed civil list pay order to "Gold Selleck Silliman, esq., state atty. For sd. County, twenty shillings..." Signed on verso, "Silliman, atty." Silliman was a Militia general Born in Fairfield, Connecticut, 1732. He graduated from Yale and practiced law and served as a crown attorney before the Revolution. He was the commander of a local body of militia cavalry and took rank as a militia brigadier general after 1776. He was mostly concerned with patrolling the southwestern border of Connecticut, where the loyalists of Westchester County, New York spilled over and caused constant irritation and concern for patriot towns and farms. He also fought with the main army during the New York campaigns of 1776 and opposed the British raid on Danbury in 1777. He was captured at his home by loyalists in 1779 and was held in New York City until paroled the following year. Uncancelled and Extremely Fine. \$100 - up



**STATE OF MASSACHUSETTS BAY BOND ISSUED DURING THE AMERICAN REVOLUTION FOR THE PAYMENT OF BOUNTIES TO SOLDIERS**

\* 35  
1777, Massachusetts. Anderson MA-6. 5 3/4" x 6 1/4". Bounty note for ten pounds bearing 6% interest issued for payment of bounty to soldiers enlisting in the continental army. Ornate left border design. Acknowledgement on verso of having received interest. A great piece of military financial history from the American Revolution. Lightly pen cancelled and Extremely Fine. \$275 - up



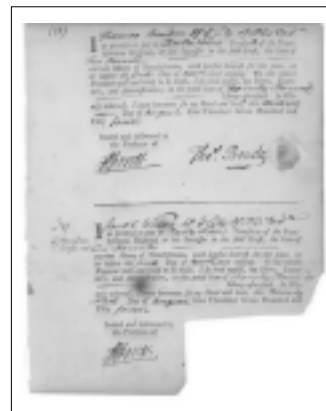
\* 36  
1796, Connecticut. Partly-printed Comptroller's Office note in which Andrew Kingsbury is ordered to "Pay Matthew Miller by Benjamin Miller fifty-eight cents it being the amount of interest which he is entitled to receive from this state on stock in the United States Funds, transferred to him by said state, pursuant to a resolve of the general assembly in October, 1794." A scarce format. Uncancelled and excellent. \$100-150



**A VERY SCARCE, EARLY TURNPIKE STOCK SIGNED BY NEW JERSEY'S MILITIA COMMANDER DURING THE AMERICAN REVOLUTION**

\* 37  
1809, New Jersey. Stock certificate for 12/100 of a share of the Trenton and New-Brunswick

Turnpike Stock. Signed as treasurer of the company by JOHN NEILSON, (1745 - 1833). During the revolution, Neilson served as the brigadier-general of the New Jersey state militia from 1777 - 1779 at which time he was placed in command of the northern New Jersey militia. He was a delegate from New Jersey to the Continental Congress and an early shareholder and supporter of Alexander Hamilton's Society for Establishing Useful Manufactures. The certificate is accompanied by a partly-printed transfer document which is attached with a small wax seal at upper right. A rare Turnpike with a nice autographic association to the American Revolution. Uncancelled and Very Fine. \$375 - up



**PENNSYLVANIA HOSPITAL SUBSCRIPTION CERTIFICATE ISSUED TO AND SIGNED BY CO-FOUNDER DR. THOMAS BOND AND COUNTERSIGNED BY DR. JOHN JONES, AUTHOR OF AMERICA'S FIRST SURGICAL TEXTBOOK**

\* 38  
**THOMAS BOND** (1712-1784). Physician. Bond, a prominent Philadelphia physician, was co-founder of the Pennsylvania Hospital, co-founder of the American Philosophical Society, served on the Committee of Safety, and greatly aided in the organization of the army. Bond introduced the use of mercury in the practice of Philadelphia physicians and, as a highly regarded surgeon, conducted with much success, pioneer surgery involving amputations and gallstones.

(PENNSYLVANIA HOSPITAL). DS. Ipp. 6 1/2" x 8". Pennsylvania. August 9, 1754. A partly printed subscription certificate issued to and signed by Dr. Thomas Bond in which he promises to pay Charles Norris Treasurer of the Pennsylvania Hospital, or his Successors in the said Trust, the Sum of five pounds current Money of Pennsylvania, with lawful Interest on the same, on or before the first day of April... Below Etter's document is a similar one for "Jacob Magg", except Magg's signature is removed. In 1751, Benjamin Franklin was approached by a friend, Dr. Thomas Bond, with the idea to create a city hospital to treat the poor. Franklin's prominence helped the project succeed, and it became the nation's first incorporated hospital; the Provincial Assembly agreed to match all private subscriptions like Etter's. Franklin ranked the establishment of the hospital as one of his most satisfying achievements and it was the first example in America of subscription-based financings. This is undoubtedly a fine piece of American financial history. There is light browning to the edges, the ink is dark and the condition is

fine, despite Magge's autograph being removed.

**(PENNSYLVANIA HOSPITAL - JOHN JONES)** (1729-1791). Jones was a surgeon and the author of the first American surgical textbook, *The Treatment of Wounds and Fractures*. He practiced in New York but, after the British captured the city, he went to Philadelphia and was appointed the attending physician of the Pennsylvania Hospital. DS. 1pp. 6" x 8". City Philada. May 1, 1751. A partly printed promissory note signed "Jno Jones" two times. The document, relating to the Pennsylvania Hospital, states in part: "I John Jones of the City Philada do promise to pay to John Reynell, Treasurer of the Pennsylvania Hospital, or his Successor in the said Trust, the sum of Ten Pounds current money of Pennsylvania, with lawful interest for the same, on or before the 24th Day of June next ensuing..." The single page document has two such notes, and Jones signed both. One signature of another person, however, has been removed from the lower note, but this does not affect Jones' signatures in any way. The document has light staining close to where Jones applied his wax seal, but the writing and printing are dark and the condition is fine. \$2,000 - up

## SIGNED CHECKS AND DRAFTS



### MASSACHUSETTS BAY COLONY REVOLUTIONARY WAR SIGHT DRAFT SIGNED BY FRANCIS HOPKINSON

\* 39  
February 15, 1779, Massachusetts Bay. Revolutionary sight draft document signed by Francis Hopkinson as Treasurer of Loans. **FRANCIS HOPKINSON** (1737-1791) Signer of the Declaration of Independence; Continental Congress Delegate - New Jersey. Countersigned by Nathaniel Appleton and signed on verso by William Bingham. Excellent Condition. \$450 - up



### REPUBLIC OF TEXAS TREASURY WARRANT ISSUED TO AND SIGNED BY TEXAS DECLARATION OF INDEPENDENCE SIGNER WILLIAM MENEFEE

\* 40  
**WILLIAM MENEFEE** (1796-1875). Signer of the Texas Declaration of Independence; he was one of five commissioners who selected Austin as the capital of the Republic of Texas. He represented the Colorado district in the House of the Second, Third, Fourth, and Fifth

congresses of the Republic (Sep 1837 - Nov 1841) and in the Ninth Congress (Dec 1844 - Feb 1845).

Partly-printed document signed. Treasury Department, June 28, 1845. Treasury warrant payable to "Wm. Menefee, sixty-six dollars, out of any money appropriated by Act of February 1845 for pay and mile(age) members of the 9th Congress this amount being for pay and mileage members of the House of Rep." Endorsed on verso by Menefee. A scarce autograph of this Texas Declaration of Independence signer. \$350 - up



### REPUBLIC OF TEXAS TREASURY WARRANT ISSUED TO AND SIGNED BY TEXAS INDIAN FIGHTER AND LEGISLATOR DAVID GAGE

\* 41  
**GAGE, DAVID** (1796-1854). David Gage, early settler and legislator, was born in Kentucky in 1796. He moved to Texas from Alabama in 1839 and, on May 21, purchased a fourth of the Leonard Williams league; he settled in the Williams Settlement in southern Rusk County, near Mount Enterprise. A few months later he organized and was made captain of a company of minutemen for protection against the Indians. He served with the company during 1840 and 1841. Gage was elected representative to the Eighth and Ninth congresses of the republic, December 4, 1843, to June 28, 1845, and in 1845 was elected senator for the Fourth District and delegate to the Convention of 1845. After annexation he served three terms in the Texas legislature. He died at his home near Mount Enterprise in 1854

Partly-printed document signed. Treasury Department, February 9, 1844. Treasury warrant payable to "D. Gage, eighty-four dollars, out of any money appropriated by Act of February 5th, 1844 for pay and mile(age) members of the 9th Congress this amount being for pay and mileage members of the House of Rep." Endorsed on verso by Gage. A nice Texas autograph. In Excellent condition. \$125 - up

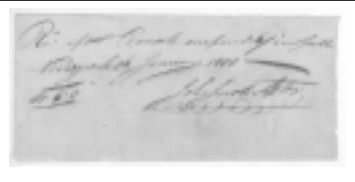


### REPUBLIC OF TEXAS TREASURY WARRANT ISSUED TO AND SIGNED BY TEXAS DECLARATION OF INDEPENDENCE SIGNER ASA BRIGHAM

\* 42  
**BRIGHAM, ASA** (ca. 1790-1844). Asa Brigham, signer of the Texas Declaration of Independence, first treasurer of the Republic

of Texas, and mayor of Austin, was born in Massachusetts about 1790.

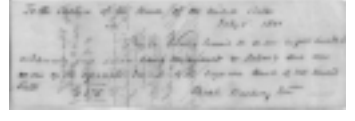
Partly-printed document signed. Treasury Department, June 8, 1842. Treasury warrant payable to "A. Brigham, seventy dollars, out of any money appropriated by Act of February 3, 1842 for four armaments this amount being for pay of requisition on War Dept. as requested by Capt. of Ordnance." Endorsed on verso by Brigham. A scarce autograph of this Texas Declaration of Independence signer. \$350 - up



### JOHN JACOB ASTOR

\* 43  
**ASTOR, JOHN JACOB** (1763-1848). Fur trader; Capitalist. Astor remains as one of the quintessential representations of wealth in America. He was certainly the greatest merchant of his time, with the beginning of his fortune being founded upon fur trading and his founding of the American Fur Company. By investing much of his profits in New York real estate, he gained control of a large portion of Manhattan property, building some of its most prominent early buildings. He was for some time, the wealthiest individual in America. At the time of his death, his fortune was conservatively estimated at \$20,000,000.

Autograph Document Signed. Astor writes "Recd. Of Mr. Rauch...in full. New York, 16, January 1800." Fine for display. \$900 - up



### SCARCE BUSHROD WASHINGTON CHECK

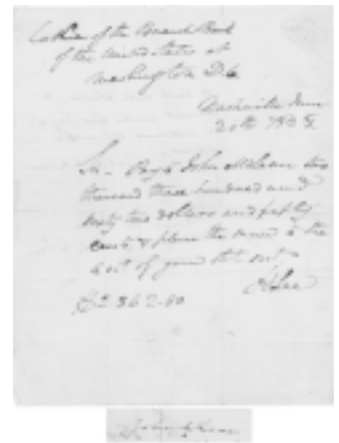
\* 44  
Scarce Check Signed by Supreme Court Justice Bushrod Washington in which he orders his salary as Associate Justice be paid to another man **BUSHROD WASHINGTON** (1762 - 1829). U.S. Supreme Court Justice. ADS. July 1, 1805. Washington instructs the Cashier of the Bank of the United States to "Pay to Charles Simms or order, eight hundred and seventy five dollars being the amount of salary due me as one of the Associate Justices of the Supreme Court of the United States." Entirely accomplished in his hand and signed as maker. Endorsed on verso by **THOMAS T. TUCKER**, Treasurer of the United States. Scarce. In Excellent condition. \$750 - up



### CIVIL WAR GENERAL EUGENE CARR HANDWRITES AND SIGNS A CHECK

\* 45  
**EUGENE A. CARR**. ADS. 1pp. 7 1/2" x 2 3/4". Washington, D.C. Sept 26th 1910. A "Riggs National Bank" check signed by "E. A. Carr".

The check, completely engrossed in Carr's hand, paid "The Commissary Works Washington Barracks D.C. twenty five 15/100 Dollars". The check has a fine decorative border and an engraving of a bank in the left margin and the punch holes affect the engrossment but not the dark signature. \$125 - up

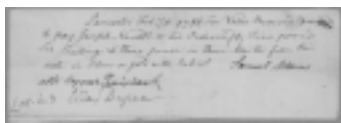


### A FINE ASSOCIATION OF "LIGHT HORSE HARRY" LEE AND SUPREME COURT JUSTICE JOHN McLEAN

\* 46  
**LEE, HENRY "LIGHT HORSE"** (1756-1818). Soldier during the Revolutionary War, was given the name "Light-Horse Harry" for his lighting cavalry raids during the war. Lee became a captain in the Virginia cavalry in 1776, his company joining Washington's army the next year. In 1778, he became a major in charge of "Lee's Legion" which led a daring raid on the British Post at Paulus Hook, N.Y. In 1780, he fought under General Nathaniel Greene. While serving as Governor of Virginia (1791-1794), Lee commanded the troops sent by President Washington to quell the Whiskey Rebellion. His later years brought much unhappiness.

**McLEAN, JOHN**. (1785 - 1861). Supreme court justice, postmaster general, congressman. While seated on the bench during the Dred Scott case, McLean offered a dissenting opinion, believing slavery had its origin merely in force and was contrary to human rights.

Autograph Document Signed by Henry Lee. Nashville, June 20, 1828. To the Cashier of the Branch Bank of the United States at Washington, D.C. "Sir, Pay to John McLean two thousand three hundred and sixty two dollars and fifty cents, & place the ( ) to the acct. of your obt. Servt. H. Lee. McClean endorses on verso at the conclusion of his statement "Mr. Smith will please to permit Carter Lee Esqr. To withdraw the within deposit." Additionally, Carter Lee endorses. Some light paper loss at the word Cashier due to ink burn. The rest of the text remains in excellent condition. Overall very fine. \$750 - up



**SCARCE MANUSCRIPT PROMISSORY NOTE SIGNED BY SAMUEL ADAMS**

**\* 47**  
**ADAMS, SAMUEL** (1722-1803). Signer of the Declaration of Independence from Massachusetts; Revolutionary patriot. Adams was the leading spokesman for American independence, giving many speeches and serving on many committees towards that cause. He vehemently opposed the Stamp Act, the Sugar Act, and British colonial policy in general, and was one of the organizers of the Boston Tea Party. His constant criticism of the British contributed to the tensions which lead to the Boston Massacre (1770). Of Adams it has been said, "Temperament and circumstances combined to give Samuel Adams but one occupation-the public business; in which he demonstrated a passionate and unquestioned faith in the virtue of the cause he served. This is perhaps the principle key to the quality and the success of all his labors" (DAB). Document Signed. Lancaster, Feb. 8, 1786. Manuscript promissory note in which Adams promises to pay "Joseph Nowell or his Order Fifty Five pounds six shillings and three pence in three months from this date in silver or gold with interest." Boldy signed at the conclusion by Adams. Very Fine. \$2,000 - up



**WILLIAM T. SHERMAN WRITES A CHECK TO ONE OF THE BIG FOUR, C. P. HUNTINGTON**

**\* 49**  
**WILLIAM T. SHERMAN**. ADS. 1pp. 7 1/2" x 2 3/4". Washington, D.C. May 19, 1882. A "Riggs & Co" check signed "W. T. Sherman" and completely engrossed by the famous general. Payable to C. P. Huntington in the amount of \$210.00". The check has an attractive engraving along the left margin. A great association of these two famous Americans. Usual cut cancellation. In excellent condition. \$500 - up



**CHECK SIGNED BY BOXER JAKE La MOTTA**

**\* 50**  
**JAKE LaMOTTA**. Partly-printed bank check drawn on Bank of Miami Beach payable in the amount of \$112.65. The check was written on Jake La Motta's Skywrite, Inc. account. \$75 - up



**ANDREW MELLON RECEIVES HIS STOCK DIVIDEND**

**\* 51**  
1904. Pennsylvania. Partly printed check issued to and endorsed on verso by Andrew Mellon (1855-1937); Financier, Industrialist, Secretary of the Treasury. Black on yellow paper. This check is issued for payment of \$320 dividends due him from The Chartiers Railway Co.. Would make a nice companion to the stock certificate of this company. Light hole cancellations not affecting Mellon's signature. Extremely fine \$250 - up



**CHECK SIGNED BY ZEPP0 MARX**

**\* 52**  
**MARX, ZEPP0** (1901 - ?). One of the famed Marx Brothers, appearing onscreen in their first five films, later becoming a successful Hollywood agent. DS. 1 page. 8 1/2" x 3 1/2". California. March 1, 1974. A yellow Zeppo Marx business check drafted on the Security Pacific National Bank, made payable to Palm Desert Disposal Serv. in the amount of \$15.00 and signed at bottom right by "Zeppo Marx" as maker. \$150 - up



**SCARCE CHECK SIGNED BY WALL STREET ARBITRAGEUR AND CONVICTED INSIDE TRADER IVAN BOESKY**

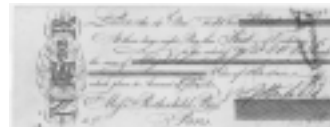
**\* 53**  
D.S. 1 page. New York. March 14, 1975. Partly-printed bank check drawn on Manufacturer's Hanover Trust Company payable to Best Ever in the amount of \$8.10. Boldly signed as maker by **IVAN BOESKY**., successful arbitrageur in the 1970s. But his profits shrunk as others joined this lucrative practice. From about 1982 he made use of his wide range of insider dealing contacts to discover potential take-over victims before the information was made public, and to trade in the target shares. Often his sources were raiders as well who profited from extra pressure Boesky put on the victim companies. Boesky became Wall Street's most feared arbitrageur. As a result of one of Wall Street's most famous of inside trading scandals, in 1986 the US regulatory body Securities Exchange Commission (SEC) fined him \$100 million. Half of the fine was charge for insider trading and the rest in illicit profit repayments. He was allowed to pay the \$50 million fine with shares - which the SEC admitted later had dropped \$13 million in value. He also successfully argued that his repayment of illicit profits was tax-deductible.

Light bank punch cancellation not affecting Boesky's signature. A fine autograph from one of America's most illustrious wall street insiders. In Excellent condition. \$150 - up



**LUCILLE BALL SIGNED CHECK**

**\* 54**  
**LUCILLE BALL**. DS. 1pp. 8 1/2" x 3". Hollywood. Oct 11 1954. A check signed by Lucille Ball Amaz and co-signed by Andrew Hickox. The check, drawn on the Bank of America, was made out to "Cash" for \$75.00. The signature is large and the check is in fine condition overall, despite being lightly punch cancelled. This was signed when the I Love Lucy show was at its zenith. Lucy is quite rare is signed checks. \$200 - up



**BILL OF EXCHANGE SIGNED BY NATHAN ROTHSCHILD**

**\* 55**  
Partly-printed bill of exchange dated November 4, 1831. Drawn on Rothschild's banking house. A fine engraving at left of the family coat-of-arms. Boldly signed at lower right by **NATHAN ROTHSCHILD** (1777 - 1836); Banker, and head of the London branch of the Rothschild banking family. This bond was is-

sued for the reconstruction of Russia following its destruction during the War against Napoleon. Rothschild worked constantly for the defeat of the French emperor in the interests of England as well as his own financial gain. Working inconspicuously, Rothschild engineered the financial machinery needed to supply the Allies with the much needed funding to defeat the French. There is a small ink burn at the upper right corner. Otherwise Very Fine. \$450 - up

**UNUSUAL AND RARE BANK CHECK ISSUED TO DREXEL MORGAN & COMPANY AND SIGNED ON VERSO FOR THE COMPANY IN J. PIERPONT MORGAN'S HAND**

**\* 56**  
1878. New York. Partly-printed bank check drawn on the Cashier Corn Exchange Bank payable to "Drexel, Morgan & Company in the amount of \$9,450." Signed "Drexel, Morgan & Co." on verso by **J. Pierpont Morgan**. Checks signed by Morgan himself or for the company are quite rare. This check is also signed by **DAVID DOWS** serving in the capacity of one of the Chicago, Rock Island & Pacific RR Company sinking fund commissioners thereby offering a fine association of the two. Usual pen and cut cancellations not affecting the endorsement. Extremely Fine. \$400 - up

**PAY NOTE SIGNED BY JOHN DICKINSON**

**\* 57**  
1784. Pennsylvania. Pay note for 4 pounds, 15 shillings and 2 pence. Black/White. This pay note is signed by John Dickinson. **DICKINSON, JOHN** (1732-1808). Signer of the Constitution and the Articles of Confederation from Delaware; Statesman; Administrator. While recognizing that the Colonies had legitimate grievances with England, Dickinson felt that the differences could be settled peacefully, and voted against the Declaration of Independence. Once the Declaration was adopted, however, Dickinson was only one of two members of Congress who took up arms. He was a delegate to the convention which drafted the U.S. Constitution. \$400 - up



**SIGHT DRAFT SIGNED BY "MAD" ANTHONY WAYNE**

**\* 58**  
Georgia, Savannah, June 9, 1785. "To Messrs. Wilhem & Jan Willink, Merchants, Amsterdam. Exchange for 2000 Florins. At Sixty Days after Sight of this my Second of Exchange, (First and Third of the same Tenor and Date not paid) pay to the Order of Mr. Philip Jacob Cohen the Sum of Two Thousand Florins, Value Received and place the same, with or without further Advice, to the Account of." Signed Anthony Wayne. **WAYNE, ANTHONY "MAD ANTHONY"** (1745-1796). Revolutionary War general; Commander of U.S. Army under President George Washington; U.S.



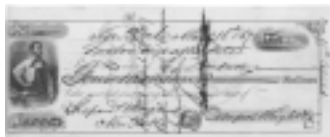
**RARE CHECK SIGNED BY JOHN SUTTER**

**\* 48**  
**SUTTER, JOHN** (1803-1880). Adventurer; Colonist. Details of Sutter's early life are unclear, but it is certain that he was a Swiss national at the time he emigrated to America, leaving a wife and several children behind. He arrived in California in 1839 and, shortly after, obtained permission from the Mexican governor to establish a colony on the frontier to the north of Monterey. His success was both immediate and phenomenal. He rapidly built up a vast baronial estate which proved to be a magnet for early American settlers coming into the area. In 1849, he was a delegate to the convention which drafted the state constitution, presided over its first session, and was a candidate for the governorship at the first election. Discovery of gold on his property in 1848, however, marked a reversal in his fortunes. His workmen deserted him, his herds disappeared, squatters settled on his land and, by 1852, he was bankrupt. He won preliminary court cases for financial redress, but could not afford the costs to complete the litigation. In 1864, California awarded Sutter a pension of \$250 per month which continued until 1878.

Document Signed. Washington, D.C. Feby. 26, 1875. Partly-printed bank check drawn on Metropolis Savings Bank in the amount of \$100 payable to "Myself". Accomplished in Sutter's hand and signed by him as maker. Bank cut cancellation at center. The check has received a number of folds at one point in time. A fine Western Autograph. Fine. \$2,000 - up

Congressman. Revolutionary War general; Commander of U.S. Army under President George Washington; U.S. Congressman. In 1777, after serving with distinction in the Canadian campaign and in the garrison at Ticonderoga, Wayne was appointed a brigadier-general and joined Washington's army where he was given command of the Pennsylvania line. It was during this time that Wayne earned his sobriquet, "Mad Anthony", due to his reckless courage. In 1779, he was the hero of the recapture of Stony Point, New York, a British outpost on the Hudson River; Wayne commanded the attack which is generally agreed to have been one of the most daring of the war. Among other campaigns in which Wayne played a conspicuous role were Brandywine (1777), Germantown (1777), and Monmouth (1778). By 1781, he was serving with the Marquis de Lafayette, and took part in the siege of Yorktown, the last major action of the war. In 1783, Wayne retired from the army as brevet major-general. Back in civilian life, Wayne was not particularly successful, but did serve in the Pennsylvania Legislature 1784-85 and in the U.S. Congress 1791-92. In 1791 he returned to military life as commander of the U.S. Army under President George Washington, where he was responsible for turning the army into a truly reliable military organization.

\$900 - up



**EDWARD J. DANFORTH**

**FOUNDER OF AMERICAN BANK NOTE COMPANY**

\* 59

**EDWARD J. DANFORTH.** From 1850 - 1858 Danforth was partner in the firm of Danforth, Bald & Company and its successors. In 1858, he combined with six other leading engraving firms to form the association of the American Bank Note Company.

A rare sight draft. New York, March 16, 1857. "Twelve days after date pay to the order of E. J. Danforth four thousand dollars, value received and charge the account of Shepard & Morgan" Signed as maker of the note by Danforth, Wright & Co. Endorsed on verso "E. J. Danforth, Danforth, Wright & Co. A scarce autograph of this important American engraver. Pen cancelled on front. Danforth's signature on verso remains uncanceled.

\$200 - up



**SCARCE CHECK SIGNED BY "BLACK JACK" PERSHING**

\* 60

**JOHN J. PERSHING** (1860-1948). American General. Pershing came to national attention when he commanded the army that entered Mexico to pursue Pancho Villa and his compatriots who had been raiding American communities along the Mexican border. Pershing's long pursuit finally broke Villa's power. Upon American entry into World War I, Pershing was given command of the American forces in Eu-

rope. Partly-printed bank check drawn on his personal account payable to Waldo C. Moore in the amount of "one cent only". Pershing is quite scarce in check format. Pershing's signature is just a touch lighter at the conclusion as the pen appears to have been running out of ink. Uncanceled and Fine.

\$250 - up



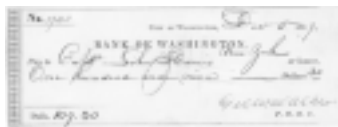
**ANTHONY F. LUCAS - DISCOVERER OF SPINDLETOP**

**"THE LUCAS GUSHER ON SPINDLETOP STARTED A NEW ERA IN THE OIL INDUSTRY"**

\* 61

Partly-printed bank check drawn on the Riggs National Bank dated August 19, 1921 payable to Potmac Electric Power. in the amount of \$4.30. Entirely accomplished in his hand and signed by **ANTHONY F. LUCAS** (1855-1921) geologist, engineer, discovered oil in Texas with "Spindletop", the largest oil well ever completed in the United States. "The Lucas Gusher on Spindletop started a new era in the oil industry, but while Lucas, as the discoverer, became famous the world over, his own financial reward was negligible" (DAB) as he had sold the largest part of interest to the J. M. Guffey Petroleum Co. of Pittsburgh to obtain drilling financing. Certainly one of the most important names in oil history and quite rare. Cut cancellation and a touch of blue crayon which is barely visible. Fine.

\$200 - up



**EARLY CHECK FOR THE MARINE CORP**

\* 62

1839. Partly-printed bank check payable to Capt. I John Harris or bearer, one hundred and nine dollars. Signed by Geo. W. Wachser as the Paymaster for the Marine Corps. Cut cancelled. Very Fine.

\$30 - up



**FUTURE SUPREME COURT JUSTICE OLIVER ELLSWORTH AUTHORIZES FUNDING A REGIMENT DURING THE REVOLUTIONARY WAR**

\* 63

**OLIVER ELLSWORTH** (1745-1807). Revolutionary patriot; Chief Justice of the U.S. Supreme Court. Ellsworth was a committed patriot, a member of the Connecticut Pay Table and member of the Continental Congress during the Revolutionary War. He was instrumental in forging the compromise which provided for equality of representation in the Senate, and is believed to have introduced the term, "United States." Served as Senator from Connecticut (1789-1796) during which time he

played a major role in drafting the legislation which established the federal court system. He is best remembered for his service as the second Chief Justice of the U.S. Supreme Court. Document Signed. 1 page. 7" x 4". "Pay to Cap. Benjamin Clark Fifty pounds mny in Bills it being for the use of Capt. Thos. Knowlton, Paymaster of a Company in the 2<sup>nd</sup> Connecticut Regiment & charge the same to acct. of the Colony of Connecticut. August 1<sup>st</sup>, 1775." Boldly signed at the conclusion by Ellsworth. In excellent condition.

\$225 - up



**A NICE TAFT SIGNED CHECK WILLIAM HOWARD TAFT**

\* 64

DS. 1pp. 8 1/2" x 3". New Haven. November 23rd, 1917. A check signed "**Wm H Taft**" as a Yale University Law School professor. The former President paid "J. B. Van Seiver \$95.25", drawn on Taft's personal "The Second National Bank" account. The check has the usual cancellation marks that do not affect the signature, and it is in very fine condition overall.

\$350 - up

**AUTOGRAPHS - LETTERS**



**A FINE, NICELY DISPLAYABLE ROCKEFELLER LETTER**

\* 65

**JOHN D. ROCKEFELLER**, (1839-1937). Founder and one of the original partners of Standard Oil; Oil industry pioneer; Capitalist. TLS. 1 page. January 1, 1929. On his imprinted personal letterhead from Ormond Beach, Florida. Rockefeller writes a friendly letter to a Mrs. McCampbell, "Thank you for the ties which you so kindly sent me for Christmas. They are most acceptable, and greatly appreciated. It is lovely here, and our home is more attractive than ever. We so much wish you could see the way clear to pay us a visit, but the days are rapidly passing and we shall soon be back at the Golf House. All unite in every best wish for the New Year." Boldly signed by Rockefeller at the conclusion. A fine example for display and in excellent condition.

\$1,000 - up



*"Oakes Ames has been at the tunnel with Crocker..."*

**A FINE HERMAN HAUPT ALS MENTIONING THE GROSS WASTE OF MONEY DURING THE CONSTRUCTION OF THE TRANS-CONTINENTAL RAILROAD**

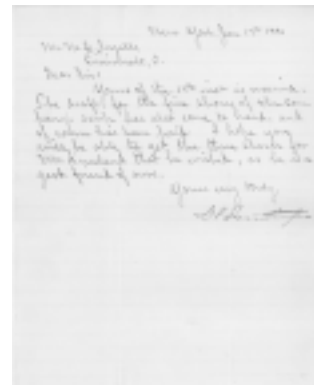
\* 66

**HERMAN HAUPT** (1817 - 1905). Engineer, author, inventor. ALS. Boston, Nov. 6/66

*"I was much gratified to learn that you are a candidate for the legislature. I regret that I will not be in Boston this winter to see the fur fly."*

*"Oakes Ames has been at the tunnel with Crocker. Call and see him you can get some facts. He says Crocker is down on Doane and the former commissioners. That they have been trying to find out how to spend most money and do the least work. That he found a large lot of paper pipe which Doane had ordered and the found not to answer hed thrown aside as useless. On being asked what the cost, the reply was a mere trifle, only about \$10,000 - Command me if I can help you. H. Haupt"* A fine reference to the construction of the trans-continental railroad and the prevailing attitude of waste and extravagant spending during its construction.

\$300-up

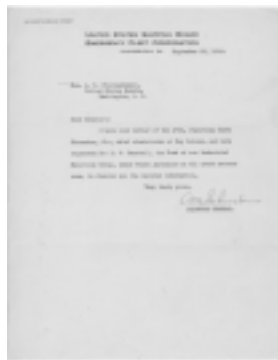


**C. P. HUNTINGTON WRITES TO RAILROAD EXECUTIVE MELVILLE INGALLS**

\* 67

**COLLIS P. HUNTINGTON** (1821-1900). One of the most important railroad builders in American history. ALS. New York, Jan. 17, 1881.. *Mr. M. E. Ingalls, Cincinnati, Oh.*

Dear Sir, Yours of the 15<sup>th</sup> Inst. Is received. The draft for the five shares of the company's scrip has also come to hand, and of course has been paid. I hope you will be able to get the three shares for Mr. Kneeland that he wished, as he is a good friend of ours. Yours very truly, C. P. Huntington." A fine association of the two important railroaders. \$500 - up



**CHARLES SCHWAB WRITES WHILE SERVING AS THE DIRECTOR GENERAL OF THE UNITED STATES SHIPPING BOARD EMERGENCY FLEET DURING WORLD WAR I**

\* 69  
**SCHWAB, CHARLES M.** (1862-1939). Industrialist. TLS. September 30, 1918 On imprinted United States shipping Board Emergency Fleet Corporation letterhead. Hon. J. S. Frelinghuysen, United States Senate, Washington, D.C.

"Dear Senator, I have your letter of the 27<sup>th</sup>, regarding James Showmaker, Jr., chief electrician at Hog Island, and have requested Dr. L. C. Marshall, the Head of our Industrial Relations Group, under whose jurisdiction all draft matters come, to furnish you the desired information. Very truly yours, C. M. Schwab, DIRECTOR GENERAL" \$250-up

**ALBERT GALLATIN WRITES CONCERNING A TREASURY REPORT**  
\* 68

**ALBERT GALLATIN** (1761-1849). Swiss-born American Financier; Secretary of the Treasury. ALS. 1 page. 57 Bleeker St. 8<sup>th</sup> Febr., 1848. To Geo. Newbold, Bank of America. Dear Sir:

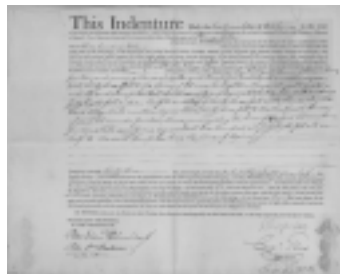
"I had had the report of the Secy. Of the Treasury. But a few hours in my possession, when I added to my essay (the whole of which was printed and the proof sheet corrected) my hasty postscript. On a first impression I had, as you see, taken the same view of the subject as yourself. Subsequently, on examination of the various tables annexed to the report, I discovered to my great surprise, by table KK page 106, that at the very time that Treasy. Notes were quoted and sold above par, a large amount was nevertheless paid for customs, rather than in specie. I had, in the postscript, attended to the items of 2.631.347.01 and 2.385.329.63, which I considered as erroneous for the reasons therein stated, and therefore not to be deducted. I see now clearly that, on account of the large payment for customs, the first item may be accounted for and should be deducted. But there is still an apparent difficulty in the other item, which belongs to the quarter ending 30<sup>th</sup> Sept. 1847, during which only 37.500 dollars, pr. Table KK, were paid in for customs, and I cannot conceive how the balance (2.350.000) of that item should consist of and come to maturity and actually paid off. All this may, and I believe, will be explained: but it requires explanation. The statement M still appears to me to be evidently erroneous. Respectfully yours, Albert Gallatin" Nice detail. \$300-up



**J. PIERPONT MORGAN SIGNED OATH AFTER BEING ELECTED DIRECTOR IN THE LAKE SHORE & MICHIGAN SOUTHERN RAILWAY COMPANY**

\* 70  
1905, New York. Typed oath swearing in Jay Pierpont Morgan as director of the Lake Shore & Michigan Southern Railway Co. **MORGAN, J. PIERPONT** (1837-1913). Financier. Probably the most prolific and powerful banker in American Financial history, J. Pierpont Morgan epitomized the financial genius, courage and flair that made possible many of the most important financings of the late 1800s and early 1900s. His career in banking spanned the period from Abraham Lincoln's administration to Theodore Roosevelt's. Throughout his ca-

reer he displayed a financial skill and daring matched by few in the entire history of Wall Street. Following the financial panic of 1893, Morgan helped reorganize numerous railroads including giants such as The Northern Pacific, The Erie, The Southern and The Philadelphia and Reading. His formation of The United States Steel Corporation in 1901 created the largest corporation in the world at that time. During the panic of 1907, it was Morgan who averted a nationwide catastrophe by lending money to banks to keep them from closing. "A sociable, convivial man who thoroughly enjoyed life and entertained what would now be known as 'beautiful people' on his famous steam yacht, the Corsair, he nevertheless frightened people by his overwhelming personality and his piercing eye, which was compared to the headlight of an oncoming locomotive. Seldom has a man of such complexity and power crossed the world's stage." (The Incredible Pierpont Morgan by Cass Canfield). Uncancelled and excellent. \$1,000 - up



**SCARCE INDENTURE SIGNED BY STEPHEN VAN RENSSELAER**

\* 71  
**STEPHEN VAN RENSSELAER** (1764-1839). Van Rensselaer's wealth was completely inherited through a very generous land grant in the New World bestowed by the Dutch royalty. At one point, it is estimated he had up to 100,000 tenants living on his New York lands. Bucking tradition, he divided his lands among his ten children and within fifty years, his fortune was dispersed with the exception of Rensselaer Polytechnic Institute. \$750-up



**A RARE LETTER SIGNED BY THE FOUNDER OF CONVERSE SHOES ON AN EXCEPTIONALLY GRAPHIC LETTERHEAD**

\* 72  
**MARQUIS CONVERSE**. Founder of Converse. Typed Letter Signed. Boston, Mass. Oct., 1905. Converse writes complimenting a contractor on his work. "In handing you a check to balance our account, I feel that it is right for me to thank you for the manner in which you

have carried through the building of this barn, not only living up to the exact letter of the contract, but in many instances exceeding the specifications in thoroughness. I consider that you have done a first class job, and I shall gladly call on you for any further work that I may have along this line." Founded in 1908 with an initial investment of \$250,000, the Converse Rubber Company the company grew to become one of the most recognizable in the athletic and leisure footwear industry. A scarce autograph of this businessman on a great letterhead. \$750 - up



**A SCARCE DOCUMENT SIGNED BY WALT DISNEY AND HIS WIFE LILLIAN**

\* 73  
**DISNEY, WALTER E. "WALT"**. (1901-1966). World-renowned Cartoonist and Movie Producer; Entertainment and Theme Park pioneer. Almost certainly the best known cartoonist and movie producer in history. DS. 1 page. 8 1/2" x 6". Milwaukee, October 7, 1957. Partly-printed promissory noted indicating that Disney and his wife had borrowed \$51,000 from First Wisconsin National Bank of Milwaukee. Disney's address is listed as Burbank, California. The note is signed by both Walt Disney and his wife Lillian and thus offers a nice association of the two. Paid stamp not affecting any signatures. Couple of file holes at top. Accompanied by a letter from the bank indicating the note was renewed. In Fine condition. \$2,000 - up



**A CHOICE ANDREW MELLON SIGNED PHOTO**

\* 74  
**ANDREW MELLON**. PS. 8" x 10". n.d.n.p. A lovely photograph signed "A. W. Mellon" and by five other important looking men. They group appears to be ceremonially signing documents relative to a presumably important accord. It is in excellent condition. \$400 - up

## AUTOGRAPH STOCKS AND BONDS



**A FINE JIM FISK CDV**

\* 75

**FISK, JAMES JR.** (1834-1872). Stock manipulator. Along with Jay Gould and Daniel Drew, Fisk battled "Commodore" Vanderbilt for control of the Erie Railway, an event which began his rise to fortune. His speculations were grand, and highlighted by his attempt, in collusion with Gould, at cornering the gold market in 1869. His operations with Gould lasted only for the rather short period of three years. Fisk was only 37 years of age when he was shot by Edward Stokes, a jealous rival for his mistress, Josie Mansfield. CDV. n.p. n.d. A carte-de-visite of a formally dressed Jim Fisk. No photographer noted. Very Fine. \$200 - up



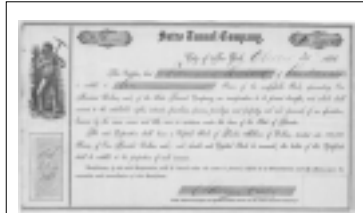
**A CHOICE CARTES-DE-VISITE AND  
SIGNATURE COMBINATION OF  
COMMODORE VANDERBILT**

\* 76

Commodore Cornelius Vanderbilt (1794 - 1877). Steamship and railroad promoter, financier. A large, bold signature "C. Vanderbilt" on a card. Accompanied by a fresh, clean CDV by Guernsey & Son, Fifth Avenue, New York. The pair is nicely matted and ready for a frame.

A nice combination.

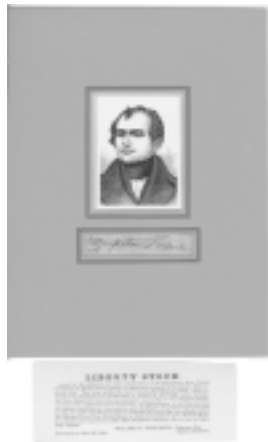
\$600 - up



**A SCARCE SUTRO TUNNEL COMPANY  
STOCK SIGNED BY ADOLPH SUTRO  
\* 77**

1868, Nevada. Stock certificate for 10 shares. Black. Nice woodcut vignette of a miner holding a pick at upper left. Signed as president of the company by **ADOLPH SUTRO**, (1830-1898). Mining engineer. Sutro formed the Sutro Tunnel Company which constructed a tunnel to provide ventilation, drainage and an easy means of transporting men and materials to and from the mines in the region of the Comstock Lode. Retiring to San Francisco in 1879, he became active in civic and business affairs, and was elected mayor in 1894. Always interested in the history and science of printing, he amassed a collection of over 200,000 volumes on this subject, much of which now forms a part of the San Francisco Public Library. A scarce certificate of this, one of the centuries' most important engineering projects signed by Sutro. Some light toning along edges from a previous mounting. Uncanceled and Fine.

\$2,000 - up



**SCARCE DORR LIBERTY STOCK  
ACCOMPANIED BY A RARE FULL  
SIGNATURE OF THOMAS DORR**

\* 78

1844, Rhode Island. Liberty Stock entitling "the bearer to pay ten cents to cancel a fine imposed by the Supreme Court of said state..." Accompanied by a **rare full signature** matted with a portrait of Dorr. The Dorr Rebellion remains one of the truly bizarre events in American political history. Led by politician and reformer, **THOMAS DORR** (1805-1854), the infamous political rebellion named after him gained a large amount of support in Rhode Is-

land. Dorr formed his own political party in an effort to have his reforms concerning voting rights for all men of legal age adopted. At the time, voting privileges were restricted to land holders of their eldest sons. In 1841, Dorr's party held a convention, drafting a new constitution which ultimately received a decisive majority in a separate election. Dorr's party then held its own election and chose him as the state's governor in defiance of the existing and now minority government. Federal troops were called in to quell the rebellion and Dorr was convicted of treason and sentenced to life imprisonment, being released after serving one year. This certificate shows the strong degree of defiance and depth of the support for Dorr's ideology which was truly intended for the benefit of the people, not a self serving crusade.

A superb pair of items related to this most interesting an highly unusual event in American political history. In Excellent Condition.

\$600 - up



**THE FISHER BODY OHIO COMPANY  
SIGNED BY F.J. FISHER AS PRESIDENT  
\* 79**

1923, Ohio. Stock certificate for 10 shares. Green/Black. Three allegorical figures form the vignette at top center. Litho. Signed by "FJ Fisher" at bottom right as President. **FREDERICK JOHN FISHER** Manufacturer and organizer of the Fisher Body Corporation, one of the largest manufacturers of automobile bodies and hardware in the world which was taken over by General Motors in 1928. Perf cancellation not affecting Fisher's signature. Scarce.

\$200 - up



**SOCIETE DES MICRO-  
PHONOGRAPHES  
BETTINI SIGNED BY BETTINI**

\* 80

1901 France. Bearer bond for 100 francs. Tan/Brown/Green. Outstandingly attractive certificate with ornate border, vignettes of an early phonograph at bottom left, bust view of a distinguished gentleman in border at upper left,

and company seal at bottom right. Full coupons for Bettini as Administrator. Litho. Phonograph certificates are uncommon, and this is a most attractive and desirable piece. Uncanceled and choice. \$400 - up

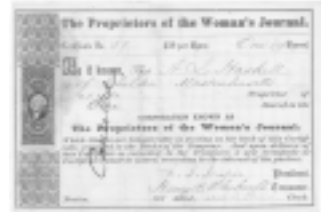


**GUSTAVUS SWIFT, JR.**

\* 81

1938, New York. Stock certificate for 69 shares in the Irving Trust Company. Green/Black. Vignette of seated female next to state seal flanked by transportation scenes in background. Issued to and signed on accompanying stock power by **GUSTAVUS SWIFT, JR** (1881 - 1943); meat packer, vice chairman of the board of Swift & Co. Son of Gustavus Swift Sr., founder of Swift and Co. Upon his father's death in 1903 he, along with his brothers developed Swift & Co. into a \$1 billion a year business. Several small staple holes at upper left. Punch cancelled and very fine.

\$200 - up



**THE WOMAN'S JOURNAL SIGNED BY  
REFORMER HENRY BLACKWELL**

\* 82

1870, Massachusetts. Stock certificate for 1 share in The Proprietors of the Woman's Journal. Black. Litho. Attached adhesive revenue stamp at left. Signed as treasurer by **Henry B. Blackwell** (1825-1909), this certificate was transferred to Alice Blackwell on Jan. 26, 1891. Founded in 1870 by Lucy Stone and her husband Henry Blackwell, The Woman's Journal, which espoused the moderated philosophy of the American Association's women's movement, was the most influential voice in the struggle to grant women their right to vote. While another leading women's journal of the times, The Revolution, which rejected the National Association's more aggressive and radical views on women's rights, ceased publication in 1872 due to lack of funds, The Woman's Journal remained the foremost advocate of the women's rights movement.

Under the devoted leadership of Lucy Stone and Henry Blackwell, as well as Julia Ward Howe, Mary A. Livermore, Thomas W. Higginson, and Henry Ward Beecher, the American Association was consistently conservative and believed that patience, hard work, and education - not aggressive confrontation, which the National Association promoted - would result in their achieving their goals. As stated in the masthead of The Woman's Journal: "The Woman's Journal is devoted to the interests of WOMAN, to her educational, industrial, legal and political equality, and espe-



cially to her RIGHT OF SUFFRAGE." The journal was published weekly in Boston, Chicago and St. Louis.

An excerpt from Harper's Weekly in 1872 assessed the Journal as "a fair and attractive paper in appearance; while the variety and spirit of its articles, and the dignity, self-respect, good humor and earnestness of its tone will show how profoundly mistaken are those who suppose that folly and extravagance are necessarily characteristic of the discussion of the question" (of women's rights).

Lucy Stone was far ahead of her time in her struggle for women's rights. Although her father, a well-to-do farmer and tanner who believed that men were divinely ordained to rule over women, refused for many years to allow her to have a college education. Lucy was determined to educate herself learning Greek and Hebrew in order to better interpret the Bible. An advocate of the Anti-Slavery cause, she lectured regularly on the issue. Lucy met Henry Blackwell, also an activist in the Anti-Slavery movement and a supporter of women's suffrage, in 1853. When they married, she kept her maiden name because she felt that "a woman's abandonment of her name upon taking a husband was symbolic of her loss of individuality." Following their marriage, the couple campaigned in Kansas on behalf of state amendments extending suffrage to women and Negro men. In 1870 they assumed the editorship of The Woman's Journal, which they continued for the rest of their lives. Their daughter, Alice Stone Blackwell, became editor upon her father's death in 1909.

The Journal, to which the family invested their total energies throughout their lives, has remained a most authoritative historical record of women's rights; its historical, political and social significance cannot be overstated.

A rather small and closely held issue. Pen cancelled and excellent condition. \$400 - up



**PETER A.B. WIDENER**

\* 83  
1890, New York. \$1,000 Bond of the Metropolitan Cross-Town Railway Co. bearing 5% interest. Green/Black. Ornate engraved bond signed as president by **PETER A.B. WIDENER** (1834 - 1915). Financier. Widener was a key figure in the development of suburban street railways after the Civil War. He was instrumental in the consolidation of the various street railways in Philadelphia and the development of lines in Chicago. Widener and his associates acquired large street railway holdings in other cities as well, and eventually their properties totaled a greater mileage than those of any similar syndicate. He helped organize many of the large corporations whose names are familiar today such as the U.S. Steel Corporation, American Tobacco Company,

etc. At his death, he was the wealthiest man in Philadelphia. Uncancelled and extremely fine. \$150 - up



**HENRY A. DUPONT**

\* 84  
1892, Delaware. Stock certificate for 200 shares in the Wilmington and Northern Rail Road Co. Vignette of shipyard at center; state seals at left (PA) and right (DE). Issued to and Signed as president by **HENRY A. DU PONT** (1838 - 1926); Capitalist; Business executive. Du Pont graduated from West Point at the head of his class and was awarded the Congressional Medal of Honor for his distinguished service and gallantry at Cedar Creek during the Civil War. In 1875, he was persuaded by his father to leave the military in favor of management at the family's powder firm. Here he was placed in charge of negotiations with officials of other firms and arranging the transportation of products by rail. From this connection, Henry was elected President of the Wilmington and Northern Railroad in May 1879, thus signing this certificate. In 1902 he declined the Presidency of Du Pont, instead choosing retirement to his personal affairs. Pen cancelled through Dupont's very dark signature which remains very legible. Fine. \$225 - up



**GEORGE HUNTINGTON HARTFORD  
THE FOUNDER OF A & P**

\* 85  
1913, New Jersey. Stock certificate for 42 shares in the Great Atlantic & Pacific Tea Company. Green/Black. Litho. Signed as President of the Company by **GEORGE HUNTINGTON HARTFORD** (1833 - 1917); Founder of A & P along with George F. Gilman. In 1858, Hartford began working as a salesman in a St. Louis store owned by Gilman. Within a few years the two had become partners buying and selling tea under the name of The Great American Tea Co. By 1864, the two partners had a few stores in operation in New York City. In 1869, the Great Atlantic and Pacific Tea Company (inspired by the completion of the trans-continental railroad) was chosen as the new name, in order to distinguish the growing retail business from the mail order segment. Upon Gilman's retirement in 1878, Hartford headed the company alone, creating the largest grocery chain in the industry, with over 15,000 stores at its peak Two small punch cancellations barely affecting Hartford's signature. Extremely fine. \$250 - up



**WILLIAM A. CLARK**

\* 86  
1905, Washington. Stock certificate for 100 shares of the Moulton Mining Company. Black. Signed as president by **WILLIAM A. CLARK** (1839 - 1925). Montana Senator; Mining capitalist. Clark is best known for his long-time feud with Marcus Daly. Lightly pen cancelled and extremely fine. \$90-125



**STATE OF NEW YORK BOND SIGNED  
BY TWO VANDERBILTS**

\* 87  
1929, New York. \$5,000 Highway Improvement bond bearing interest at 4%. Olive/Black. Engraved vignette of New York State seal flanked by liberty and commerce. Issued to numerous Vanderbilts and signed on accompanying transfer document by **WILLIAM K. VANDERBILT JR.** (1878 - 1944). Railroad executive, and **HAROLDS VANDERBILT** (1884 - 1970). Skipper of three international cup contests. A fine association of these two Vanderbilts on a New York bond. Stamp and punch cancelled and extremely fine. \$200 - up



**RUSSELL SAGE**

\* 88  
1878, Illinois. Stock certificate for 100 shares. Red. Large vignette of steam locomotives and a town scene along the entire bottom of the certificate, cattle at top. Litho. Issued to and signed on verso by **RUSSELL SAGE** (1816 - 1906). Banker; financier; congressman. As the originator of "put and call options", Sage greatly changed the way speculators played the stock market. Among America's most powerful and wealthy bankers, he financed Jay

Gould and made a vast fortune on the latter's stock manipulations. "Sage was one of the shrewdest and most conservative of all great financiers." (DAB) At one time he is said to have had \$27,000,000 out on call loans. At the time of his death he left a fortune worth an estimated \$70,000,000. Pen and cut cancelled and fine. \$200 - up



**SAMUEL LIONEL ROTHAFEL**

\* 89  
1926, New York. Stock certificate for 100 shares of the Roxy Theatres Corp. Signed as president by **SAMUEL LIONEL ROTHAFEL** (1881 - 1936). Showman, motion picture theatre operator. A pioneer in surrounding his programs with a luxurious decor, Rothafel became the most successful motion-picture theatre operator in the world. Few minor staple holes. Uncancelled and very fine. \$150 - up



**GRONLANDET SODRA  
SIGNED BY IVAR KRUEGER - "THE  
MATCH KING"**

\* 90  
1910, Sweden. Stock certificate for 100 Kronor. Brown/Black. One page of coupons. Litho. Signed by **IVAR KRUEGER** (1880-1932). Also signed by Paul Toll (who later was combined with Krueger as Krueger and Toll). The match industry was centered in Sweden for many years. In the early 1900's, Krueger, a Swedish promoter, formed the Swedish Match Company, a giant international match empire that owned factories, forests, and mines. The company operated match factories in about 40 countries and manufactured most of the world's matches. The stock market crash of 1929 weakened Krueger's influence, and he committed suicide in 1932. However, the Swedish Match Company survived and operated under a new management.

The Gronlandet Sodra was an early company of Krueger's which, among other things, constructed the stadium used in the 1924 Olympic games. A superb certificate associating "The Match King" and Paul Toll. Rare. Uncancelled and Choice condition. \$750 - up



**LORD TREASURER OF GREAT BRITAIN SIDNEY GODOLPHIN**

**\* 91**  
1709, England. Order for a loan repayment signed as Lord Treasurer of Great Britain by **SIDNEY GODOLPHIN** (1645-1712); English government official. The order reads in part: "Order is taken . . . by Vertue of an Act lately passed in Parliament (Entitled, An Act for charging and continuing the Duties upon Malt, Mum, Cyder . . .) That you deliver and pay of such Her Majesty's Treasure as remains in your Charge, arising by the said Act, unto Thomas Gory Esqr . . . the Sum of four hundred pounds in Repayment of the like Sum by him lent upon Credit of the said Act and paid into the Receipt of Her Majesty's Exchequer . . ." Godolphin was a longtime government official who masterminded the historic union between England and Scotland (1707) which created the United Kingdom (Great Britain). He was Lord Treasurer under Queen Anne (1702 - 1710) and, at the government's request, he reorganized the East India company. Two fold splits at edges, very minor paper loss, document is in quite good condition for its age. Godolphin's signature is dark and clear. Fine. \$400 - up



**WILLIAM COOPER PROCTER**

**\* 92**  
1900. Ohio. Stock certificate for 60 shares. Black. Vignette of a steam locomotive passing through the countryside. Litho. Issued to and signed on verso by **WILLIAM COOPER PROCTER** (1862 - 1934). Manufacturer; Philanthropist. The grandson of the co-founder of Procter and Gamble, William changed the face of labor relations and the manner of distribution and marketing of the company's products and, in so doing, created one of the largest corporations in the country. Procter is very rare in stock form. Pen cancelled and extremely fine. \$300 - up



**JOHN DIX**

**\* 93**  
1856, New York. Stock certificate for 18 shares of the Mississippi and Missouri RR Company. Black. Engraved vignette of a railroad bridge at top center, indians atop horses at bottom. Attached adhesive revenue stamp. Signed as president of the company by **JOHN DIX** (1798 - 1879). Union general during the Civil War; U.S. Secretary of the Treasury; Railroad executive. Lightly stamp and punch cancelled. Fine. \$100 - up



**A RARELY SEEN RAILROAD BOND ISSUED TO AND SIGNED ON THE ACCOMPANYING TRANSFER DOCUMENT BY THOMAS EDISON**

**\* 94**  
1890, Indiana. \$10,000 bond of the Cincinnati, Indianapolis, St. Louis and Chicago Railway Company issued to and signed on the accompanying irrevocable bond power by **THOMAS A. EDISON** (1847-1931). Edison was one of the most important and prolific inventors in history. By age 22, Edison had sold his first patent, the stock-ticker, which was immediately used. He was able to work for days on end with little rest, and his rare combination of inventive genius and business acumen allowed not only inventive, but also commercial success. He invented over 1,000 pieces and his best known ones are the light bulb, phonograph, storage battery and film projector. Edison is rarely seen investing in companies which were not his own and this is one of only a very few we are aware of. Edison transfers the bond to a Frank R. Wright on June 18, 1894. A highly attractive bond which would display nicely with the signed transfer adjacent to the bond. The bond has a few punch cancellations while the document and Edison's bold signature remain free from cancellation. \$2,250 - up



**ST. CROIX AND LAKE SUPERIOR MINERAL COMPANY STOCK SIGNED BY RUFUS CHOATE AND CALEB CUSHING**

**\* 95**  
1845, Michigan. Stock certificate for 1 share of the St. Croix and Lake Superior Mineral Company. Signed as trustees by **RUFUS CHOATE** (1799 - 1859) Senator and **CALEB CUSHING** (1800-1879) United States Attorney General, diplomat. A scarce Michigan mining stock signed by these notables. Uncancelled and extremely fine. \$250 - up



**UNIQUE RAILROAD STOCK ISSUED TO AND SIGNED BY OLIVER H. PAYNE**

**\* 96**  
1886, Tennessee. Stock certificate for 5 shares of the Knoxville & Ohio Railroad Company. Black. Vignette of a steam locomotive at top center, another locomotive at right, miners at work at left. Litho. Issued to and signed on verso by **OLIVER H. PAYNE** (1839-1917). Capitalist; Union general in the Civil War. Following his departure from the military in 1864, Payne entered the iron industry in Cleveland, where he also began as a pioneer in the oil refining industry. Until Standard Oil was formed in 1870, Clark, Payne and Company were the largest refiners in Cleveland and Rockefeller's largest competitors. Payne was a shareholder in the South Improvement Company in 1872, later aligning his oil interests completely with the Standard Oil Company at which time he became treasurer. "His holdings in Standard Oil were at one time exceeded only by those of John D. Rockefeller, the Charles Pratt estate, and the Harkness family." Payne is seldom encountered on railroad stock certificates and this is the only one issued to Payne on this company's stock. Stamp and punch cancellations not affecting Payne's bold signature on verso. In excellent condition. \$400 - up

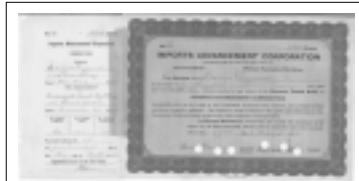
**OREGON AND TRANSCONTINENTAL COMPANY STOCK ISSUED TO AND SIGNED ON VERSO BY AUGUST BELMONT**

**\* 97**  
1884, New York and Oregon. Stock certificate

for 100 shares of the Oregon and Transcontinental Company. Green/Black. Engraved panoramic view of Indians overlooking a riverside town with passing steam locomotives. Issued to and signed on verso by **AUGUST BELMONT AUGUST** (1816-1890). Financier; Diplomat. At the age of fourteen, Belmont began working at the office of the Rothschilds in Frankfurt, Germany. His skill for finance won him numerous promotions within the company until in 1837, during the financial panic, he formed his own company in New York with his only tangible asset being that of his agency in the U.S. for the Rothschilds. Within a few years, Belmont was one of the leading bankers in the nation.

The Oregon and Transcontinental was organized by Henry Villard as a holding company for a vast empire of Northwestern railway and improvement interests to better integrate them into a harmonized system of railways.

The certificate is punch and stamp cancelled. Belmont's signature on verso remains uncancelled and bold. A few pinholes. Fine. \$450 - up



**AN EXTREMELY RARE STOCK ISSUED TO AND SIGNED BY DANIEL GUGGENHEIM**

**\* 98**  
1922, New York. Stock certificate for 500 shares of Imports Advancement Corporation. Blue/Black. Issued to and signed on verso by **DANIEL GUGGENHEIM** 1856-1930, b. Philadelphia, was largely responsible for combining (1901) the Guggenheim interests with the American Smelting and Refining Company, of which he became president. The Daniel and Florence Guggenheim Foundation, devoted to aeronautical research and development, represents his principal philanthropy. Although Daniel Guggenheim, died without ever having flown, even as a passenger, his legacy remains active today. Between 1925 and 1930, he put more than \$3.3 million (in 1930s dollars) into a series of aviation-related initiatives which led to the development of more reliable aircraft engines and instruments, and, perhaps most important, public acceptance of aviation as a safe and fast method of transportation.

A number of tax stamps have been affixed on the back of the certificate. Punch cancellations do not affect Guggenheims signature on verso. This is only the second certificate we've handled signed by this elusive and important American financier in over 15 years. A very rare opportunity to acquire this highly desirable autograph to a financial collection. \$1,500 - up



**FREDERICK W. VANDERBILT**

\* 99  
1885, New York. \$5000 bond of the Michigan Central Railroad Company bearing 5% interest. Brown/Black/White. Lovely engraved triple vignette depicting steam locomotive flanked by seated figures. Issued to and signed on attached transfer document by **FREDERICK W. VANDERBILT** (1856 - 1938), third son of William H. Vanderbilt. Active in numerous railroads in the family empire. Stamp and hole cancellations barely touching signature and mentioned for accuracy. Excellent. \$250 - up



**THE NEW YORK AND HARLEM RAILROAD**

\* 100  
1900, New York. \$10,000 Registered Gold Bond bearing 3 1/2% interest. Green/Black. Engraved vignette of "Commodore" Vanderbilt at top center. Signed at lower right as President by **WILLIAM K. VANDERBILT** (1849 - 1920). Second son of William H. Vanderbilt. William began his career in railroading at the age of nineteen in his grandfather's office ("Commodore" Vanderbilt), and was heavily involved in the family railroad empire. Punch cancellation affecting the "r" in the signature. \$200 - up

**MERGENTHALER LINOTYPE COMPANY**

The Linotype invented by Ottmar Mergenthaler (1854 - 1899), a German immigrant, was the first commercially successful typesetting system developed. Mergenthaler had worked sporadically for nearly ten years until in 1884 he received his first patent for a direct-casting linotype machine, thereafter continually improving his invention. The Linotype revolutionized printing, eliminating the arduous process of hand-set type and the great amount of man-hours required to set and justify type for printing the final product. Its enormous benefit to the printing industry would prove invaluable, ensuring the machine's success. The company was founded in December of 1895. Recognizing the potential of Mergenthaler's development, shrewd financiers such as William C. Whitney, Harry

Payne Whitney, D. Ogden Mills and Ogden Mills were quick to become involved financially. The company proved to be extremely profitable, paying handsome dividends for years.



**D. OGDEN MILLS**

\* 101  
1896, New York. Stock certificate for 100 shares in the Mergenthaler Linotype Co. Green/Black. Issued to and signed on verso by **DARIUS OGDEN MILLS** (1825-1910). Merchant; Banker; Philanthropist. Following the discovery of gold in California in 1848, Mills set out with the necessary money to begin a merchandising or banking business. Upon arriving in San Francisco in 1849, Mills at once established a trading business buying gold dust, selling goods and dealing in New York exchange. His success led to the formation of the Bank of D. O. Mills & Co. in 1850 which thereafter led to the accumulation of a large fortune. \$300 - up



**MERGENTHALER LINOTYPE COMPANY ISSUED TO AND SIGNED BY HARRY PAYNE WHITNEY**

\* 102  
1896, New York. Stock certificate for 100 shares. Green/Black. Vignette at top right of an early press. Issued to H.P. Whitney and signed by him on verso. **WHITNEY, HARRY PAYNE** (1872-1930). Financier; Sportsman. Son of William C. Whitney, Harry had been groomed to be his father's successor and, for many years, was his closest companion and confidant. His first business venture of importance took place in 1902 when, acting as a guide for Daniel Guggenheim through the mining districts of the western U.S. and Mexico, the two returned with nearly \$10 million with of mining property deeds. He was appointed to the board of directors of Guggenheim Exploration Company and numerous other concerns. In 1896, he married Gertrude Vanderbilt, daughter of Cornelius Vanderbilt II. At the time of his death in 1927, Whitney's estate was estimated at \$186,000,000, one of the largest individual estates appraised to that time. Stamp and punch cancelled leaving Payne's signature unaffected. Excellent. \$400 - up



**WILLIAM C. WHITNEY**

\* 103  
1880, New York. Stock certificate for 100 shares in the New York, Ontario & Western Railway Co. Pink/Black. Very attractive piece with a large top center vignette of a map showing the railways routes flanked by vignettes at right of a sailing ship and a steam locomotive at left. Issued to and signed on verso by William C. Whitney. **WHITNEY, WILLIAM C.** (1841-1904). Financier; U.S. Secretary of the Navy. Through his marriage to Flora Payne (daughter of Standard Oil's Oliver H. Payne), Whitney gained enormously important corporate contacts. In 1883, he became involved in a bitter struggle for control of the Broadway Railroad company, eventually gaining control by entering into an alliance with Thomas Fortune Ryan and Peter A. B. Widener. Whitney remained active in street railway affairs until his appointment as Secretary of the Navy (1885-89), in which post he was instrumental in the rebuilding of America's naval forces. Punch cancelled with the y in Whitney slightly affected. Excellent. \$400-up

**SAMUEL T. HAUSER SIGNS A UNITED MISSOURI RIVER POWER COMPANY STOCK TWICE**

\* 104  
1910, Montana. Stock certificate for 50 shares. Brown/Black. Issued to and signed on verso and as president by **SAMUEL T. HAUSER** (1833 - 1914), pioneer miner, capitalist and territorial governor of Montana. Uncancelled and very fine. \$125-up



**THE MAN WHO MADE IBM - THOMAS J. WATSON**

\* 105  
1931, New York. Irving Trust Co. Stock certificate for 100 shares. Orange/Black. Engraved vignette of seated female next to state seal. Issued to and signed on verso by **THOMAS J. WATSON** (1874 - 1956); Industrialist who created International Business Machines. IBM "which makes and sells some of the world's most sophisticated equipment, was built by one of the world's least sophisticated denizens, Tom Watson. In the 1890's, in his first forays out of Elmira, Ohio where the family farm was located, Watson held jobs as a traveling organ salesman, a sewing machine salesman and a peddler of phony building company stocks

(although he was unaware of it at the time). In 1899, after the stock swindle debacle, he went to work for John Patterson's National Cash Register Company in Dayton, Ohio. Under Patterson's tutelage, Watson soon rose to the upper echelon of the company and became their top salesman. Patterson then assigned him a special (and grossly illegal) duty, which Watson carried out in perfect innocence. He was to set up a company of his own for the supposed purpose of competing with NCR. In reality, however, Watson's company was to undercut competitor's prices on second-hand cash registers and thus eliminate anyone who stood in NCR's monopolistic way. In 1912, Watson, Patterson, and 28 others were indicted and convicted for the scheme (an appeals court later ordered a new trial that was never held). In 1913, Patterson calmly fired his young protégé.

"Watson quickly recovered from the shock and took over a company called Computer-Tabulating-Recording Company, located near his old hometown in Elmira. The company had begun selling an electrical punch-card computing system developed for the 1890 census and had branched out into scales, meat slicers, and various adding machines. Sales had been slow and Charles Flint, the financier behind the company, recognized the need for a super salesman. Watson was his man. At Watson's order, the now-famous IBM THINK signs went up all over the company's offices, closets, and washrooms, and company salesmen gathered each morning for pep talks from Watson. These tactics worked: from the time Watson took over the company in 1914 until his death in 1956, IBM boomed, thanks particularly to their colossally motivated sales force. (The IBM name was adopted in 1924). At the time of Watson's death, the company had 72,000 employees and sales of nearly \$600 million and it was one of the nation's largest corporations. ("Irrelevant Guide to Corporate America, 1980).

To date, Watson has been extremely rare in the market. A nice opportunity to acquire this captain of industry in stock form. Punch cancellations not affecting signature. Extremely fine. \$1,500 - up



**EDWARD H.R. GREEN SON OF THE "WITCH OF WALL STREET"**

\* 106  
1913, New York. Stock certificate for 5 shares in the Columbia-Knickerbocker Trust Co. Black. Vignette at top center of a female. Issued to and signed on verso by Edward H. R. Green. **GREEN, EDWARD H.R.** (1868-1936). Capitalist; Son of Hetty Green (1834-1916). A lawyer by training, Green entered into the business world under the watchful eye of his mother. He began his career as a clerk in the office of the Connecticut River Railroad, where he "learned the ropes" of railroad operations, and revealed

his business acumen. At age 21 he was elected a director of the Ohio and Mississippi Railroad, a position which enabled him to further his expertise in railroad operations. In 1893, he visited Texas where he purchased the Houston and Texas Central Railroad, one of the most important transportation systems in the state and a line formerly controlled by his mother. That year he also bought the Texas Midland Railroad, and served as its president and general manager for some time. Later positions which he held included directorships in the Chase National bank (NY), Murray Co. and the Terrell Co., trusteeships of the NY Produce Exchange and Safe Deposit & Storage Co., three-term chairmanship of the Texas Republican State Committee, and coordinator and director of the 1904 St. Louis expansion. Stamp and punch cancelled with Green's strong signature unaffected. Very fine. \$400-up



**KAISER-FRAZER CORPORATION  
SIGNED BY  
HENRY KAISER AND JOSEPH FRAZER  
\* 107**

1947, Nevada. Stock certificate for 300 shares. Maroon/Black. Issued to company founders Henry J. Kaiser and Joseph W. Frazer; voting trustees and signed on accompanying stock transfer. **KAISER, HENRY J.** (1882-1967). Industrialist. During World War II, Kaiser gained national attention by revolutionizing the ship-building industry. He created ship assembly lines by adapting assembly-line techniques of the automotive industry, thereby enabling him to set records for ship production. **FRAZER, JOSEPH W.** Automotive executive. Frazer was a longtime automotive executive and president of Graham-Paige Motor Company, a faltering independent automobile manufacturer. At the end of World War II, Frazer teamed up with Henry J. Kaiser to form Kaiser-Frazer an undertaking which they hoped would make them the fourth largest auto manufacturers in the world. Punch cancelled, signatures are unaffected. Very fine. \$400-up



**JOHN I. BLAIR  
\* 108**  
1868, Iowa. Stock certificate for 60 shares. Purple. Beautiful multi-vignetted certificate

depicting steam locomotives and a portrait of John Blair. Litho. Attached adhesive revenue. Issued to and signed twice, on verso and as president by JOHN I. BLAIR (1802 - 1899). Capitalist; Philanthropist; Founder of the Wall Street firm John I. Blair and Company. Blair died with an estimated fortune of \$70,000,000. Joining with Ames and others in getting the charter of the Union Pacific Railroad, Blair personally built the first one hundred miles west from Omaha, having been responsible for the adoption of that route. Some slight show-through of ink from verso. Pen and punch cancelled. \$200-up



**CHICAGO, ROCK ISLAND & PACIFIC  
RAILWAY CO.**

**\* 109**  
1889, Illinois. \$5000 bond bearing 5% interest. Orange/Black. Vignette of a small family waving a flag at a passing train at upper right. Issued to Benjamin Brewster and signed by him on verso. **BREWSTER, BENJAMIN.** Brewster was one of Rockefeller's counselors and one of Standard Oil's original trustees. Stamp and punch cancelled neither affects signature on verso. \$100-up



**PABST BREWING COMPANY SIGNED  
BY FRED PABST, JR.**

**\* 110**  
1910, Wisconsin. Stock certificate for 200 shares. Olive/Black. Vignette at top center of company logo flanked by female allegorical figures. Issued to **Fred Pabst Jr.** and signed by him on verso. \$150-up



**EARLY CALIFORNIA WINERY CO.  
SIGNED BY JACOB BERINGER**

**\* 111**  
187-, St. Helena, California. Stock certificate of the Napa and Sonoma Valley Wine Company. Black. Vignette of grapes at top center; Indian maiden and female figure in left border; dog

with safe at bottom center. Litho. UNISSUED, but signed by **Jacob Beringer** as Secretary. It has not been signed by the president who, at the time was Charles Krug. Krug's first winery, the Napa Valley Wine Company was destroyed by fire on July 11, 1874, a business which he began rebuilding immediately under the name of this new company with Beringer playing a key role in its reconstruction. Early California wine stocks are extremely rare and this represents an interesting opportunity to own a piece signed by an early important pioneer of the industry. Pen cancelled. Choice condition. \$300-up



**RARE STOCK CERTIFICATE SIGNED  
BY WILLIAM E. BOEING**

**\* 112**  
1925, Washington. Stock certificate for 990 shares of the Miller Logging Company. Green/Black. Vignette of a spread-eagle at top center. Litho. Signed as president of the company by **WILLIAM E. BOEING** (1881 - 1956). Pioneer aircraft manufacturer. Boeing formed the Boeing Airplane Company in 1916 and Boeing Air Transport in 1927, later to be merged with several other companies to form United Aircraft and Transport Corporation which dissolved in 1934, at which time he left the industry, returning during World War II. This lumber company certificate was, in all probability held and run by Boeing in order to provide the necessary supply of wood for the construction of his airplanes. This is one of only a dozen certificates of this type signed by Boeing. An important addition to any aviation or stock and bond collection. Pen cancelled and extremely fine. \$2,500-up



**OAKES AMES**

**\* 113**  
1868, Iowa. Stock certificate for 240 shares in the Cedar Rapids and Missouri River Rail Road Company. Purple. Wonderful multi-vignetted certificate depicting steam locomotives; portrait of John Blair. Litho. Attached adhesive revenue stamp. Issued to and signed on verso by **OAKES AMES** (1804 - 1873); Capitalist. Ames' well documented involvement with the Credit Mobilier caused one of the greatest political scandals in the nation's history, reaching as high as the Vice-President of the United States. Originally promoted by Thomas C. Durant, the Credit Mobilier was joined by Oakes and Oliver Ames. A split developed two hostile factions, one led by Durant, one by the Ames. Numerous politicians received gifts of

stock and Ames fell under intense scrutiny by a Congressional committee. "The statutes required that the Union Pacific stock be paid for in actual cash; but as a matter of fact, it was issued to Ames and other Credit Mobilier men 'who paid for it at not more than thirty cents on the dollar in roadmaking', thus realizing enormous profits. The Credit Mobilier has exemplified the unscrupulous methods of building railroads that were the normal practice of the period 1860-1880. A fine piece signed by this important railroader. Pen and punch cancellations not affecting Ames' fresh, clear signature. Fine. \$1,200-up



**COLLIS P. HUNTINGTON**

**\* 114**  
1882, Ohio. Stock certificate for 100 shares in the Chesapeake, Ohio & Southwestern Railroad Co. Green/Black. Engraved vignette of steam locomotive facing left. Signed as president of the company by **COLLIS P. HUNTINGTON** (1821 - 1900). One of the most important railroad builders in American history. Arriving in California as a successful New York merchant in 1849, Huntington soon began building the basis of what was to become one of the great American railway fortunes. In 1860, he was approached by Theodore Judah with a plan to build a railroad across the Sierra Nevada mountains as part of a trans-continental railroad project. The development of Judah's plan brought together the talents of Huntington, Leland Stanford, Charles Crocker and Mark Hopkins, the combination which came to be known as "The Big Four." This combination of successful California businessmen led to the eventual completion of the Central Pacific Railroad, culminating in its connection with the Union Pacific at Promontory Point, Utah in May of 1869. Punch cancellation affecting signature, though mostly intact. A nice, clean example. Extremely fine. \$350-500



**EXTREMELY RARE AND EARLY  
BLODGET CANAL COMPANY  
STOCK SIGNED BY SAMUEL  
BLODGET**

**\* 115**  
1799, New Hampshire. Stock certificate for 1 share. Issued to Samuel Blodget Jr. share number 43 "in the Blodget Canal Company, at Amoskeig Falls, in New Hampshire..." Signed

as president of the company by **SAMUEL BLODGET** (1724 - 1807), merchant, manufacturer and canal builder. This canal was the product of a long persistent effort by Blodget to see his dream through to fruition. After losing 5 years of work and \$20,000 of his own money following the disastrous flooding leading to the complete destruction of his first attempt at building the canal, Blodget began again in 1798, after obtaining a new Charter from the state of New Hampshire in which he was authorized to raise \$9,000 by lottery, Blodget found himself short of funds and returned to the legislature, receiving another lottery grant of \$12,000 which proved to be a legal nightmare. Without additional lottery funding from Massachusetts, the project would probably not have been completed. Finally, in 1807 the canal with its nine forty-five foot tall locks was completed at a total cost of \$50,000. A rare and early Canal stock signed by this important early canal pioneer. Uncancelled and very fine. Extremely Rare. \$1,500 - up



**PAN AMERICAN AIRWAYS STOCK ISSUED TO AND SIGNED BY ERRETT L. CORD**

\* 117  
1937, Delaware. Stock certificate for 100 shares of the Pan American Airways. Green/Black. Issued to and signed on accompanying stock transfer by **ERRETT LOBBAN CORD**. Automobile and aviation designer and manufacturer. Cord Automobile Company, Duesenberg Automobile Corporation, Auburn Automobile Company, Stinson Aircraft Corporation, American Airways, Checker Cab Corporation. Cord has signed on the accompanying stock power. Punch cancelled and excellent. \$225 - up



**PAN AMERICAN AIRWAYS STOCK ISSUED TO AND SIGNED BY CBS FOUNDER WILLIAM S. PALEY**

\* 118  
1937, Delaware. Stock certificate for 100 shares of Pan American Airways Corp. Green/Black. Issued to and signed on accompanying stock power by **WILLIAM S. PALEY**. Broadcasting Executive, founder of the Columbia Broadcasting System (CBS). Facsimile signature of Juan Trippe. Punch cancellations affecting signature, but fully readable. \$300 - up



**GEORGE GETTY SIGNS AN OKLAHOMA OIL CORPORATION STOCK AS PRESIDENT**

\* 119  
**GEORGE GETTY**. DS. 1pp. 10 3/4" x 8 1/4". Arizona. June 28 1921. An "Oklahoma Oil Corporation" stock signed by George Getty as president. It is for 100 shares. Gold corporate seal and a vignette of an oil field. Stamp cancelled and in very fine condition overall. \$600 - up



**CODY'S WYOMING COAL COMPANY STOCK ISSUED TO AND SIGNED TWICE BY THE INFAMOUS WILLIAM F. "WILD BILL" CODY**

\* 120  
1904, Wyoming. Stock certificate for 5 shares. Black with light orange background. Vignette of an eagle at top center. Issued to and signed twice by William F. Cody, first as President and secondly on verso. **CODY, WILLIAM F.** (1846-1917). Scout; Showman. For a short period following the Civil War, Cody was employed by the Goddard Brothers, food contractors to the Kansas Pacific railway construction camps, to furnish Buffalo meat and from whence his nickname came. From 1868-1872 Cody did scout service for the army, eventually becoming chief of scouts for the 5th Cavalry. He was awarded the Congressional Medal of Honor for gallantry in action on the Platte River in 1872, but this was revoked by Congress in 1917 due to the fact that Cody was not a member of the military at the time of the award. In 1872 he began his long career as a showman, appearing in numerous "Wild West" shows in the theatre, at least one with "Wild Bill" Hickok. The formation of his famed Wild West Show in 1883 that toured the U.S. and parts of Europe has forever made the name "Buffalo Bill" legendary. Cody's signature on verso has been pen cancelled. The front of the certificate is uncancelled and very fine. A nice opportunity to acquire this Western folklore hero. \$4,000 - up



**ARDMORE ICE, LIGHT AND POWER CO. SIGNED ON VERSO BY AUGUST A. BUSCH**

\* 121  
1914, Incorporated Under the Laws of the Indian Territory. A stock certificate for 86 shares. Gold/Black. Vignette at top center of a female allegorical figure with a lightning bolt striking her shield, also an underprinting of another female figure at bottom center. Signed on verso by **August A. Busch** as one of the Trustees of his father's will. Pen cancelled. \$500-up



**RARE STOCK SIGNED BY VANNEVAR BUSH**

\* 122  
1947, Pennsylvania. Stock warrant for 2 shares of the Pennsylvania Salt Manufacturing Company. Issued to and signed on accompanying stock power by **VANNEVAR BUSH**. (1890-1974) is the pivotal figure in hypertext research. During World War II, Bush worked on radar antenna profiles and the calculation of artillery firing tables. The mathematics involved was complicated and repetitive. Bush proposed the development of an analogue computer; this became the Rockefeller Differential Analyser. Punch cancellation not affecting signature. A great scientific autograph on a stock. \$250 - up



**PULLMAN SOUTHERN CAR COMPANY SIGNED BY GEORGE PULLMAN**

\* 123  
1876, Kentucky. \$500 bond bearing 7% interest. Brown/Black. Engraved vignette of a female allegorical figure at upper center. Signed at bottom right as President of the Company by **GEORGE W. PULLMAN** (1831 - 1897). Industrialist; Inventor. Pullman's Palace Car company was organized in 1867 after public acceptance of his "Pioneer" sleeping car. Guided by Pullman's business acumen, the company grew to become the greatest railroad car construction company in the world. Punch cancellation affecting signature, but fully readable. Extremely fine. \$225 - up



**NEW ORLEANS & OHIO TELEGRAPH LESSEES SIGNED BY NORVIN GREEN**

\* 124  
1858, Ohio. Stock certificate for 40 shares. Black. Multi-vignetted certificate depicting seated females; portrait; ancient steam locomotive passing a canal; allegorical figures on pedestal. Litho. Signed by **NORVIN GREEN**



**SCARCE BOND SIGNED BY COLORADO MINING OPERATER SPENCER PENROSE**

\* 116  
1901, New York. \$1000 bond bearing interest at 6%. Blue/Black. Choice engraved vignette of a large smelting operation surrounded by mountains. Signed as treasurer of the company by **SPENCER PENROSE** (1865-1939) Colorado mine operator and promoter, philanthropist. Arriving in Colorado Springs in 1892, Penrose embarked upon a career in real estate and mining that would become one of the great success stories of western business. Enormously profitable gold and copper mines, including the Utah Copper Company held by Penrose were acquired by the Guggenheim mining interests and by 1899, Penrose was immensely wealthy. Upon his death, after much philanthropic activity, Penrose's estate was still valued in excess of \$11,500,000. A highly attractive bond signed by this important western mining operator. Stamp cancelled and extremely fine. \$400-up

(1818 - 1893); Physician; Legislator; Telegraph pioneer, eventually serving as president of Western Union. Green had been involved in the early formative years of this company and in 1853, the New Orleans & Ohio Telegraph and the Louisville and New Orleans telegraph companies merged after a period of ruinous competition. Shortly after, Green and a group of other businessmen leased these lines for operation. A series of consolidations in the industry ultimately led to the formation of Western Union Telegraph which served the entire United States - an idea that Green was amongst the first to support. A truly important individual in the development of the telegraph industry. As is typical of this issue, there is browning from exposure to moisture and age discoloration evident. Very light pen and cut cancellations do not affect Green's signature, which is light. Very fine. \$350-500



**PULLMAN'S PALACE CAR COMPANY ISSUED TO GEORGE M. PULLMAN AND SIGNED BY HORACE PORTER AS VICE PRESIDENT**

\* 125  
1881, Illinois. Stock certificate for 250 shares. Orange/Black. Engraved triple vignette of allegorical figure flanked by palace cars and a view of the Pullman works. Issued to **GEORGE M. PULLMAN** though not signed by him. Signed by **HORACE PORTER** (1837 - 1921); Railroad executive; aide-de-camp to U. S. Grant; ambassador to France. Some light water staining at bottom. Lightly punch cancelled through signature. \$150-up



**AMERICAN MERCHANTS UNION EXPRESS COMPANY SIGNED BY WILLIAM G. FARGO**

\* 126  
1869, New York. Stock certificate for 5 shares. Black with imprinted orange revenue stamp at center. Large vignette of loading dock at top center; dog's head at top left; clasped hands at bottom left. Litho. Signed as president by **WILLIAM G. FARGO** (1818 - 1881); Expressman. Fargo got his start in the express business at age 13 through a 30 mile mail route. Sometime later, William Fargo served as a messenger with Wells & Company, the first express company to venture west of Buffalo. Fargo became a partner in Wells & Company and, in 1850, they joined with two other express companies to form the American Express Company which covered the eastern sector of the United

States; Wells, Fargo & Company, formed in 1852, covered the western sector. The outstanding success of the American Express Company brought with it many competitors, one of which was the very powerful Merchants Union Express Company and, following a fierce competitive struggle, the Merchant's Union Express was consolidated into the American Express Company, the resulting company becoming the American Merchants Union Express with Fargo as president. A nice example of restructuring of a competitive industry in its early stages. Age toning. One file hole punch at left affecting some text and one stamp cancellation, neither affecting Fargo's bold signature. Very fine. \$300 - up



**MERCHANTS DESPATCH TRANSPORTATION COMPANY ISSUED TO AND SIGNED TWICE BY JAMES C. FARGO**

\* 127  
1890, New York. Stock certificate for 143 shares. Black. Litho. Issued to and signed as president and on verso by **JAMES C. FARGO** (1829 - 1915); Expressman. Working along with his older brother, William, co-founder of the American Express Co., James became a prominent figure in the railroad and express industries. He became president of American Express in 1881 upon his brother's retirement from that position. The Merchants Despatch Transportation Company was formed in 1857 to operate a fast freight system over what became the New York Central lines. It was immediately successful and proved profitable to its co-owners, the American Express Company and the New York Central Railroad. An important companion to an American Express Company stock. Pen cancelled at signature. Fine. \$350 - up



**A RARE R. J. REYNOLDS TOBACCO COMPANY STOCK SIGNED BY R. J. REYNOLDS**

\* 128  
**RICHARD JOSHUA REYNOLDS** (1850-1918). Reynolds, A North Carolina tobaccoist, Founded the R. J. Reynolds Company, today one of the world's largest conglomerates. 1906, New Jersey. Stock certificate for 100 shares of the R. J. Reynolds Tobacco Company. Signed as president by R. J. Reynolds. Brown/

Black. Vignette of spread-eagle at top center. Litho. Stamp and punch cancelled with one hole just barely touching Reynolds's signature. This certificate is one of only a very few to have survived and offers a fine opportunity to acquire a superb name in business history on a stock of one of America's most well-known companies. Very Fine. \$2,000 - up



**J. PAUL GETTY SIGNED OIL STOCK \* 129**

1921, Arizona. Stock certificate for 100 shares in the Oklahoma Oil Corp. Black/Gold. Vignette at top left of oilfield with tank cars in foreground; several smaller oil-related vignettes within right and bottom border. Litho. Embossed gold company seal at bottom left. Signed as company president by **J. PAUL GETTY** (1896 - 1976); Oil magnate; Art collector. A fabled oil executive, J. Paul Getty brought the Getty Oil Company to the status of an "eighth sister" among the giants in the petroleum business. The son of a Minneapolis oilman and an Oxford graduate, Getty rose from a general laborer in the Oklahoma oilfields to become a shrewd businessman, whose autocratic rule and masterful manipulation of the stock market brought him the 1957 Fortune magazine title of richest man in the world. By 1919, when he was only 23, Getty had already made his first million by buying and selling oil leases using money borrowed from his father. After his father's death in 1930, he and his eighty-year-old mother battled for control of the family wealth. Skeptical of her son's practice of buying the stock of companies in shaky financial condition, Sarah Getty tried to curb his spending and preserve some of the family fortune for future generations by creating the Sarah Getty trust, the latter subject of litigation among its beneficiaries. However, Getty's stock market speculation laid the foundation for his billion-dollar Getty Oil empire, which included holdings in oil and gas, gold, uranium, and copper mines, vineyards, orchards, grazing lands, timberlands, refineries and chemical plants. Getty's most daring coup was in obtaining an oil concession near Saudi Arabia, paying King Saud \$9.5 million in cash and a million a year, a gamble that paid off in 1953. Despite being worth over \$4 billion at his death, Getty was always renowned as a tightwad: he kept a public pay phone in his British mansion, saved bits of string, and insisted on washing his own underwear throughout his life. Perhaps the most notorious example of his penny-pinching was his refusal to pay ransom for his grandson, J. Paul III, until finally the kidnapers cut off the boy's right ear and sent it to Getty. Ironically, Getty was unable to translate his business prowess to his personal life. Though his tried to emulate the Rockefellers and Kennedys, his own family was too fragmented and embattled to invite comparison. He had four sons by five wives, and never invited his parents to any of his weddings. Similarly, he failed to attend his own son's weddings and even missed the funeral of

his youngest son Timothy. All of his surviving sons tried a stint in the family business, but failed to meet his expectations. Getty also routinely used his will as a weapon to punish "filial disloyalty," changing it 21 times. Always an avid art collector, Getty found solace in the priceless collection that later became the Malibu-based J. Paul Getty Museum Trust. This endowment has grown to \$3 billion since his bequest, making the collection of the world's wealthiest cultural institutions, with an annual budget over 25 times of the New York Metropolitan Museum. Very light traces of stub glue at left. Stamp cancelled. Very Fine. \$1500-up



**RARE CHARLES KETTERING SIGNED STOCK**

\* 130  
Two Stock certificates for 1000 shares of Irving Trust Company. Brown/Black. Engraved vignette of a seated figure and state seal. A pair of certificates issued to C. F. Kettering, Inc. accompanied by a proxy document signed by **CHARLES F. KETTERING** (1876 - 1958). Engineer, inventor; born near Loudonville, Ohio. A 1904 graduate of Ohio State University, he worked for the National Cash Register Co. until 1909, when he and a partner, Edward A. Deeds, set up the Dayton Engineering Laboratories Co., later known as Delco. Kettering developed the first electrical ignition system and the first self-starter for automobiles, a device that made him famous as an inventor. He sold Delco to General Motors (GM) in 1916. In 1920 he became president and general manager of the General Motors Research Corp., a GM division, and for the next 30 years he led teams that developed improved motor fuels, shock absorbers, variable speed transmissions, safety glass, and the refrigerant Freon. Kettering retired from GM in 1947. With Alfred Sloan, he endowed the Sloan-Kettering Institute for Cancer Research. There is some light water discoloration to the certificates and proxy but Kettering's signature remains bold. An extremely rare opportunity to acquire Kettering on a stock. This is the only Kettering we've had. \$400 - up



**ABBOTT LAWRENCE SIGNED STOCK \* 131**

1847, Massachusetts. Stock certificate for 4 shares in the Amherst Steam Mill Company. Black on light blue paper. Signed by **Abbott Lawrence** as President. **LAWRENCE, ABBOTT** (1792-1855) merchant, manufacturer, diplomat, statesman & philanthropist. Uncancelled and extremely fine. \$250 - up



**A LARGE TREASURY DEPARTMENT APPOINTMENT OF A CUSTOMS COLLECTOR SIGNED BY SECRETARY JOHN C. GRIFFIN**

\* 132

Partly-printed appointment of Lee Vogel, Jacksonville, Florida as a customs inspector of "Hulls for the district of Jacksonville..." Engraved vignette of ships and shoreline cliff. Signed by **John Griffin Carlisle, (1835-1910)**. Carlisle serve as Treasury Secretary from 1893-97. Wrinkles throughout. Otherwise, Fine. \$125 - up



**GEORGE FOSTER PEABODY**

\* 133

1886, Illinois and Indiana. Stock certificate for 100 shares of the St. Louis, Alton & Terre Haute RR Co. Issued to and signed on verso by **GEORGE FOSTER PEABODY** (1852 - 1938); banker, philanthropist. Lightly punch, stamp and cut cancelled, the latter slightly affecting Peabody's strong signature which is fully intact. \$100-150



**THE CROOKED MAYOR OF BOSTON JAMES MICHAEL CURLEY**

\* 134

1932, New York. Stock certificate for 100 shares in the Irving Trust Company. Orange/Black. Engraved vignette of seated allegorical female and state seal in foreground, ships and trains in background. Issued to and signed on accompanying transfer by **JAMES MICHAEL CURLEY** (1874 -1958); Massachusetts politician. A popular Boston politician, Curley served five terms as mayor of Boston, one term as Governor of Massachusetts, and two terms

as U.S. Congressman. Convicted of mail fraud in 1946, Curley served five months in jail before his sentence was commuted by President Truman, after which he completed his last term as mayor. Punch cancelled and fine. \$125-up



**STOCK SIGNED BY ACTRESS JANET LEIGH**

\* 135

1975, New York. Stock certificate for 100 shares in the Pan American World Airways, Inc. Pink/Black. Beautiful vignette of two globes with an eagle perched on top and flanked by male allegorical figures. Issued to and signed by Janet Leigh on verso. **LEIGH, JANET** (1927- ), Actress, best known for her performance in Alfred Hitchcock's **PSYCHO** (1960). Married to Tony Curtis from 1951 to 1962, mother of Jamie Lee Curtis. Punch and stamp cancelled with the latter lightly affecting Leigh's fine signature. \$150-up



**RARE L.L. BEAN SIGNED STOCK**

\* 136

1931, New York. Stock certificate for 10 shares in the Irving Trust Company. Green/Black. Vignette at top center of seated female in the foreground and a steam locomotive and sailing ship in the background. Issued to and signed on verso by Leon L. Bean. **BEAN, LEON LEONWOOD** (1872 - 1967), Born in Greenwood, Maine. Founder of L.L. Bean, the popular Maine clothing retailer. Punch cancelled. Bean's signature is unaffected and bold. \$350 - up



**CARL F. LOMB**

\* 137

1928, New York. Stock certificate for 100 shares of the Rochester Central Power Corporation. Blue/Black with red overprint. Issued to and signed on verso by **CARL F. LOMB** son of the founder of Bausch and Lomb serving as the company's president. Light punch cancella-

tions not affecting Lomb's signature on verso and very fine. \$150-250



**RARE STOCK CERTIFICATE SIGNED BY QUEEN NOOR OF JORDAN**

\* 138

1972, New York. Stock certificate for 200 shares of Pan American World Airways. Blue/Black. Engraved vignette of two globes flanked by allegorical figures, spread eagle at top. Issued to and signed on the accompanying transfer document by **LISA HALABY** (B. 1951). Queen Noor of Jordan. Married to a distinguished Arab American family, she attended American schools and was entered Princeton University's first co-educational freshman class. Their Majesties the late King Hussein and Queen Noor were married on 15 June 1978. The Queen has been an important activist in a wide variety of human rights other international issues. This certificate was sent to her while she was a student at Princeton. A highly unusual item. Punch and pen cancellations not affecting signature. Extremely Fine. \$300 - up



**HARRY SINCLAIR'S STOCK CERTIFICATE IN THE EARLY ST. LOUIS BROWNS AMERICAN LEAGUE BASEBALL**

**STOCK SIGNED BY TEAM OWNER AND PRESIDENT PHILIP BALL**

\* 139

1916, Missouri. Stock certificate for 300 shares. Black/Gold. Vignette of the team logo at top center. Litho. The St. Louis Browns have often been viewed with a kindly nostalgia that many of today's Major League teams may only envy. Following the 1901 season, the Milwaukee Brewers, charter members of the American League moved to St. Louis and changed their name to the Browns. After a number of successful years at the gate, in 1916 owner Robert Hedges sold the team to Philip Ball, who signs this certificate as president of the team. Ball had previously been involved in the Federal League and its problems and as a result of his

settlement with major league baseball, his purchase of the Browns was approved. One of Ball's original investors in the team was Harry Sinclair of Sinclair Oil fame. The Browns went on to win only one pennant, finishing last 14 times and seventh 14 times. In 1953, the team was moved to Baltimore. A scarce, early major league baseball stock. Lightly pen cancelled and Extremely Fine. \$1000-up



**STOCK CERTIFICATE ISSUED TO AND SIGNED BY ANDREW MELLON**

\* 140

1917, Pennsylvania. Stock certificate for 100 shares of The Pittsburgh, Cincinnati, Chicago, and St. Louis Railroad Company. Green/Black. Engraved vignette of a steam locomotive in upper right corner. Issued to **MELLON, ANDREW W.** (1855-1937). Financier; Industrialist; Secretary of the Treasury. Mellon was a Pittsburgh banker and industrial visionary who was almost single handedly responsible for the development of entire industries (aluminum, carborundum) and heavily involved in the founding of several large industrial enterprises whose names are today recognized world-wide. At the time he was appointed Treasury Secretary in the Harding administration, he was one of the world's wealthiest men. He remained Secretary of the Treasury throughout the Harding and Coolidge administrations, and was undoubtedly the most influential member of these administrations. This certificate is lightly punch cancelled and in Excellent condition. Mellon has, uncharacteristically signed his first name fully as Andrew. A choice example of a Mellon certificate. \$2000-up



**A.FELIX DU PONT**

\* 141

1927, Pennsylvania. Stock certificate for 100 shares in the Pittsburgh & Lake Erie Rail Road Co. Green/Black. Very attractive piece with at large center vignette of Pittsburgh flanked by smaller vignettes of a steam locomotive at left and a harbor scene at right. Issued to A. Felix Du Pont and signed by him on verso **DU PONT, A. FELIX** (1879-1948), Vice President of E.I. duPont de Nemours & Co. A. Felix along with an aggressive Irene teamed with Pierre S., Lamont, et. al. to form a syndicate for the purchase of Thomas Coleman's company stock holdings. The ensuing court battle was eventually won by the young syndicate. Stamp and punch cancelled leaving du Pont's signature unaffected. Very fine. \$100 - up



**STOCK CERTIFICATE SIGNED BY CONFEDERATE GENERAL JAMES LONGSTREET**

\* 142  
1871, Louisiana. Stock certificate for 5 shares of the New Orleans & North Eastern Rail Road Company. Black with a light purple background printing. Engraved vignette of a steam locomotive at top center, female at left. Signed as president of the company by **JAMES LONGSTREET** (1821 - 1904). Confederate general during the Civil War, upon Stonewall Jackson's death he became Robert E. Lee's ablest Lieutenant and highly trusted advisor. Advised Lee against the disastrous "Pickett's Charge" at Gettysburg. A scarce certificate of which just a small quantity of less than 20 surfaced. This is the first time we have had Longstreet on a stock. Lightly Pen cancelled and Very Fine. \$1200-up

by **JUAN T. TRIPPE**, airline pioneer, founder of Aviation Corporation of the Americas, Pan American Airways Corporation, Pan American World Airways Corporation. Also signed on verso as Vice President of United Aircraft & Transport Corporation by **CHANCE VOUGHT**, aviation designer and manufacturer, founder of the Chance Vought Corporation and the Vought-Sikorsky division of United Aircraft & Transport Corporation. Vought was taught to fly in 1910 by the Wright brothers and in 1916 became chief engineer for the Wright Company. He died in 1930 at the age of 40 making his a relatively scarce aviation signature. \$500-up



**THE MERCANTILE LIBRARY COMPANY STOCK SIGNED BY THOMAS MORRIS PEROT AS PRESIDENT**

\* 145  
**THOMAS MORRIS PEROT** (1828-1902). Perot, a merchant, founded the Women's Medical College of Philadelphia. He was also president of the Mercantile Library, expanding its holdings and access to the public. 1873, Philadelphia. Stock certificate for 1 share signed by "**T Morris Perot**" as president. Orange and black on white paper. Large litho at top of a library building surrounded by pedestrians. There is one small fold separation repaired with archival tape; it in uncanceled and in fine condition. \$150 - up



**THE BOSTON, NEWPORT AND NEW YORK STEAMBOAT COMPANY STOCK CERTIFICATE SIGNED BY OLIVER AMES**

\* 146  
**OLIVER AMES** (1807-1877). Ames was a manufacturer and a railroad promoter. Oliver and his brother Oakes were involved in the famous Credit Mobilier scandal. He was the acting president of the Union Pacific Rail Road from 1866 to 1868, succeeding John A. Dix, and as a director until his death. 1868, Boston. Certificate for 2 shares signed by **OLIVER AMES**. Black on white paper with top center vignette of a steam paddleboat. Litho. Purple stamp cancelled, including one across part of the signature. Fine condition overall. \$250 - up

**TUNNEL RAILROAD OF ST. LOUIS STOCK SIGNED BY WILLIAM**



**THOMAS FORTUNE RYAN**

\* 143  
1892, New Jersey. \$1,000 First Mortgage bond of the Kentucky Mineral and Timber Company bearing 5% interest. Rust/Black. Vignette of the head of a mine with ore car tracks and an ore car with forest and mountains in background. Litho. Coupons at right. Signed at bottom center as secretary of the company by **THOMAS FORTUNE RYAN** ( 1851 - 1928), financier and promoter. Ryan built a vast fortune, primarily centered around the street railway business. Upon his death, his fortune was estimated at \$200,000,000. Uncanceled and in Excellent Condition. \$250 - up

**SUPERB ASSOCIATION OF AVIATION PIONEERS CHANCE VOUGHT AND JUAN TRIPPE**

\* 144  
1929, Delaware. Green. Stock certificate for 100 shares of Aviation Corporation of the Americas, signed as President at lower right

**TAUSSIG**

\* 147  
1900, Missouri. Stock certificate for 10 shares. Black/White. Vignette of a train passing through a tunnel. This stock is signed by William Taussig. Stamp and punch cancelled and very fine. \$500-up



**A SCARCE LOCKHEED AIRCRAFT COMPANY STOCK SIGNED BY ALLAN LOUGHEAD**

\* 148  
Founded in 1926 by Allan Loughead and his brother Malcolm, the company was bought by Detroit Aircraft Corporation in 1929, only to have the parent corporation file for bankruptcy in 1932. During that same year, bankers Robert and Courtland Gross bought Lockheed's assets and revived the company's business with the Electra, a twin-engined all metal airliner. The advent of World War II began the company's business as a defense contractor by beginning with the P-38 Lightning bomber and continues as a primary contractor for U.S. defense aircraft as Lockheed Martin Corporation.

**ALLAN LOUGHEAD** (1889 - 1969) legally changed his last name to Lockheed in order that his spelling would match its pronunciation. He worked throughout World War II in aircraft design and development. Once when asked what he did during the early days of aviation, an elderly Lockheed responded "I survived".

This piece offers a rare opportunity to acquire this legendary aviation pioneer on an important stock. Uncanceled and Extremely Fine. \$2000-up



**NORTHERN WATER AND POWER COMPANY ISSUED TO AND SIGNED BY WILLIAM H. CROCKER**

\* 149  
**WILLIAM H. CROCKER** (1832-1919). British physicist. He invented the Crookes tube, which Roentgen used in his discovery of X-rays and which Crookes used in his pioneering work on radiant matter, which changed the conception of it in both physics and chemistry. He was a member of many scientific societies, and president of several of them. He was awarded many honors during his lifetime including the Albert Gold Medal (1899), the Order of Merit (1910), and was knighted in

1897. 1911, California. Stock certificate for 100 shares issued to and signed on the verso by **WM. H. CROCKER**. Orange boarder on white paper with black text. Top center scenic vignette of a water dam. Pen cancelled and very fine. \$100 - up



**STANDARD OIL TRUST ISSUED TO AND SIGNED BY O.H. PAYNE, ALSO SIGNED BY JOHN D. ROCKEFELLER AND HENRY FLAGLER**

\* 150  
**O.H. PAYNE** (1839-1917). Capitalist; Union general in the Civil War. Following his departure from the military in 1864, Payne entered the iron industry in Cleveland, where he also began as a pioneer in the oil refining industry. Until Standard Oil was formed in 1870, Clark, Payne and Company were the largest refiners in Cleveland and Rockefeller's largest competitors. **JOHN D. ROCKEFELLER** (1839 - 1937). Rockefeller was a founder and one of the original partners of Standard Oil; he was reputedly the world's richest man. **HENRY M. FLAGLER** (1830 - 1913). Flagler helped organize Standard Oil in 1870 and served as vice president until 1908. He helped develop Florida's railroads from Jacksonville to Key West, and built luxurious hotels on the way. 1882, New York. Stock certificate for 1,000 shares issued to **O.H. PAYNE** and signed by him on the accompanying receipt. Green boarder on white paper with black text. Top center engraved vignette of the United States Capitol. Certificate is ink cancelled through Rockefeller's and Flagler's signatures and there is a very fine cut cancellation; the magnates' signatures, however, are still dark and fully readable. Receipt glued top left edge. Colors and vignette are bright and the condition is very fine. \$3,500 - up



**WEST INDIES SUGAR CORPORATION ISSUED TO AND SIGNED BY DOM AMECHE**

\* 151  
**DON AMECHE** (1908 - 1993). An actor of radio, television and films, Ameche is best known for his title role portrayal in "The Story of Alexander Graham Bell" (1930) and the film "Cocoon" (1985), which earned him a Best Supporting Actor Academy Award. 1946, Delaware. Stock certificate for 40 shares is-



sued to **DON AMECHE & HONORE AMECHE** and signed on the verso. Brown border on white paper with black text. Top center vignette of two allegorical females flanking a sugar cane seal guarded by an eagle. Lightly punch cancelled and in very fine condition. \$200 – up



**BUICK OIL COMPANY STOCK SIGNED BY AUTOMOTIVE PIONEER DAVID D. BUICK**

\* 152  
1919, California. Stock certificate for 100 shares of the Buick Oil Company. Gold/Black. Vignette of an oilfield scene. Litho. Signed as president of the company by **DAVID DUNBAR BUICK**, (1855 -1929). Scottish-born American automotive pioneer and inventor. It is an interesting, accurate, and oft-told bit of automotive lore that the man who gave the world the Buick automobile, David D. Buick, is also the man who developed the process by which porcelain is attached to cast iron, thereby also giving the world white bathtubs. In 1899, Buick, an inveterate tinkerer, found gasoline engines and horseless carriages more challenging than plumbing fixtures, so he sold off his plumbing business to form the Buick Auto-Vim and Power Company which was to produce gasoline engines for farm and marine use. After several reorganizations and changes in direction, the first Buick automobile was produced in 1903. For whatever reason, David Buick was reluctant to begin production, and investors came and went until November 1904, when the Buick Motor Company was “pawned off” on the co-owner of the Durant-Dort Carriage Company, the legendary William Crapo Durant (1861-1947), who would use Buick as the cornerstone of the massive automotive conglomerate he would begin forming in 1908, General Motors. With Durant in control, “new blood” was brought into Buick from companies such as Cadillac, and capitalization of Buick Motors increased from \$75,000 to \$1.5 million, with Durant himself reputedly selling \$500,000 in a single day. Lost in the shuffle was Buick himself who, by 1908, had left to go on to a series of spectacular financial misadventures which included carburetor manufacture, an oil company, and two automobile companies (the Lorraine and the Dunbar). By 1928, Buick had been reduced to working at the information desk at the Detroit School of Trades and, in 1929, he died in poverty at the age of 74.

Buick’s signature remains bold. Two vertical folds. Uncancelled and Extremely Fine. \$600-up



**A RARE SIGNED STOCK OF PHARMACEUTICAL EXECUTIVE GEORGE W. MERCK**

\* 153  
1942, Delaware. Stock certificate for 100 shares of Pan American Airway Corporation. Gray/Black. Issued to and signed on accompanying transfer document by **GEORGE W. MERCK** (1894 – 1957) chemicals executive; born in New York City. A Harvard-trained chemist, he joined Merck and Co., the family chemical firm, in 1915; he was president (1925–50) and chairman (1949–57). He developed the aggressive research program that shifted Merck’s focus to pharmaceuticals and made it a leader in manufacturing vitamins, sulfa drugs, and cortisone. He directed the War Research Service during World War II. A rare signed stock. \$300-up



**CUBA RAILROAD CO. BOND SIGNED BY SIR WILLIAM C. VAN HORNE**

\*154  
1910, Cuba. \$1000 Bond bearing 5% interest. Green/Black with red text overprint at center. Large top center vignette of trains arriving and departing a station. Signed by William C. Van Horne as president.  
**VAN HORNE, WILLIAM C.** (1843 - 1915). Railroad executive. From a successful early career in railroad, Van Horne developed the Chicago, Milwaukee & St. Paul Railroad system into a major competitor of James J. Hill’s Great Northern. Hill was so impressed with Van Horne’s skills, he recommended the latter as a director of the Canadian Pacific Railway, a position which Van Horne accepted. “The story of the building of the Canadian Pacific is mainly a history of his achievements in overcoming stupendous difficulties . . .” (DAB). A long period of success and growth followed and Van Horne resigned in 1899, only to begin

a series of South American and Cuban ventures of which this Cuba Railroad was one. Uncancelled and very fine. \$100-up



**SCARCE PAN AM STOCK SIGNED BY POWEL CROSLLEY, JR, OWNER OF THE CINCINNATI REDS AND NAMESAKE OF CROSLLEY FIELD**

\* 155  
1937, Delaware. Stock certificate for 100 shares of Pan American Airways Corporation. Issued to and signed on verso by **POWEL CROSLLEY, JR**. In 1934, Powel Crosley, Jr. purchased the Cincinnati Reds and Redland park. Crosley did not want to see the city lose its team. He never anticipated making any money with the team; he only hoped to minimize his losses. Crosley purchases both for less than \$500,000. **MACPHAIL INSISTS THAT CROSLLEY RENAME THE PARK CROSLLEY FIELD.** Also in 1934, the original Redland Field scoreboard is extensively remodeled with an art deco flavor. Larry MacPhail was a promoter with a talent and flair for creating excitement. At the outset of the 1934 season, to quote Lee Allen (The Cincinnati Reds, 1948), “MacPhail had painted the park, he had dolled up the ushers, and installed cigarette girls so cute they made the customers want to smoke themselves to death”. \$300-up



**ROBERT L. STEVENS**

\* 156  
1853, New Jersey. Stock certificate for 8 shares. Engraved multi-vignetted certificate depicting railroad, canal and harbor scenes at top; a seated maiden at bottom; heavily embossed company seal at bottom left bears ancient steam locomotive. Signed as president by **ROBERT L. STEVENS** (1787 - 1856); Engineer; Naval architect; Inventor; Designer of the T-rail. Among the earliest of American railroads to be in service, the Camden & Amboy Railroad was formed under a charter obtained in 1830 which gave Robert Stevens, and his brother Edwin, a virtual monopoly in railroading between New York and Philadelphia with each city having a water link. Numerous early technological improvements were developed and placed into service on the Camden & Amboy by the Stevens family of inventors. An important early certificate for both the autograph and railroad collector. Couple of small punch cancellations. Pen Cancelled and very fine. \$750-up



**ONE OF ONLY TWO YELLOWSTONE PARK STOCKS ISSUED TO AND SIGNED BY HENRY VILLARD**

\* 157  
1890, Minnesota. Stock certificate for 100 shares of Yellowstone Park Association. Black. Vignette of a lake and spouting geyser, mountains in the background. Litho. Issued to and signed on verso by **HENRY VILLARD** (1835 - 1900), railway builder, financier, journalist. His first name was originally Hilgard. He attended universities in Germany, and after he reached (1853) the United States he did newspaper reporting. He won distinction in 1858 by reporting the Lincoln-Douglas debates, and in the Civil War he was a correspondent for New York newspapers. In 1873 he acted as agent for holders of Western railroad securities and soon became active in railroad financing. He organized (1879) the Oregon Railway and Navigation Company and gained a solid foothold in the transportation of the Pacific Northwest area. He then obtained a controlling interest in the Northern Pacific RR and became (1881) its president, but completion of the building of that railroad through the mountains bankrupted him (1883). With new capital Villard once more gained control of the Northern Pacific and in 1889 became chairman of the board of directors. He merged (1890) smaller companies to form the Edison General Electric Company (later the General Electric Company) and was its president until 1893. Villard obtained (1881) control of the New York Evening Post, which later (1897) came under the management of his son, Oswald Garrison Villard. He generously contributed to the Univ. of Oregon. \$2000-up



**ALEXANDER J. CASSATT**

\* 158  
1881, Pennsylvania. Stock certificate for 1 share of the Germantown, Norristown & Phoenixville Railroad Company. Black. Engraved vignette of a steam locomotive at top. Signed as president of the company by **ALEXANDER J. CASSATT**. (1839 - 1906). Railroad executive. Cassatt was instrumental in the development of the Pennsylvania railroad system and in solving the rebate problem stemming from the panic of 1893. His sister was the artist, Mary Cassatt. Stamp cancelled at center. Cassatt’s signature is slightly affected by two small punch hole cancellations. Extremely Fine. \$100-up



**CHARLES REVSON - THE FOUNDER OF REVLON**

\* 159

1946, Delaware. Warrant to purchase 100 shares of Pan American Airways Corporation. Blue/Black. Issued to and signed on the accompanying assignment by Charles Revson.

**CHARLES REVSON**(1906 - 1975). Founder of Revlon. Upon his failure to secure the job of national distributor in the cosmetics firm where he worked, Revson convinced his brother and Charles Lachman, a chemist, to start their own business, Revlon Cosmetics. They specialized in nail polishes in a greater variety of colors than was available elsewhere, and sold them first through salons then through department stores. Eventually they introduced matching lipsticks, and introduced marketing innovations such as exotic names for the products' colors. Though Revson's perfectionist personality drove his partners to quit by 1965, the business was phenomenally successful under his leadership, first as president (1932-62) then as chairman (1962-75). Today the company stands as one of the world's leading cosmetic firms.

Printed facsimile signature of Juan Trippe. A seldom seen autograph of this important American business figure. Lightly punch cancelled and Extremely Fine. \$200-up



**JACOB LITTLE MICHIGAN SOUTHERN & NORTHERN INDIANA RAILROAD CO.**

\* 160

1862, Michigan. Stock certificate for 100 shares. Black. Vignette of steam locomotive facing left at top center. Issued to Jacob Little & Co. and signed by Jacob Little on verso. **JACOB LITTLE**. Stock speculator. Beginning with nothing, Little acquired an immense fortune through stock speculation. His ambition was to hold the foremost place in Wall Street, something he accomplished for a time through his successful manipulation. At one time he controlled so large an amount of stock that he was called the "Napoleon of the Board". Little's bold maneuvering left him bankrupt on several occasions and each time he would recover. The Civil War, however, wiped his fortune out for the last time and Little died a poor man. A colorful figure in Wall Street history. Punch and stamp cancelled and very fine. \$350-up



**NICE ASSOCIATION OF SIDNEY DILLON AND GRENVILLE DODGE**

\* 161

1889, Texas. Stock certificate for 6832 shares in the Denver, Texas and Fort Worth Railroad Co. Brown/Black. Vignette at top left of a steam locomotive. Signed by Sidney Dillon as president prior to the certificate being issued. Dillon's signature was lightly crossed out in red pen and Grenville Dodge signed as president of the company at the time of the certificate issue. An unusual stock with two presidents signatures. **DILLON, SIDNEY** (1812-1892). Railroad executive. One of America's premier railroad builders, Dillon began his career in the industry working as a water boy on the Mohawk and Hudson, one of America's earliest railroads. He was actively involved in the construction of numerous roads, his largest being the Union Pacific, with which he became actively involved in 1865 through a stock purchased in the Credit Mobilier. As one of the principal contractors for the Union Pacific, Dillon's vast experience in the construction of railroads proved invaluable. He took part in the laying of the last rail in 1869 receiving one of the ceremonial silver spikes used to complete the project. Following 1870, Dillon was primarily known as a financier, becoming involved with Jay Gould in numerous ventures as well as serving on the board of directors of the Western Union Telegraph Co. **DODGE, GRENVILLE M.** (1831-1916). Railroad builder; lobbyist. One of the premier railroad builders and lobbyists of his time, Dodge was, for over half a century, involved in railroad construction as projector, builder, financier and director of numerous roads in the West and Southwest. He conducted land surveys for such notables as Henry Farnum and Thomas Durant, and was appointed chief engineer of the **UNION PACIFIC RAILROAD** in January 1866. Under his supervision, 568 miles of the road were completed within one year. Interestingly, he served as a U.S. Congressman from Iowa, 1866-68, after which he refused re-nomination. Soon after the panic of 1873, Dodge joined **JAY GOULD** in the Southwest and, during their ten year relationship, Dodge assisted in completing and consolidating nearly nine thousand miles of road. Stamp and punch cancelled and fine. \$400 - up



**HIRAM WALKER THE CREATOR OF CANADIAN CLUB WHISKEY**

\* 162

1879, Michigan. Stock certificate for 100 shares of the Minong Copper Company. Green/Black. Small vignette of ornate cross, overprint of state

seal at center. Signed as president of the company by **HIRAM WALKER** (B. 1816); distiller, founder of what is today one of the world's three largest distillers. Walker began as a small grocery business and among his goods was grain which he sold to Canadian distillers and the finished product, whiskey. During the 1850's concerned about prohibition, Walker moved his business into Canada and by 1858 was producing his own whiskey which he later name Walker Club Whiskey. Dissent by American distillers who believed American consumers were unable to distinguish between their own product and the Walker's canadian produced whiskey caused Walker to rename his product Canadian Club Whiskey, the name still in use today. An interesting certificate signed by one of the World's most well known Whiskey makers. Stamp cancelled and very fine. \$250-up



**GEORGIA-ALABAMA INVESTMENT & DEVELOPMENT CO.**

\* 163

1891, Georgia. Stock certificate for 5 shares. Brown/Black. Small vignette at top center of the Georgia State Seal. Signed as President by Benjamin Butler. **BUTLER, BENJAMIN F.** (1818-1893). Union general in the Civil War; Presidential candidate; Massachusetts; Governor; Congressman. As one of the few democrats supporting the Union, Butler was quickly appointed major-general of volunteers by Lincoln when the war broke out, and so began one of the most astounding careers of the war. Until Grant took control, Butler was as much in the news as anyone but Lincoln, and fully expected to receive the Unionist presidential nomination in 1864. From the record, it is very clear that he expected the war to advance his own political fortunes, and the financial fortunes of his family and friends. During the war, he moved in an atmosphere of constant controversy, which continually widened from local quarrels such as that with the governor of Massachusetts, to quarrels international in scope such as the reaction of foreign governments to his confiscation of money and property of European citizens while he was military governor of New Orleans. It has been said that the many of actions he performed were exceedingly clever, almost to the point of being brilliant; he was certainly the most innovative of the commanders on either side of the conflict. One of his first acts was to lead his command to the rescue of blockaded Washington in April of 1861 by occupying Baltimore, and securing the railroad line between Baltimore and Washington. Nicknamed "Beast" by the Confederates due to the harsh measures he took in administering New Orleans (May-December 1862) after it fell to the Union, he was the only military or political figure on either side of the conflict to be branded an "outlaw" by the enemy forces and have a price put on his head. While Butler had numerous military successes during the war, he also had several spectacular and well-publicized failures which led Lin-

coln to dismiss him in January 1865. After the war Butler entered politics, his most notable achievement in this arena being the floor manager and chief prosecutor during President Andrew Johnson's impeachment trial in the Senate. Uncancelled and extremely fine. \$300 - up



**WEST SHORE & ONTARIO TERMINAL CO. ISSUED TO AND SIGNED ON VERSO BY HORACE PORTER AIDE-DE-CAMP TO GRANT DURING THE CIVIL WAR. ALSO SIGNED BY EDWARD F. WINSLOW UNION BRIGADIER-GENERAL**

\* 164

1883, New Jersey. Stock certificate for 3 shares. Brown/Black. Beautiful vignette of a water scene with a variety of boats at top center. Issued to and signed on verso by Horace Porter. **PORTER, HORACE** (1837-1921). Railroad executive; Medal of Honor recipient; Diplomat. Porter performed with distinction during the Civil War, receiving the Congressional Medal of Honor for his action at Chickamauga. In April 1864 he was appointed aide-de-camp to Grant, and in March 1865 was brevetted brigadier-general. He remained a trusted friend and advisor of Grant for many years, serving Grant as military secretary during the first part of Grant's presidency. Resigning in 1872, he became a prominent railroad executive by joining the Pullman Company, promoting elevated railway lines in New York City, and becoming president of the New York, West Shore, & Buffalo Railway. He served as ambassador to France 1897-1905, and was singularly responsible for locating the body of John Paul Jones in France and having it transported back to the United States for reburial. During his lifetime he received numerous honors from foreign governments such as the Grand Cross of Legion of Honor (France) and the Gold Medal for Patriotism (Turkey). Signed by Edward F. Winslow as President. **WINSLOW, EDWARD F.** (1837-1914). Took part in all operations against General Price and was brevetted Brigadier-general of volunteers, Dec. 12, 1864, for gallantry in action. Stamp and punch cancelled, Horace's signature is unaffected. Excellent. \$150-up



**MILLARD FILLMORE**

\* 165

1848, New York. \$1,000 Registered Bond bearing 7% interest. Ornate border design. Signed by **MILLARD FILLMORE** (1800-1874); Thirteenth president of the United States. Fillmore signs as comptroller of the State of New York, a position he held from January 1,

1848 - February 20, 1849 at which time he resigned to take office as vice-president of the United States under Zachary Taylor. Upon Taylor's sudden death in July of 1850, Fillmore became President. This bond is one of an issue of only 175 pieces and provides an interesting look at the public-private financial partnerships which were utilized to finance the growing nation. Very small punch cancellations slightly affecting Fillmore's signature. Very fine but for small ink erosion on face of bond.

\$750 - up



**STANDARD OIL TRUST ISSUED TO J.S. BACHE & CO. AND SIGNED BY BACHE ON VERSO. SIGNED BY HENRY FLAGLER AS SECRETARY**

\* 166

1897, New York. Stock certificate for 100 shares. Brown/Black. Vignette at top left of capital building. Issued to J.S. Bache & Co. and signed on verso by Bache. **BACHE, JULIUS** (1861-1944). Financier. Beginning his career in banking as a cashier at Leopold Cahn & Co. in 1881, Bache received an interest in the business in 1886. In 1892, the firm's name was changed to J. S. Bache and Co. From the outset, the firm was successful financing numerous enterprises and becoming one of the best known and most active banking and brokerage houses in America. Bache was bought by Prudential to form until recently, Prudential Bache Securities. Signed by Henry M. Flagler as Secretary. **FLAGLER, HENRY** (1830-1913). Flagler helped organized Standard Oil in 1870 and served as vice president until 1908. He helped develop Florida's railroads from Jacksonville to Key West, and built luxurious hotels on the way. Pen and light perf cancellation. A nice association of these two important business figures. Excellent.

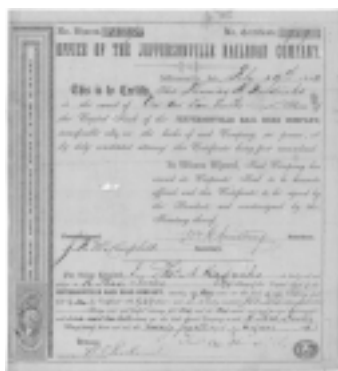
\$900 - up

**NORTHERN PACIFIC RAILWAY CO. SIGNED BY C.S. MELLEN**

\* 167

1896, Minnesota. \$1000 Bond bearing 4% interest. Gray/Black. Triple vignette at top of a mountain scene flanked by a seated male Native American Indian at left and by a standing female Native American Indian at right. Signed as President by C. S. Mellen. **MELLEN, CHARLES S.** (1851-1927). Railroad Executive; Financier. Mellen was the front man for many of J. Pierpont Morgan's railroad schemes, and was chosen by Morgan to be president of the Northern Pacific for him. The Morgan-Mellen lines were vast, and included the New York, New Haven and Hartford, the Boston & Maine, the Maine Central and numerous others. Mellen's advance in railroading was due largely to the efforts of Morgan and in Mellen, the great banker placed the utmost confidence. Attached Coupons. Stamp & punch cancelled with the M in Mellen slightly affected. Very fine.

\$250-up



**JEFFERSONVILLE RAIL ROAD COMPANY ISSUED TO AND SIGNED BY VICE PRESIDENT THOMAS A. HENDRICKS**

\* 168

1852, Indiana. Stock certificate for 1 & 2/10 shares. Black on light blue paper. Attached adhesive revenue stamp at bottom left. Issued to Thomas A. Hendricks and signed by him at bottom transferring his shares in 1863. **HENDRICKS, THOMAS A.** (1819-1885), Vice President of the United States under President Grover Cleveland. Governor of Indiana. Some paper loss at company seal and age spots. Uncancelled and very good.

\$250-up



**REPUBLIC OF TEXAS BOND SIGNED BY TEXAS PIONEER DAVID BURNET**

\* 169

1841, Texas. \$500 Bond bearing 8% interest. Black. Vignette at top center of a Native American Indian. Vignette at left of a farmer plowing his field by oxen and at bottom center the Star of Texas. Coupons at bottom. Signed as president by David Burnet. **BURNET, DAVID G.** (1788-1870). President ad interim of the Republic of Texas (1836; 1841); Texas patriot. Moving to Texas in 1831, Burnet gradually became involved in efforts being made by the American settlers there to obtain greater freedom from the Mexican government than they had been accorded up to that time. Over the next several years, he attended a number of conventions which petitioned the Mexican government to this end and, in 1835, drew up such a petition for the San Jacinto community. In the spring of 1836, he was a delegate to the convention at Washington which issued the Texas Declaration of Independence, two weeks after which he was elected Texas President ad interim. Serving only until October, his administration was as troubled as it was short. Santa Anna's forces had taken the Alamo, and Texas forces were in retreat everywhere. All Burnet could do was attempt to allay the peoples' fears, increase the army's size, and obtain supplies, tasks in which he was not at all successful. Even after Santa Anna's forces were crushed at the Battle of San Jacinto, Burnet's government remained weak and ineffective. In September

1836, Sam Houston was chosen Texas' first President and, on October 22, Burnet resigned. In 1838, Burnet was elected Texas Vice-President, Mirabeau Lamar's being elected President. During Lamar's administration he also served as Secretary of State and, later (c. 1841), as President due to Lamar's ill health and absence from the Republic. In 1841, he ran unsuccessfully for the Texas presidency against Sam Houston, after which he was only intermittently interested in and involved with politics. For the most part, he remained tending his crops on his farm and struggling against the grinding poverty which finally engulfed him. Cut cancelled, all paper intact. Very good condition.

\$300-up



**AMERICAN STEELED RAIL COMPANY ISSUED TO THOMAS SCOTT AND SIGNED AS TREASURER BY THOMAS CARNEGIE, ANDREW'S BROTHER AND PARTNER IN THE STEEL BUSINESS**

\* 170

1867, Pittsburgh. Stock certificate for 500 shares. Black. Very attractive certificate with a steam locomotive vignette at top center, standing liberty at left and ornate border. Issued to (signed by his estate executor on verso) Thomas Scott. **SCOTT, THOMAS A.** (1823-1881). Railroad executive. In August 1861, based on his previous railroad experience, Scott was appointed assistant secretary of war with responsibility for supervising all government railways and transportation lines, and brought with him in this position his personal secretary, Andrew Carnegie. In mid-1862, Scott left this position to begin an extended and brilliant career with the Pennsylvania Railroad, becoming president of that line in June 1874. Scott was president of numerous other railroads during his career as well, among them the Union Pacific 1871-72, and the Texas & Pacific (1872-80). Scott is well known for his attempt at monopolizing the transportation of the oil regions via the South Improvement Company. This was essentially a battle against John D. Rockefeller and the Standard Oil Company interests which sparked a bitter struggle resulting in victory for the vast oil empire. Failing to defeat Rockefeller at his own game, Scott liquidated the company in the spring of 1872. Also signed by Thomas Carnegie as Treasurer. **CARNEGIE, THOMAS** (1843 - 1886). Associated with his brother Andrew in the Carnegie Brothers Steel Company. Uncancelled and extremely fine.

\$1200-up

**LAURANCE S. ROCKEFELLER SIGNS AN AVIATION STOCK**

\* 171

Rockefeller, Laurance S.(elman) (1910-?)U.S. venture capitalist and philanthropist, third of the five sons of John D. Rockefeller, Jr. He graduated from Princeton University with a degree in philosophy (1932) but became the most business-minded of all the Rockefeller brothers. He participated in the founding of Eastern Airlines (1938) and, within a few years, held the largest share of the company's stock (100

percent of its preferred). He was also associated with McDonnell Aircraft Corporation. During World War II he was in the U.S. Navy. After the war he engaged in a wide range of investments, from resort hotels to nuclear equipment and computers. In later life he became more involved in various conservation programs, especially as chairman of the Citizens Advisory Committee on Environmental Quality (1969-73), president of the American Conservation Association, and chairman of the New York Zoological Society. 1937, Delaware. Green/Black. Stock certificate for 100 shares issued to and signed by Laurance S. Rockefeller. Lightly punch cancelled and very fine.

\$200-up



**A MISSOURI, KANSAS AND TEXAS RAILWAY COMPANY STOCK ISSUED TO AND SIGNED BY WILLIAM ROCKEFELLER**

\* 172

**WILLIAM ROCKEFELLER** (1841-1922). William, an oil company executive, was the brother of John D. and an original partner and founder of the Standard Oil Company. William built Standard Oil's vast export business in New York. In 1872, he played an instrumental role in settling the battles between the refiners and the crude oil producers. During this time, he formed close alliances with many of the East's most important oil men, such as Henry H. Rogers and Charles Pratt, eventually bringing them into Standard Oil. William was a trustee of the Standard Oil Trust until its dissolution in 1890. Upon his death in 1922, he left a fortune estimated at between \$150 million and \$200 million. 1891, n.p. A stock certificate for 100 shares issued to and signed by "Wm Rockefeller" on the verso. Green and black on white paper with a receipt glued to the left side. Lightly punch cancelled, though not touching Rockefeller's dark signature, and in fine condition overall.

\$400-up

**PAN AMERICAN AIRWAYS STOCK ISSUED TO AND SIGNED BY EDGAR BERGEN**

\* 173

1954, New York. Stock certificate for 100 shares of Pan American World Airways, Inc. Red/Black. Engraved vignette of a spread-eagle above globes and allegorical figures. Issued to and signed on the accompanying proxy document by **EDGAR BERGEN**, (1903 - 1978). Ventriquoist. With his puppet, Charlie McCarthy, Bergen is best known for his work on The Charlie McCarthy Show; Bergen made over twenty other television and film credits, ranging from an appearance on Comedy Shorts; in 1930 to Jim Henson's The Muppet Movie in 1979.

The certificate is lightly punch cancelled. Bergen's signature remains uncancelled and excellent. Bergen's autograph is rarely seen stock certificates. Overall excellent condition.

\$225-up



**A BALTIMORE AND OHIO STOCK CERTIFICATE ISSUED TO AND SIGNED BY CHARLES W. HARKNESS ON THE VERSO**

\* 174  
1901, New York. Certificate for 100 shares of the Baltimore and Ohio issued to and signed by "Chas. W. Harkness" on the verso. Green borders and underprinting with black text on white paper. Top left engraving of a 4-4-0 locomotive moving down the tracks at full throttle. Stamp and punch cancelled, though not affecting the dark autograph in any way. It is in very fine condition overall. \$250-up



**RAILROAD BOND SIGNED BY TELEGRAPH PIONEER JEPHTHA WADE**

\* 177  
1888, Michigan. \$1000 bond bearing interest at 5%. Brown/Black. Engraved vignette of state seal flanked by Elk. Signed as president by **JEPHTHA WADE** (1811 - 1890). Telegraph developer. Wade was one of the founders of the American commercial telegraph system, a system which was instrumental in the "opening" of the West, and in the industrial development of this country. Starting with a small telegraph line between Detroit to Jackson in 1847, Wade quickly developed other lines. By 1856, after numerous consolidations, Wade was made the general agent of the Western Union Telegraph Company. By 1862, Wade had expanded to the west coast, thereby putting out of business the firm operating the pony express and preparing the way for the transcontinental railroad. In 1866 he was made president of the now-enlarged Western Union, but ill health soon forced his retirement. An attractive bond in excellent condition. \$90-up



**NORFOLK & PETERSBURG RAILROAD BOND SIGNED BY CONFEDERATE GENERAL WILLIAM MAHONE**

\* 175  
1867, Virginia. \$100 bond bearing 8% interest. Black with \$100 red overprint at center. Vignette of a steam locomotive at top center. Attached adhesive revenue stamp at lower left. Signed as president of the company by **WILLIAM MAHONE** (1826 - 1895). Confederate general during the Civil War. The road ran 81 miles from Norfolk, Virginia to Petersburg, Virginia and, in 1870, became part of the Atlantic, Mississippi and Ohio Railroad as a result of a consolidation of itself, the South Side RR, the Virginia and Tennessee RR and the Virginia and Kentucky RR. A fine southern railroad bond signed by an important and popular Confederate general. Lightly pen cancelled and Fine. \$150-up



**THE FOUNDER OF PAINE WEBBER SIGNS A LAKE COPPER COMPANY STOCK CERTIFICATE**

\* 176  
**WILLIAM A. PAINE**. DS. 1pp. 11 1/4" x 8". Michigan. 1922. A "Lake Copper Company"

stock certificate signed by William Paine as president. The certificate was for 10 shares and its stamp and punch cancelled, including a small hole through Paine's signature. It is in fine condition overall. \$300-up



**JAMES STILLMAN ROCKEFELLER**

\* 179  
1946, Delaware. Stock certificate for 100 shares of Seatrain Lines, Inc. issued to and signed on verso by J. Stillman Rockefeller. Banker; Grand-nephew of John D. Rockefeller. A prominent banker, James served as president of Rockefeller National City Bank. Blue/Black. Vignette of male allegorical figure flanked by train and ship at top center. \$125-up

## ISSUED TO AND NOT SIGNED BY FAMOUS PEOPLE



**WESTERN MARYLAND RAILROAD BOND ISSUED TO JOHN D. ROCKEFELLER**

\* 180  
1917, Maryland. \$10,000 bond bearing 4% interest. Gray/Black. Engraved vignette of a steam locomotive at top left. Issued to though not signed by **JOHN ROCKEFELLER** (1839-1937). Rockefeller, the founder and one of the original partners of Standard Oil, was reputedly the world's richest man. Lightly punch and stamp cancelled and Extremely Fine. \$125-up



**BERGEN OIL & COAL COMPANY STOCK CERTIFICATE ISSUED TO CHARLES PFIZER, CO-FOUNDER OF ONE OF THE WORLD'S LARGEST DRUG PRODUCERS**

\* 181  
1866, New York. Stock certificate for 250 shares. Vignette of an oilfield with derricks and storage tanks. Litho. Attached adhesive revenue stamp at left. Issued to though not signed by **CHARLES PFIZER**. Uncancelled and Very Fine. \$300-up



**STATE OF NEW YORK BOND ISSUED TO BUT NOT SIGNED BY GEORGE F. BAKER**

\* 182  
1866, New York. Bond for the payment of Bounties to Volunteers for \$8,000 bearing 7% interest. Black with red 1867 overprint at center. Vignette of seated liberty with spread eagle at top center. Issued to George F. Baker though not signed. **BAKER, GEORGE FISHER** (1840-1931). Banker; Philanthropist. Starting as a clerk in New York state's banking department in 1856, the clever and industrious Baker quickly came to the notice of many of the state's prominent bankers. By 1863, he had been lured from state employment, and was one of the founders of the First National Bank of New York, a bank that would become the most prominent of all banks under the new national banking system. During the Panic of 1873, he was largely responsible for keeping the bank afloat, and it was during this period that the bank began to more fully adopt Baker's philosophy and become a "banker's bank." Baker became the bank's second president in 1877, and aggressively continued the bank's policy of developing connections with great business corporations, both in the U.S. and overseas. A man of boundless energy, Baker sat on the boards of many corporations in a wide variety of industries, at one point sitting on some 87 boards simultaneously. At its height, his fortune was estimated at some \$200 million but, at his death, after many years of donations to schools, libraries, museums, hospitals, churches, etc. his estate was valued at a mere \$73.5 million. File whole punch at left, a number of cut cancellations throughout the document with all paper intact except a small chink at right margin. Very fine. \$100-up



**WHEELING BRIDGE AND TERMINAL RAILWAY STOCK ISSUED TO JAMES STILLMAN**

\* 183  
1891, West Virginia. Stock certificate for 60 shares. Brown/Black. Engraved vignette of the Wheeling Bridge at bottom center. Issued to but not signed by **JAMES STILLMAN** (1850-1918). Banker; Capitalist. Although not as well-known today as J. Pierpont Morgan, Stillman's influence and power nearly paralleled that of Morgan. As the driving force of National City Bank, Stillman developed close ties with the Standard Oil Company group of financiers headed by H. H. Rogers and William Rockefeller, as well as E. H. Harriman. During the financial panic of 1907, Stillman was among the most influential leaders, advocating the

support of weaker New York banks by the stronger ones. Upon his death, Stillman's fortune was valued at \$50,000,000. Lightly punch cancelled and Extremely Fine. \$100-up

States Steel Corp. The certificate was negotiated after the death of Frick and under his will. Lightly punch cancelled and Excellent condition. \$125-up

## AVIATION



### HIGHLY DESIRABLE UNITED STATES STEEL CORP. BOND ISSUED TO ANDREW CARNEGIE

\* 184  
1901, New Jersey. \$100,000 Bond bearing 5% interest. Pink/Black. Vignette at top center of the United States Steel Corp. plant. Issued to, but not signed by Andrew Carnegie. **CARNEGIE, ANDREW** (1835-1919). Industrialist; Philanthropist. Shortly after immigrating to the U.S. from Scotland, Carnegie became private secretary to Thomas A. Scott who was just beginning his career with the Pennsylvania Railroad. Carnegie worked for Scott during the latter's position as chief of railroad transportation for the Union during the Civil War and, at the end of the conflict, left Scott to build a successful business in the sales of railroad securities, oil brokering and bridge building. In 1873 Carnegie moved into the emerging steel industry, and he and his partners were responsible for making the U.S. steel industry the world leader by the turn of the century. After selling his enterprises to J.P. Morgan's U.S. Steel in 1901, he spent the remainder of his life traveling and supporting his various philanthropies. Stamp and punch cancelled and excellent. \$1000-1500



### BANK OF AMERICA ISSUED TO CHAUNCEY DEPEW

\* 186  
1853, New York. Stock certificate for 10 shares. Black. Engraved vignette of spread-eagle at upper left corner. Pen cancelled and extremely fine. \$50-75



### SCARCE STOCK CERTIFICATE ISSUED TO GENERAL DWIGHT D. EISENHOWER

\* 187  
1964, Tennessee. Stock certificate for 1,000 shares of the American Educational Life Insurance Company. Issued to General D. D. Eisenhower though not signed by him. **DWIGHT D. EISENHOWER** (1890-1969). Thirty-fourth President and Supreme Allied Commander of European forces World War II. Certificates issued to Presidents are seldom encountered. Two file holes at left margin. Extremely Fine. \$500 - up



### CAMDEN & PHILADELPHIA STEAMBOAT AND FERRY STOCK ISSUED TO ANTHONY J. DREXEL

\* 188  
1893, Pennsylvania. Stock certificate for 100 shares. Black. Lovely multi-vignetted engraved certificate issued to though not signed by **ANTHONY JOSEPH DREXEL**, (1826 - 1893). Son of banker Francis Martin Drexel of the banking house of Drexel & Co.; Member of the firm established by his father, including senior partner; co-owner of the Philadelphia Public Ledger and founder of the Drexel Institute in Philadelphia. A highly attractive certificate issued to one of America's more well-known banking names. Lightly punch and stamp cancelled and Extremely Fine. \$125 - up



### CHICAGO, ROCK ISLAND & PACIFIC RR BOND ISSUED TO WILLIAM ASTOR JR.

\* 189  
1878, Illinois and Iowa. \$5,000 bond issued to **WILLIAM B. ASTOR, JR.** (1830-1892). William B. was the grandson of John Jacob, the son of William B. and the father of John Jacob IV, who died aboard the *Titanic*. The bond is transferred to Caroline Astor on verso. Lightly punch cancelled and Very Fine. \$100 - up



### CHICAGO, ROCK ISLAND & PACIFIC RAIL ROAD ISSUED TO AMASA STONE

\* 190  
1878, Illinois. \$5000 bond bearing 6% interest issued to though not signed by **AMASA STONE** (1818 - 1883). Railroad builder capitalist. Built the Lake Shore system. Brown/Black. Engraved portrait vignette of flanked by train and ships in harbor. Stamp and punch cancelled. Extremely Fine. \$75 - up



### IRVING TRUST COMPANY STOCK ISSUED TO ALFRED P. SLOAN, JR.

\* 191  
**ALFRED P. SLOAN, JR.** 1934, New York. Stock certificate for 100 shares. Orange and black on white paper. Top center engraving of an allegorical woman sitting next to a shield entitled "Enterprise". Punch cancelled and very fine. \$125 - up



### AN EXTREMELY RARE EARLY LEAR, INC. STOCK WARRANT

\* 192  
Founded by William P. Lear. Lear Avia, Inc. which had been involved in aircraft radio development, changed its name in 1944 to Lear, Inc. and by the end of World War II the company had gained recognition as the leader in the field of precision aircraft electromechanical equipment. In 1949, Lear announced the production of the Lear autopilot allowing planes to land in all types of weather conditions. Known for many aircraft innovations, the company is known around the world today for its famous Lear Jet. With the exception of the modern type of Lear Jet stock, this is the first we've had of this company's certificate. A true aviation rarity. Uncancelled and in Excellent condition. \$500 - up



### DETROIT AIRCRAFT COMPANY - THE COMPANY WHICH ONCE OWNED LOCKHEED AIRCRAFT

\* 193  
1930, Michigan. Stock certificate for 100 shares. Green/Black. Vignette of an oncoming single prop airplane with a dirigible and early plane in background above a view of the City of Detroit. This company purchased Lockheed aircraft in 1929 only to file for bankruptcy in 1932. The assets of Lockheed were split out and sold separately and the well known aircraft maker went on to write aviation history. A fine, early Aviation stock certificate. Uncancelled and Extremely Fine. \$300-up



### ISSUED TO HENRY CLAY FRICK

\* 185  
1917, Pennsylvania. Stock certificate for 100 shares of The Pittsburgh, Cincinnati, Chicago, and St. Louis Railroad Company. Green/Black. Engraved vignette of a steam locomotive in upper right corner. Issued to though NOT SIGNED by **HENRY CLAY FRICK** (1849 - 1919). Steel and coke manufacturer, known as "The Coke King"; Capitalist. At the age of twenty-one, Frick, borrowing money from Judge Thomas Mellon achieved success in the coke business and by the age of thirty had created a net worth of \$1,000,000. In 1881, Andrew Carnegie acquired stock in Frick's company, with a split between the two occurring over labor problems in 1887. Two years later, Frick was invited to acquire an interest in the Carnegie Brothers steel company, becoming chairman and reorganizing the business which was in a discouraging state of condition. Twelve years later, he played an essential role in bringing about the sale of the company into the new corporation being formed as United



### BOEING AIRPLANE

\* 194  
1958, Washington. \$1,000 bond bearing 5% interest. Orange/Black. Engraved vignette of an allegorical figure at top. One of the most

well-known names in the aircraft industry. One row of hole cancellations at bottom. Fine.

\$60-up



**CONTINENTAL AIR LINES**

\* 195

1971, Nevada. \$1,000 bond bearing 3 1/2% interest. Red\Black. Engraved vignette of seated allegorical figures flanking the company logo. Lightly punch cancelled and in Excellent condition.

\$20-up



**A RARE DOUGLAS AIRCRAFT COMPANY SPECIMEN**

\* 196

Bearer Depository Receipt for 10 depository shares of the Douglas Aircraft Company. Blue\Black. Founded in 1920 by Donald Douglas, Sr., the name Douglas is synonymous with aircraft development. The first aircraft produced by the company, The Cloudstar was funded by David R. Davis, a wealthy sportsman. The aircraft was designed to fly non-stop coast-to-coast, did not complete the flight but was the first aircraft to lift a load exceeding its own weight.

It ultimately became the flagship of Claude Ryan's San Diego-to-Los Angeles airline. Davis lost interest and sold out to Douglas, who incorporated The Douglas Company in July 1921. He finally landed his own Navy contract to build torpedo bombers, starting with the DT-1 (Douglas Torpedo, First). By 1922, the company had delivered six aircraft for \$130,890. Douglas leased the abandoned buildings of the Herman Film Corporation at 2345 Wilshire Boulevard in Santa Monica, California, where he built the Douglas World Cruiser. By the fall of 1928, the company was worth \$25 million. Despite the 1929 crash that started the Great Depression, Douglas kept his company alive and financially sound building military aircraft. In 1932, he started building the DC-1 and launched his career as a builder of transports. By 1940, sales of DC-2 and DC-3 transports and their military derivatives rose to nearly \$61 million.

To keep up with World War II production, Douglas built plants at Long Beach and El Segundo, California, and leased facilities in Chicago, Oklahoma City and Tulsa, Oklahoma. The leased plants were closed at the end of the war, but Douglas continued to produce commercial and military transports, jet fighters, missiles and rockets.

Donald Wills Douglas Sr. was company presi-

dent until 1957, when his son, Donald Douglas Jr., took over that position. Donald Douglas Sr. remained chairman of the board. At the age of 75, on April 28, 1967, Douglas merged his company with the McDonnell Aircraft Company and retired. He remained honorary chairman of the McDonnell Douglas board until his death on Feb. 1, 1981.

A rare certificate related to one of aviation's most important companies. Uncancelled and Extremely Fine.

\$400-up

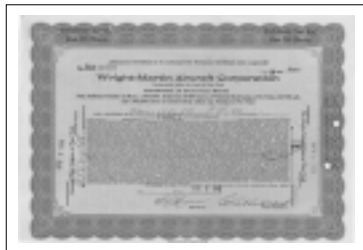


**JUNKERS FLUGZEUG-UND MOTORENWERKE AG DESSAU SCARCE BOND OF THE IMPORTANT GERMAN AIRCRAFT MANUFACTURER ISSUED DURING WORLD WAR II**

\* 197

1942, Berlin. 1,000 Reichsmark bond bearing 4% interest. Accompanied by a coupon page. The Junkers aircraft company is perhaps one of Germany's most well-known makers. Founded in 1919 by Dr. Hugo Junkers (1859 - 1935), the company designed and built a variety of combat and commercial aircraft. The Junkers Stuka dive bombers were first used in the Spanish Civil War in 1936 and continued in use during the Second World War. A scarce aviation stock. Uncancelled and Very Fine.

\$150 - up



**A FINE AVIATION RARITY - A RARE STOCK CERTIFICATE OF THE WRIGHT-MARTIN AIRCRAFT CORPORATION**

\* 198

1918, New York. Temporary stock certificate for 3 shares. Brown\Black. Attempting to bolster the companies resources and ability to compete in a rapidly developing aviation marketplace, the Wright Aircraft company began seeking out a merger partnership opportunity with a partner of equal status in the business. After Curtis Aeroplane declined a combination offer, in 1916, a successful merger was concluded with the Glenn L. Martin Company of Los Angeles, thus forming the Wright-Martin Aircraft Company. Glenn Luther Martin was another American aviation pioneer who at that time was manufacturing aircraft of his design at

about 10 per month. His early machines closely resembled Curtiss' and used Curtiss engines. The Martin factory would be a big plus in manufacturing Wright airframes.

As a result of the merger Wright-Martin consisted of the Martin operation, Crane and Simplex, airports at Mineola, Long Island and Dayton and a division called General Aeronautic Company of America which handled their foreign sales.

One of the most important, early American Aviation companies and the first we've had of this certificate. A couple of small fold splits repaired with archival tape. Otherwise Fine.

\$500-up



**DELTA AIR LINES INC.**

\* 199

1967, Delaware. Blue\Black. Stock certificate for 100 shares. Vignette of a female figure and globe at top center. Lightly punch cancelled and Extremely Fine.

\$40 - up



**SPECIMEN LOCKHEED CORP. BOND**

\* 200

No date, California. Bond for \$0. Vignette of the famous company logo. Punch cancelled and stamped "specimen". Very fine.

\$100-up



**SPECIMEN EASTERN AIRLINES STOCK**

\* 201

No date, Delaware. Stock certificate for 0 shares. Vignette of an allegorical female with an airplane and cityscape in the back round. Great airplane related specimen stock. Lightly punch cancelled and very fine.

\$100-up



**SPECIMEN TWA TRANS WORLD AIRLINES STOCK**

\* 202

No date, Delaware. Stock certificate for 0 shares. Vignette of an allegorical female floating on clouds at left border. Lightly punch cancelled and very fine.

\$100-up



**COLON COMPANIA TRANSAEREA ESPANOLA**

\* 203

1928, Spain. Blue on light orange background. Great large vignette of a dirigible at top center. Coupons at right. Uncancelled and Extremely Fine.

\$50-up



**AIRLIFT INTERNATIONAL, INC.**

\* 204

1967, Florida. Stock certificate for 25 shares. Blue\Black. Vignette at top center of a woman holding a globe with a city in the background. Some folds. Pen cancelled and fine.

\$20-up



**AVIONS RENE COUZINET S.A.**

\* 205

1928, France. Stock certificate. Blue/Red on light blue background. Vignette of small aircraft at top center.

\$50-up



**TAYLOR CRAFT AVIATION CORP.**  
\* 206  
1946, Delaware. Stock certificate. Green/Black. Vignette of a spread-eagle at top center. Litho.

C. Gilbert Taylor started the Taylor Brothers Aircraft Corporation in 1929. Production began with the Taylor E-2 Cub, with production ceasing in 1936 after a production run of about 350. In 1935, improvements to the E-2 led to the J-2 Cub, the precursor to the infamous Piper J-3 Cub developed in 1937. During the early 1930's Taylor's bankers brought in William T. Piper, a Pennsylvania oilman, to be the treasurer of the Company. Changes in management and control led to the company becoming the Piper Aircraft Company, and the rest, as they say, is history. As is obvious, the early history of Taylorcraft and Piper airplanes is hopelessly intertwined. Even today, some of the J-2's registered are listed as Taylor manufactured and some as Piper Aircraft. By 1936 or so, Taylor had been forced out of the Piper Aircraft Company, and set about designing a new design. About this time the Alliance, Ohio Chamber of Commerce contacted Taylor and enticed him to set up shop in the area. The company struggled financially for a number of years with a succession of management changes, Taylor again being forced out of the company until the aviation boom went bust in 1947. On March 4, 1947 the company's assets went on the auction block. Uncancelled and Extremely Fine. \$100 - up



**NATIONAL AIRLINES, INC. STOCK SIGNED BY GEORGE T. BAKER**  
\* 207  
1939, Florida. Stock certificate. Green/Black. Nice vignette of an airplane with other airplanes and a dirigible in the background. Litho. Signed as president of the company by GEORGE T. BAKER, commercial airline pioneer. Couple of minor staple holes. Uncancelled and Extremely Fine. \$400-up



**THE AVIATION CORPORATION**  
\* 208  
1941, Delaware. Stock warrant for capital stock. Green/Black. An early Textron company. In 1947 the company changed its name to Avco Manufacturing and in 1959 to Avco Corporation. In 1985, the company was merged into Textron Inc. \$30 - up



**GREAT GRAPHIC MEMBERSHIP CERTIFICATE OF THE INTERNATIONAL GORDON BENNETT RACE**  
\* 209  
No date. Unissued International Gordon Bennett Race Certificate. Numerous portrait vignettes of previous race winners. Graphic center vignette of an allegorical statue with an early design of an airplane above. Uncancelled and very fine. \$225 - up

## AUTOMOTIVE



**TUCKER STOCK**  
\* 210  
1947, Delaware. Stock certificate for 20 shares. Green/Black. A fine example of this company's stock with a facsimile signature of its visionary Founder, Preston Tucker. Uncancelled and extremely fine. \$200 - up



**CHRYSLER CORPORATION BOND**  
\* 211  
1970, New York. \$5,000 bond. Orange/Black.

Engraved vignette of the company logo flanked by allegorical figures. Lightly punch cancelled and Extremely Fine. \$25 - up



**EUROPEAN AUTO CLASSICS, LTD.**  
\* 212  
1981, Delaware. Stock certificate for 200,000 shares. Brown/Black. Vignette of various luxury automobile logos. Lightly punch cancelled and Fine. \$40 - up



**FALMORE CAB CORP. STOCK**  
\* 213  
1958, New York. Stock certificate. Blue/Black. Spread-eagle vignette. A rare New York cab company stock. Uncancelled and Extremely Fine. \$30 - up



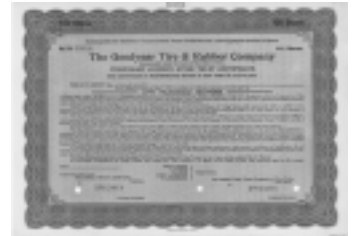
**CHELSEA CAB CORP. STOCK**  
\* 214  
1970, New York. Blue/Black. Spread-eagle vignette. A rare New York cab company stock. Uncancelled and Extremely Fine. \$30 - up



**SCHUYLKILL TRANSPORTATION COMPANY STOCK**  
\* 215  
1930, Pennsylvania. Stock certificate for 2 shares. Orange/Black. Interesting vignette of an early bus. Litho. Minor tear in margin. Uncancelled and fine \$100-up



**STEINMETZ ELECTRIC MOTOR CAR CORPORATION**  
\* 216  
1922, Maryland. Stock certificate for 5 shares. Blue/Black. Engraved. Organized in Baltimore, this company was founded by Charles P. Steinmetz (1865-1923), mathematician and engineer, "a man whose repute in the electrical field was rivaled only by that of Thomas Edison." It was Steinmetz's hope to build a \$500 electric car and, while the company did build a four prototypes, it was beset with a variety of financial problems almost immediately upon its organization. Refinancing attempts were unsuccessful, and the company was dissolved in 1926. A super and quite scarce auto stock. Uncancelled. Lightly folded. Extremely Fine. \$200-up



**THE GOODYEAR TIRE & RUBBER CO.**  
\* 217  
1941, Ohio. Specimen stock certificate for 100 shares. Blue/Black. Goodyear was founded in 1898 by Frank Seiberling with \$3,500 he borrowed from a brother-in-law. By naming the company after Charles Goodyear, Seiberling memorialized the man who developed the vulcanization process which made rubber commercially viable just as demand from the automotive industry was beginning to surge. Lightly punch cancelled and Extremely Fine. \$50 - up



**FIRESTONE TIRE & RUBBER COMPANY**  
\* 218  
1952, Ohio. \$1000 Bond bearing 3 1/4% interest. Olive/Black. Top center vignette of Firestone logo flanked by seated male figures holding tires and car tools. The Firestone Tire & Rubber Company was founded by a 31 year old Harvey Firestone in August 1900 and continues today as Bridgestone-Firestone. One row of hole punches at bottom and excellent condition. \$50-up



**THE GREYHOUND CORPORATION**  
\* 219

1972, Delaware. \$1,000 bond bearing 6 1/2 % interest. Blue/Black. Large vignette of a running greyhound dog at top center. Litho. Lightly punch cancelled and Extremely Fine. \$25 - up



**REO MOTOR CAR COMPANY**

\* 220  
1916, Michigan. Stock certificate for 10 shares. Olive/Black. Engraved vignette of the company logo flanked by seated allegorical figures at top. Lightly punch cancelled and very fine. \$75 - up



**PACKARD MOTOR CAR COMPANY**

\* 221  
1953, Michigan. Stock certificate for 100 shares. Blue/Black. Engraved vignette of seated figures. Punch cancelled and extremely fine. \$25 - up



**EARLY GENERAL MOTORS**

\* 222  
1931, Delaware. Stock certificate for 100 shares. Brown/Black. Vignette of seated figures flanking company logo. Artistic facsimile signature of Alfred Sloan, Jr. Rare this early. Punch cancelled and choice. \$175 - up



**SOCIEDAD GENERAL DE AUTOMOVILES**

\* 223  
1911, Barcelona, Spain. Black on white paper. Left side vignette of an old fashioned car. A great automotive vignette. Uncancelled and Extremely Fine. \$125 - up



**FORD MOTOR COMPANY OF CANADA STOCK**

\* 224  
1931, Canada. Certificate. Green/Black. Lightly punch and stamp cancelled and very fine. \$90 - up



**THE TEMPLAR MOTORS COMPANY STOCK**

\* 225  
1920, Ohio. Certificate for 3 shares. Orange/black. The Templar motor company was based in Cleveland and existed from 1917 to 1924, and it produced a number of touring cars and roadsters. The companies demise was caused by the post WWI depression and poor company management. Uncancelled and very fine. \$100 - up



**AMERICAN MOTORS CORPORATION**  
\* 226

1963, Maryland. Stock certificate for 35 shares. Brown/Black. Top center vignette of a large

gear with Mercury and other allegorical figures. Some light damp staining. Punch cancelled and fine. \$75-up



**DURANT MOTORS, INC.**

\* 227  
1925, Delaware. Stock certificate for 30 sixtieths of a share. Green/Black. Uncancelled and extremely fine. \$75-up



**AVIS, INC**

\* 228  
1973, Delaware. Stock certificate for 100 shares. Brown/Red. Engraved vignette of a female figure at left. A seldom encountered stock certificate from one of the world's most famous car rental companies. Lightly punch cancelled and Very Fine. \$25 - up



**WILLYS CORPORATION STOCK**

\* 229  
1921, New York. Stock certificate for 24 shares. Orange/White. Lightly punch cancelled and very fine. \$40-up



**A FINE GERMAN FORD STOCK**

\* 230  
1941, Germany. 100 Reichsmark stock certificate. Brown and green on white paper with an attractive, raised Ford seal. Ford logo on top. Uncancelled and extremely fine. \$125-up



**SOCIETA ITALIANA SEGNALATORE AUTOMATIC**

\* 231  
1911, Rome, Italy. Stock certificate. Green. A lovely art nouveau design of an automobile at an intersection with its headlights on. The company produced signal lights for automobiles. Coupons below. Uncancelled and Very Fine. \$300 - up



**PARIS-TRANSPORTS AUTOMOBILES S.A.**

\* 232  
1924, Paris. Stock certificate. Yellow/Black on tan paper. Unique certificate with art work and vignettes of male and female allegorical figures overlooking ships and buildings across top and small allegorical child in bottom left and right corner. \$75-up



**EVOLUTION TECHNIQUE AUTOMOBILE ET TRANSACTIONS E.T.A.T.**

\* 233  
1929, Paris. Stock certificate. Green/Black on light green paper. Unique art work of allegorical figures surrounding unique border. \$75-up



**FORD SOCIETE ANONYME FRANCAISE**

\* 234  
1929, Asnieres. Stock certificate. Blue. \$75-up





**CHOICE STOCK FROM ONE OF AMERICA'S MOST WELL-KNOWN CLASSIC AUTOMOBILE MAKERS**

**DUESENBERG AUTOMOBILE & MOTORS CO., INC.**

\* 235

1923, Stock certificate. Orange/Black. Nice vignette of a seated figure and two globes. Litho. From the turn of the century, Fred Duesenberg (1876-1932) had been well known as a designer of fast, powerful, reliable gasoline engines which came to be widely used in the marine, automotive and aviation industries. From 1903-1913 Duesenberg was the chief engineer of the Mason Motor Car Company. In 1913, Fred and his brother August (1878-1955) established the Duesenberg Motor Company to manufacture gasoline engines of their own design. Success was immediate, with Mulford and Rickenbacker consistently driving Duesenberg-powered racers to commanding victories. In 1920, the brothers began building their first production car, the Model A. While the car created a sensation at its debut, it was a mere shadow of the massive and extraordinarily expensive Model J (and later SJ and SSJ) which was introduced in 1928. Of the Duesenberg marque it has been said, "If but one of all the automobiles ever built in America had to be singled out as the most glorious achievement in this country's automotive history, that car would have to be the Duesenberg. It transcended the ordinary in full measure, created legends in its wake which will live forever, and became a literal metaphor — 'It's a Duesy' — for anything unrelentingly superlative." Uncancelled and Excellent condition. \$600 - up



**BAYERISCHE MOTOREN WERKE AKTIENGESELLSCHAFT, MUNCHEN**

\* 237  
1942, Muenchen. Stock certificate. Black/Pink. \$125 - up



**FAGEOL MOTORS COMPANY**

\* 238  
1926, Ohio. Stock certificate for 1 shares. Green/Black. Vignette of eagle with spread wings at top center \$90 - up

**BANKING AND INSURANCE**



**MUTUAL DEPOSIT INSURANCE ASSOCIATION OF PHILADELPHIA**

\* 239  
1855, Pennsylvania. Six percent dividend certificate for \$80. Top center vignette of an allegorical female sitting in forefront with traveling train at left and ships on the sea at right. Vignette of a train traveling the countryside at left. Sailing ship on rough seas at right. A nice early insurance piece. \$125-up



**MORRIS CANAL & BANKING CO.**

\* 240  
1863, New Jersey. Stock certificate for 17 shares. Black/Brown. Multi-vignetted certificate depicting a male and female figure overlooking a canal with smaller canal vignettes in left corners and bottom. Some stub glue residue. Cut cancelled and very fine. \$125-up



**RENSSELAER COUNTY BANK OF RENSSELAER**

\* 241  
1931, New York. Stock certificate for 2 shares. Green/Black with red seal. Vignette of state seal at top center. \$25-up



**BUCKS COUNTY TRUST COMPANY**

\* 242  
1902, Pennsylvania. Stock certificate for 15 shares. Black. Vignette of seated female allegorical figure at top center. \$30-up



**AN EXCEPTIONALLY GRAPHIC EARLY BANK STOCK**

\* 243  
1868, Pennsylvania. Stock certificate for 2 shares. Black. A lovely engraved multi-vignetted certificate depicting allegorical figures, a cattle and farm scene and male portrait. A scarce and graphically superior bank stock certificate. Fine. \$225 - up



**THE PROVIDENT LIFE AND TRUST COMPANY OF PHILADELPHIA**

\* 244  
1912, Pennsylvania. Stock certificate for 5 shares. Brown and black on white paper. Upper center vignette of two horses and an eagle with spread wings. Punch cancelled. \$35 - up



**BANK OF CATASAUQUA**

\* 245  
1868, Pennsylvania. Stock certificate for 14 shares. Black on off-white paper. Upper engraved vignette of men working in a mine, right side vignette of women bringing in the harvest, lower vignette of livestock. Uncancelled and very fine. \$85 - up



**THOMASTON NATIONAL BANK**

\* 246  
1910, Maine. Stock certificate for 1 share. Black. Vignette of Maine state seal flanked by sailor and farmer. Litho. Some staple rust residue at left. Stamp and punch cancelled and fine. \$30 - up



**BANK OF CHARLESTON**

\* 247  
1873, South Carolina. Stock certificate for 2 shares. Black on Brown paper. Vignette of a palmetto tree and liberty head. Litho. Nice southern bank stock. \$60 - up



**HAWAII BANCORPORATION, INC.**

\* 248  
SPECIMEN. Certificate for less than 100 shares. Purple/Black. Engraved vignette of Hawaiian scenery and an allegorical figure. Lightly punch cancelled and Extremely Fine. \$100 - up



**BAYERISCHE MOTOREN WERKE AG MUNCHEN**

\* 236  
1942, Muenchen. Stock certificate. Blue/Black. \$125-up